



ENERGY STAR® Program Requirements for Set-top Boxes

Draft Partner Commitments

Following are the terms of the ENERGY STAR Partnership Agreement as it pertains to the manufacture and labeling of ENERGY STAR qualified products. The ENERGY STAR Partner must adhere to the following partner commitments:

Qualifying Products

1. **Comply with current ENERGY STAR Eligibility Criteria**, which define performance requirements and test procedures for Set-top Boxes (STBs). A list of eligible products and their corresponding Eligibility Criteria can be found at www.energystar.gov/specifications.
2. **Prior to associating the ENERGY STAR name or mark with any product**, obtain written certification of ENERGY STAR qualification from a Certification Body recognized by EPA for Set-top Boxes prior to associating the ENERGY STAR name or mark with any product. As part of this certification process, products must be tested in a laboratory recognized by EPA to perform Set-top Box testing. A list of EPA-recognized laboratories and certification bodies can be found at www.energystar.gov/testingandverification.
3. **Ensure that any model associated with the ENERGY STAR name or mark** meets the following standards:
 - 3.1. Product material requirements as defined in restriction of hazardous substances (RoHS) regulations, as generally accepted. This includes exemptions in force at the date of product manufacture, where the maximum concentration values tolerated by weight in homogeneous materials are: lead (0.1%), mercury (0.1%), cadmium (0.01%), hexavalent chromium (0.1%), polybrominated biphenyls (PBB) (0.1%), or polybrominated diphenyl ethers (PBDE) (0.1%). Batteries are exempt.

Notes:

- The explicit intention is to harmonize with EU RoHS.
- For purposes of ENERGY STAR third-party certification, these requirements shall not be reviewed when products are initially qualified nor during subsequent verification testing. Rather, EPA reserves the right to request supporting documentation at any time.

Note: EPA has maintained the toxicity requirements in the ENERGY STAR Partnership Agreement with the explicit intention to harmonize with the European Union RoHS.

EPA thanks stakeholders for their feedback on the proposed recycling requirements and their current efforts to responsibly retire models as well as their active refurbishing practice. Recognizing the unique anti-tamper measures necessary for video content delivery, EPA has removed the recyclability requirements from the ENERGY STAR Partnership Agreement. Nevertheless, EPA continues to encourage stakeholders to keep EPA informed of their progress with sustainable practices to be considered for special distinction such as ENERGY STAR Partner of the Year. To learn about the application process for these awards, please visit www.energystar.gov/awards.

Using the ENERGY STAR Name and Marks

4. Comply with current ENERGY STAR Identity Guidelines, which define how the ENERGY STAR name and marks may be used. Partner is responsible for adhering to these guidelines and ensuring that its

authorized representatives, such as advertising agencies, dealers, and distributors, are also in compliance. The ENERGY STAR Identity Guidelines are available at www.energystar.gov/logouse.

5. Use the ENERGY STAR name and marks only in association with qualified products. Partner may not refer to itself as an ENERGY STAR Partner unless at least one product is qualified and offered for sale in the U.S. and/or ENERGY STAR partner countries..
6. Provide clear and consistent labeling of ENERGY STAR qualified Set-top Boxes, per the following:
 - 6.1. Partner shall adhere to the following product-specific commitments regarding use of the ENERGY STAR certification mark on qualified products:
 - 6.1.1. Partner must use the ENERGY STAR mark in one of the following ways:
 - 1) Via permanent or temporary label on the top or front of the product. All temporary labeling must be affixed to the product with an adhesive or cling-type application; or
 - 2) Via electronic labeling. Electronic labeling must meet the following requirements:
 - a. The ENERGY STAR mark in cyan, black, or white must appear at least once per day when the product is in use, and must display for a minimum of 5 seconds;
 - b. The ENERGY STAR mark must be at least 10% of the screen by area, must not be smaller than 76 pixels x 78 pixels, and must be legible.
 - 6.1.2. Partner must also use the ENERGY STAR mark in all of the following ways:
 - 1) In product literature (e.g., user manuals, specification sheets);
 - 2) On product packaging/boxes for products sold at retail; and
 - 3) On the Partner's website where information about ENERGY STAR qualified products is displayed. Partner must comply with the ENERGY STAR Web Linking Policy, which can be found at www.energystar.gov/partners;
 - 6.2. For all qualified products sold to Service Providers that are ENERGY STAR Partners, the Manufacturing Partner may provide labeling on behalf of the Service Provider Partner. All product labeling must meet the requirements specified herein for electronic notification or physical labeling.
 - 6.3. For all products sold to Service Providers that are not an ENERGY STAR Partner, the Manufacturing Partner may qualify and label the product if it meets ENERGY STAR eligibility criteria in all possible hardware and software configurations, and under all potential operating scenarios.

Verifying Ongoing Product Qualification

7. Participate in third-party verification testing through a Certification Body recognized by EPA for Set-top Boxes, providing full cooperation and timely responses. EPA/DOE may also, at its discretion, conduct tests on products that are referred to as ENERGY STAR qualified. These products may be obtained on the open market, or voluntarily supplied by Partner at the government's request.

Providing Information to EPA

8. Provide unit shipment data or other market indicators to EPA annually to assist with creation of ENERGY STAR market penetration estimates, as follows:
 - 8.1. Partner must submit the total number of ENERGY STAR qualified Set-top Boxes shipped in the calendar year or an equivalent measurement as agreed to in advance by EPA and Partner. Partner shall exclude shipments to organizations that rebrand and resell the shipments (unaffiliated private labelers).
 - 8.2. Partner must provide unit shipment data segmented by meaningful product characteristics (e.g., type, capacity, presence of additional functions) as prescribed by EPA.

8.3. Partner must submit unit shipment data for each calendar year to EPA or an EPA-authorized third party, preferably in electronic format, no later than March 1 of the following year.

Submitted unit shipment data will be used by EPA only for program evaluation purposes and will be closely controlled. If requested under the Freedom of Information Act (FOIA), EPA will argue that the data is exempt. Any information used will be masked by EPA so as to protect the confidentiality of the Partner.

9. Report to EPA any attempts by recognized laboratories or Certification Bodies (CBs) to influence testing or certification results or to engage in discriminatory practices.
10. Notify EPA of a change in the designated responsible party or contacts within 30 days using the My ENERGY STAR Account tool (MESA) available at www.energystar.gov/mesa.

Performance for Special Distinction

In order to receive additional recognition and/or support from EPA for its efforts within the Partnership, the ENERGY STAR Partner may consider the following voluntary measures, and should keep EPA informed on the progress of these efforts:

- Provide quarterly, written updates to EPA as to the efforts undertaken by Partner to increase availability of ENERGY STAR qualified products, and to promote awareness of ENERGY STAR and its message.
- Consider energy efficiency improvements in company facilities and pursue benchmarking buildings through the ENERGY STAR Buildings program.
- Purchase ENERGY STAR qualified products. Revise the company purchasing or procurement specifications to include ENERGY STAR. Provide procurement officials' contact information to EPA for periodic updates and coordination. Circulate general ENERGY STAR qualified product information to employees for use when purchasing products for their homes.
- Feature the ENERGY STAR mark(s) on Partner website and other promotional materials. If information concerning ENERGY STAR is provided on the Partner website as specified by the ENERGY STAR Web Linking Policy (available in the Partner Resources section of the ENERGY STAR website), EPA may provide links where appropriate to the Partner website.
- Ensure the power management feature is enabled on all ENERGY STAR qualified displays and computers in use in company facilities, particularly upon installation and after service is performed.
- Provide general information about the ENERGY STAR program to employees whose jobs are relevant to the development, marketing, sales, and service of current ENERGY STAR qualified products.
- Provide a simple plan to EPA outlining specific measures Partner plans to undertake beyond the program requirements listed above. By doing so, EPA may be able to coordinate, communicate, and/or promote Partner's activities, provide an EPA representative, or include news about the event in the ENERGY STAR newsletter, on the ENERGY STAR website, etc. The plan may be as simple as providing a list of planned activities or milestones of which Partner would like EPA to be aware. For example, activities may include: (1) increasing the availability of ENERGY STAR qualified products by converting the entire product line within two years to meet ENERGY STAR guidelines; (2) demonstrating the economic and environmental benefits of energy efficiency through special in-store displays twice a year; (3) providing information to users (via the website and user's manual) about energy-saving features and operating characteristics of ENERGY STAR qualified products; and (4) building awareness of the ENERGY STAR Partnership and brand identity by collaborating with EPA on one print advertorial and one live press event.
- Join EPA's SmartWay Transport Partnership to improve the environmental performance of the company's shipping operations. The SmartWay Transport Partnership works with freight carriers, shippers, and other stakeholders in the goods movement industry to reduce fuel consumption, greenhouse gases, and air pollution. For more information on SmartWay, visit www.epa.gov/smartway.

- Join EPA's Green Power Partnership. EPA's Green Power Partnership encourages organizations to buy green power as a way to reduce the environmental impacts associated with traditional fossil fuel-based electricity use. The partnership includes a diverse set of organizations including Fortune 500 companies, small and medium businesses, government institutions as well as a growing number of colleges and universities. For more information on Green Power, visit www.epa.gov/greenpower.