

# ENERGY STAR<sup>®</sup>

## Residential New Construction Programs

### Historical Document

This document is provided for reference because it has been superseded by a more recent Version or Revision. Please find current program documents on the [Program Requirements](#) webpage.

Use of older Versions and Revisions, such as this document, are typically limited to homes and buildings with a permit date (or, for manufactured homes, a production date) prior to a specified date. Consult the [Implementation Timeline](#) table to assess whether a home or apartment is still eligible to be certified using this document.

For questions or more information, contact us at [energystarhome@energystar.gov](mailto:energystarhome@energystar.gov).



# Tropics Program Requirements

## ENERGY STAR Certified Homes, Version 3 (Rev. 09)

These Program Requirements shall only be used in Guam, Hawaii, Puerto Rico, the Northern Mariana Islands, & the U.S Virgin Islands

### Eligibility Requirements

The following site-built or modular <sup>1</sup> homes are eligible to earn the ENERGY STAR:

- Detached dwelling units <sup>2</sup> (e.g. single family homes); OR
- Dwelling units <sup>2</sup> in any multifamily building with 4 units or fewer; OR
- Dwelling units <sup>2</sup> in multifamily buildings with 3 stories or fewer above-grade <sup>3,4</sup>; OR
- Dwelling units <sup>2</sup> in multifamily buildings with 4 or 5 stories above-grade <sup>3,4</sup> where dwelling units occupy 80% or more of the occupiable <sup>4</sup> square footage of the building <sup>5,6</sup>. When evaluating mixed-use buildings for eligibility, exclude commercial / retail space when assessing whether the 80% threshold has been met.

Dwelling units <sup>2</sup> in multifamily buildings that are not eligible to earn the ENERGY STAR through the Certified Homes Program may be eligible through the Multifamily High Rise Program. For more information, visit: [www.energystar.gov/mfhr/eligibility](http://www.energystar.gov/mfhr/eligibility).

Note that compliance with these requirements is not intended to imply compliance with all local code requirements that may be applicable to the home to be built. <sup>7</sup>

### Partnership, Training, and Credentialing Requirements

Builders, Raters, and HVAC contractors must meet the following requirements prior to certifying homes:

- Builders are required to sign an ENERGY STAR Partnership Agreement and complete the online Version 3 Builder Orientation, which can be found at [www.energystar.gov/homesPA](http://www.energystar.gov/homesPA).
- HVAC installing contractors are required to be credentialed by an EPA-recognized HVAC Quality Installation Training and Oversight Organization (H-QUITO). An explanation of this process can be found at [www.energystar.gov/newhomesHVAC](http://www.energystar.gov/newhomesHVAC).
- Raters and Field Inspectors are required to complete training which can be found at [www.energystar.gov/newhomestraining](http://www.energystar.gov/newhomestraining).

### ENERGY STAR Certification Process for the Tropics <sup>8</sup>

1. The certification process provides flexibility to select a custom combination of measures for each home that is equivalent in performance to the minimum requirements of the ENERGY STAR Reference Design Home, Exhibit 1, as assessed through energy modeling. Use a Home Energy Rating Software program accredited by an EPA-Approved Verification Oversight Organization (VOO) to determine the ENERGY STAR ERI Target, which is the highest ERI value that each rated home may achieve to earn the ENERGY STAR. <sup>9</sup>
2. Using the same software program, configure the preferred set of efficiency measures for the home to be certified and verify that the resulting ERI meets or exceeds the ENERGY STAR ERI Target, as determined in Step 1.

Note that, regardless of the measures selected, Mandatory Requirements for All Certified Homes in Exhibit 2 are also required and impose certain constraints on the efficiency measures selected (e.g., window performance, duct leakage). Furthermore, on-site power generation may only be used to meet the ENERGY STAR ERI Target for homes that are larger than the Benchmark Home and only for the incremental change in ENERGY STAR ERI Target caused by the Size Adjustment Factor. <sup>10</sup>

3. Construct the home using the measures selected in Step 2 and the Mandatory Requirements for All Certified Homes, Exhibit 2.
4. Using a Rater, verify that all requirements have been met in accordance with the Mandatory Requirements for All Certified Homes and with the on-site inspection procedures for minimum rated features of an EPA-Approved VOO. <sup>11</sup> For modular homes, a Rater must verify any requirement in the plant not able to be verified on-site because a feature will be concealed prior to shipment. Finally, register the rated home with the same EPA-Approved VOO. The Rater is required to keep electronic or hard copies of the completed and signed Tropics Rater checklists and the National HVAC Design Report.

The Rater must review all items on the Tropics Rater checklists. Raters are expected to use their experience and discretion to verify that the overall intent of each inspection checklist item has been met (i.e., identifying major defects that undermine the intent of the checklist item versus identifying minor defects that the Rater may deem acceptable).

In the event that a Rater finds an item that is inconsistent with the intent of the checklists, the home cannot earn the ENERGY STAR until the item is corrected. If correction of the item is not possible, the home cannot earn the ENERGY STAR. In the event that an item on a Tropics Rater checklist cannot be inspected by the Rater, the home also cannot earn the ENERGY STAR.

In the event that a Rater is not able to determine whether an item is consistent with the intent (e.g., an alternative method of meeting a checklist requirement has been proposed), then the Rater shall consult their Provider. If the Provider also cannot make this determination, then the Rater or Provider shall report the issue to EPA prior to project completion at: [energystarhomes@energystar.gov](mailto:energystarhomes@energystar.gov) and will typically receive an initial response within 5 business days. If EPA believes the current program requirements are sufficiently clear to determine whether the intent has been met, then this guidance will be provided to the partner and enforced beginning with the house in question. In contrast, if EPA believes the program requirements require revisions to make the intent clear, then this guidance will be provided to the partner but only enforced for homes permitted after a specified transition period after the release of the revised program requirements, typically 60 days in length.

This process will allow EPA to make formal policy decisions as partner questions arise and to disseminate these policy decisions through the periodic release of revised program documents to ensure consistent application of the program requirements.



# Tropics Program Requirements ENERGY STAR Certified Homes, Version 3 (Rev. 09)

These Program Requirements shall only be used in Guam, Hawaii, Puerto Rico, the Northern Mariana Islands, & the U.S Virgin Islands

## Exhibit 1: ENERGY STAR Reference Design Home <sup>12</sup>

The ENERGY STAR Reference Design Home is the set of efficiency features modeled to determine the ENERGY STAR ERI Target for each home pursuing certification. Therefore, while the features below are not mandatory, if they are not used then other measures will be needed to achieve the ENERGY STAR ERI Target. In addition, note that the Mandatory Requirements for All Certified Homes, Exhibit 2, contain additional requirements such as total duct leakage limits and minimum allowed fenestration performance. Therefore, EPA recommends that partners review the documents in Exhibit 2 prior to selecting measures.

<b>Cooling Equipment (Where Provided)</b>							
<ul style="list-style-type: none"> <li>• Cooling equipment modeled at the applicable efficiency levels below:               <ul style="list-style-type: none"> <li>• 14.5 SEER / 12 EER AC,</li> <li>• Heat pump (See Heating Equipment)</li> </ul> </li> </ul>							
<b>Heating Equipment</b>							
<ul style="list-style-type: none"> <li>• Heating equipment modeled at the applicable efficiency levels below, dependent on fuel and system type:               <ul style="list-style-type: none"> <li>• 80 AFUE gas furnace,</li> <li>• 80 AFUE oil furnace,</li> <li>• 80 AFUE boiler,</li> <li>• 8.2 HSPF / 14.5 SEER / 12 EER air-source heat pump with electric or dual-fuel backup</li> </ul> </li> </ul>							
<b>Envelope, Windows, &amp; Doors</b>							
<ul style="list-style-type: none"> <li>• A radiant barrier:               <ul style="list-style-type: none"> <li>• HI: Modeled if more than 10 linear feet of ductwork are located in an unconditioned attic.</li> <li>• PR / GU / NMI / USVI: Modeled in all homes.</li> </ul> </li> <li>• Insulation levels modeled to Grade I installation per ANSI / RESNET / ICC Standard 301. <sup>13</sup></li> <li>• Ceiling insulation level modeled at R-30.</li> <li>• Wall insulation level:               <ul style="list-style-type: none"> <li>• HI: Modeled at R-13.</li> <li>• PR / GU / NMI / USVI: Walls modeled without insulation.</li> </ul> </li> <li>• Foundation walls and floors over unconditioned space modeled without insulation.</li> <li>• Infiltration rate modeled at 6 ACH50.</li> <li>• Windows and doors modeled as illustrated below:               <table border="1" style="margin-left: 40px; border-collapse: collapse; width: 60%;"> <tr> <td style="padding: 2px;">Window U-Value:</td> <td style="padding: 2px; text-align: center;">0.60</td> </tr> <tr> <td style="padding: 2px;">Window SHGC:</td> <td style="padding: 2px; text-align: center;">0.27</td> </tr> </table> </li> </ul>				Window U-Value:	0.60	Window SHGC:	0.27
Window U-Value:	0.60						
Window SHGC:	0.27						
Door U-value:	Opaque: 0.21	$\leq \frac{1}{2}$ lite: 0.27	$> \frac{1}{2}$ lite: 0.32				
Door SHGC:	Opaque: Any	$\leq \frac{1}{2}$ lite: 0.30	$> \frac{1}{2}$ lite: 0.30				
<b>Water Heater</b>							
<ul style="list-style-type: none"> <li>• DHW equipment modeled with the following efficiency levels, dependent on fuel and system type:               <ul style="list-style-type: none"> <li>• If Rated Home fuel type is electric and / or solar, a solar system with electric backup modeled with solar fraction of 90%.</li> <li>• Otherwise, a gas condensing system modeled with 0.80 EF.</li> </ul> </li> </ul>							
<b>Thermostat &amp; Ductwork</b>							
<ul style="list-style-type: none"> <li>• Programmable thermostat modeled.</li> <li>• Supply ducts in unconditioned attics modeled with R-8 insulation; all other ducts in unconditioned space modeled with R-6 insulation.</li> <li>• Duct leakage to outdoors modeled at the greater of <math>\leq 4</math> CFM25 per 100 sq. ft. of conditioned floor area or <math>\leq 40</math> CFM25.</li> </ul>							
<b>Lighting &amp; Appliances</b>							
<ul style="list-style-type: none"> <li>• ENERGY STAR refrigerators, dishwashers, and ceiling fans modeled.</li> <li>• ENERGY STAR light bulbs modeled in 80% of ANSI / RESNET / ICC Standard 301-defined Qualifying Light Fixture Locations. <sup>13</sup></li> </ul>							



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## Exhibit 2: Mandatory Requirements for All Certified Homes

Party Responsible	Mandatory Requirements <sup>14</sup>
<b>Rater</b>	<ul style="list-style-type: none"> <li>• Completion of Tropics Rater Design Review Checklist <sup>15</sup></li> <li>• Completion of Tropics Rater Field Checklist <sup>15</sup></li> </ul>
<b>HVAC System Designer</b>	<ul style="list-style-type: none"> <li>• Completion of National HVAC Design Report</li> </ul>
<b>HVAC Installing Contractor</b>	<ul style="list-style-type: none"> <li>• Completion of National HVAC Commissioning Checklist</li> </ul>
<b>Builder</b>	<ul style="list-style-type: none"> <li>• Completed National Water Management System Builder Requirements</li> </ul>

## Exhibit 3: Benchmark Home <sup>10</sup>

Bedrooms in Home to be Built	0	1	2	3	4	5	6	7	8
<b>Conditioned Floor Area</b> Benchmark Home	1,000	1,000	1,600	2,200	2,800	3,400	4,000	4,600	5,200

### Effective Date

To determine the program Version and Revision that a home is required to be certified under, look up the location and permit date of the home in Exhibit 4. Program requirements for other locations can be found at [www.energystar.gov/newhomesrequirements](http://www.energystar.gov/newhomesrequirements).

This Exhibit contains all implementation timelines applicable on or after September 1, 2016. Implementation timelines applicable prior to this date can be obtained by contacting [energystarhomes@energystar.gov](mailto:energystarhomes@energystar.gov).

## Exhibit 4: ENERGY STAR Certified Homes Implementation Timeline for the Tropics

State / Territory	Homes Permitted <sup>16</sup> On or After This Date Must Meet the Adjacent Version & Revision	Version	Revision <sup>17</sup>
HI	07-01-2016	Tropics v3	Rev. 08
	01-01-2019	Tropics v3	Rev. 09
PR	07-01-2016	Tropics v3	Rev. 08
	01-01-2019	Tropics v3	Rev. 09
GU	07-01-2016	Tropics v3	Rev. 08
	01-01-2019	Tropics v3	Rev. 09
NMI, USVI	07-01-2016	Tropics v3	Rev. 08
	01-01-2019	Tropics v3	Rev. 09



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### Footnotes:

1. A modular home is a prefabricated home that is made of multiple modules or sections that are manufactured and substantially assembled in a manufacturing plant. These pre-built sections are transported to the building site and constructed by a builder to meet all applicable building codes for site-built homes.
2. A dwelling unit, as defined by the 2009 IECC, is a single unit that provides complete independent living facilities for one or more persons, including permanent provisions for living, sleeping, eating, cooking, and sanitation.
3. Any above-grade story with 20% or more occupiable space, including commercial space, shall be counted towards the total number of stories for the purpose of determining eligibility to participate in the program. The definition of an 'above-grade story' is one for which more than half of the gross surface area of the exterior walls is above-grade. All below-grade stories, regardless of type, shall not be included when evaluating eligibility.
4. Per ASHRAE 62.2-2010, occupiable space is any enclosed space inside the pressure boundary and intended for human activities or continual human occupancy, including, but not limited to, areas used for living, sleeping, dining, and cooking, toilets, closets, halls, storage and utility areas, and laundry areas.
5. These units may earn the ENERGY STAR through either the Certified Homes Program or the Multifamily High Rise (MFHR) Program. If participating in the Certified homes Program and the dwelling unit is served by a central heating, cooling, or hot water system, use of the RESNET Guidelines for Multifamily Ratings for modeling the specified central system(s) is recommended.
6. If permitted prior to July 1, 2012, units in multifamily buildings with 4 or 5 stories above-grade may earn the ENERGY STAR through either the Certified Homes Program or the Multifamily High Rise (MFHR) Program, without assessing whether the 80% threshold has been met.
7. Where requirements of the local codes, manufacturers' installation instructions, engineering documents, or regional ENERGY STAR programs overlap with these requirements, EPA offers the following guidance:
  - a. Where the overlapping requirements exceed the ENERGY STAR requirements, these overlapping requirements shall be met;
  - b. Where overlapping requirements conflict with a requirement of the ENERGY STAR program (e.g., slab insulation is prohibited to allow visual access for termite inspections), then the conflicting requirement within these program requirements shall not be met. Certification shall only be allowed if the Rater has determined that no equivalent option is available that could meet the intent of the conflicting requirement (e.g., switching from exterior to interior slab edge insulation). Note that a home must still meet its ENERGY STAR ERI Target. Therefore, other efficiency measures may be needed to compensate for the omission of the conflicting requirement.
8. Prior to Rev. 08, homes were permitted to be certified using either a Prescriptive Path or a Performance Path. Homes with a permit date on or after 60 days after the release of Rev. 08 shall only use the Performance Path, which has been renamed the ENERGY STAR Certification Process for the Tropics.
9. The software program shall automatically determine (i.e., without relying on a user-configured ENERGY STAR Reference Design) this target for each rated home by following the ENERGY STAR Tropics ERI Target Procedure, Version 3 (Rev. 09), available on EPA's website.
10. The average-size home with a specific number of bedrooms is termed the "Benchmark Home". The conditioned floor area of a Benchmark Home (CFA<sub>Benchmark Home</sub>) is determined by selecting the appropriate value from Exhibit 3. For homes with more than 8 bedrooms, the CFA<sub>Benchmark Home</sub> shall be determined by multiplying 600 sq. ft. by the total number of bedrooms & adding 400 sq. ft.

A bedroom is defined by ANSI / RESNET / ICC Standard 301-2014 as a room or space 70 sq. ft. or greater size, with egress window and closet, used or intended to be used for sleeping. A "den", "library", or "home office" with a closet, egress window, and 70 sq. ft. or greater size or other similar rooms shall count as a bedroom, but living rooms and foyers shall not.

An egress window, as defined in 2009 IRC section R310, shall refer to any operable window that provides for a means of escape and access for rescue in the event of an emergency. The egress window definition has been summarized for convenience. The egress window shall:

  - have a sill height of not more than 44 inches above the floor; AND
  - have a minimum net clear opening of 5.7 sq. ft.; AND
  - have a minimum net clear opening height of 24 in.; AND
  - have a minimum net clear opening width of 20 in.; AND
  - be operational from the inside of the room without the use of keys, tools or special knowledge
11. The term "Rater" refers to the person completing the third-party inspections required for certification. This person shall: a) be a certified Home Energy Rater, Rating Field Inspector, or an equivalent designation as determined by a VOO such as RESNET; and, b) have attended and successfully completed an EPA-recognized training class. See [www.energystar.gov/newhomestraining](http://www.energystar.gov/newhomestraining).

Raters who operate under a Sampling Provider are permitted to verify the Minimum Rated Features of the home and to verify any Checklist Item designated "Rater Verified" using a VOO-approved sampling protocol. No parties other than Raters are permitted to use sampling. All other items shall be verified for each certified home. For example, no items on the National HVAC Commissioning Checklist are permitted to be verified using a sampling protocol.



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12. Note that the efficiency levels of ENERGY STAR certified products aligned with these product specifications when this Version was first released. These efficiency features form the basis of the ENERGY STAR ERI target, regardless of any subsequent revisions to ENERGY STAR certified product specifications. EPA recommends, but does not require, that current ENERGY STAR products be included in ENERGY STAR homes. For current ENERGY STAR products, visit [www.energystar.gov/products](http://www.energystar.gov/products).
13. The version of ANSI / RESNET / ICC Std. 301 utilized by RESNET for HERS ratings is used to model this parameter.
14. A home without a split air conditioner, unitary air conditioner, air-source heat pump, or water-source (i.e., geothermal) heat pump up to 65 kBtuh with a forced-air distribution system (i.e., ducts) and without a furnace up to 225 kBtuh with a forced-air distribution system (i.e., ducts) is:
  - Exempted from compliance with Sections 3 through 5 of the National HVAC Design Report
  - Exempted from compliance with the National HVAC Commissioning Checklist
  - Exempted from compliance with Sections 1, 2, and 5 of the Tropics Rater Field Checklist
15. A home with a split air conditioner, unitary air conditioner, air-source heat pump, or water-source (i.e., geothermal) heat pump up to 65 kBtuh with a forced-air distribution system (i.e., ducts) is permitted to complete the National Rater Design Review Checklist and National Rater Field Checklist in lieu of these Tropics Checklists.
16. The Rater may define the 'permit date' as either the date that the permit was issued or the date of the contract on the home. In cases where permit or contract dates are not available, Providers have discretion to estimate permit dates based on other construction schedule factors. These assumptions should be both defensible and documented.
17. Homes certified under Rev. 09 of the program requirements are permitted to use either Rev. 08 or 09 of the National HVAC Design Report.