

# ENERGY STAR<sup>®</sup>

## Residential New Construction Programs

### Historical Document

This document is provided for reference because it has been superseded by a more recent Version or Revision. Please find current program documents on the [Program Requirements](#) webpage.

Use of older Versions and Revisions, such as this document, are typically limited to homes and buildings with a permit date (or, for manufactured homes, a production date) prior to a specified date. Consult the [Implementation Timeline](#) table to assess whether a home or apartment is still eligible to be certified using this document.

For questions or more information, contact us at [energystarhome@energystar.gov](mailto:energystarhome@energystar.gov).



# ENERGY STAR Single-Family New Homes National HVAC Design Report, Version 3 / 3.1 (Rev. 11) <sup>1</sup>

## HVAC Designer Responsibilities:

- Complete one National HVAC Design Report for each system design for a house plan, created for either the specific plan configuration (i.e., elevation, option, orientation, & county) of the home to be certified or for a plan that is intended to be built with different configurations (i.e., different elevations, options, and/or orientations). Visit [www.energystar.gov/newhomeshvacdesign](http://www.energystar.gov/newhomeshvacdesign) and see Footnote 2 for more information. <sup>2</sup>
- Obtain efficiency features (e.g., window performance, insulation levels, and infiltration rate) from the builder or Rater. <sup>3</sup>
- Provide the completed National HVAC Design Report to the builder or credentialed HVAC contractor and to the Rater.

## 1. Design Overview

1.1 Designer name: \_\_\_\_\_ Designer company: \_\_\_\_\_ Date: \_\_\_\_\_

1.2 Select which party you are providing these design services to:  Builder or  Credentialed HVAC contractor

1.3 Name of company you are providing these design services to (if different than Item 1.1): \_\_\_\_\_

1.4 Area that system serves:  Whole-house  Upper-level  Lower-level  Other \_\_\_\_\_

1.5 Is cooling system for a temporary occupant load? <sup>4</sup>  Yes  No

1.6 House plan: \_\_\_\_\_ Check box to indicate whether the system design is site-specific or part of a group: <sup>2</sup>

Site-specific design. Option(s) & elevation(s) modeled: \_\_\_\_\_

Group design. Group #: \_\_\_\_\_ out of \_\_\_\_\_ total groups for this house plan. Configuration modeled: \_\_\_\_\_

## 2. Dwelling Unit Mechanical Ventilation System Design ("Vent System") <sup>5, 6, 7</sup> & Inlets in Return Duct <sup>8</sup>

Designer Verified

### Airflow:

2.1 Ventilation airflow design rate & run-time meet the requirements of ASHRAE 62.2-2010, 2013, or 2016. <sup>9</sup>

2.2 Ventilation airflow rate required by 62.2 for a continuous system: \_\_\_\_\_ CFM -

2.3 Design for this system: Vent. airflow rate: \_\_\_\_\_ CFM Run-time per cycle: \_\_\_\_\_ minutes Cycle time: \_\_\_\_\_ minutes -

### System Type & Controls:

2.4 Specified system type:  Supply  Exhaust  Balanced -

2.5 Specified control location: \_\_\_\_\_ (e.g., Master bath, utility room) -

2.6 Specified controls allow the system to operate automatically, without occupant intervention.

2.7 Specified controls include a readily-accessible ventilation override and a label has also been specified if its function is not obvious (e.g., a label is required for a toggle wall switch, but not for a switch that's on the ventilation equipment).

2.8 For any outdoor air inlet designed to connect to a ducted return of the HVAC system, specified controls automatically restrict airflow using a motorized damper during ventilation off-cycle and occupant override. <sup>8, 10</sup>

**Sound:** 2.9 The fan of the specified system is rated ≤ 3 sones if intermittent and ≤ 1 sone if continuous, or exempted. <sup>11</sup>

### Efficiency:

2.10 If Vent System controller operates the HVAC fan, then HVAC fan operation is intermittent and either the fan type in Item 4.7 is ECM / ICM or the controls will reduce the run-time by accounting for HVAC system heating or cooling hours. <sup>12</sup>

2.11 If bathroom fans are specified as part of the system, then they are ENERGY STAR certified. <sup>13</sup>

### Air Inlet Location: (Complete this section if system has a specified air inlet location; otherwise check "N/A"). <sup>14</sup> N/A

2.12 Inlet pulls ventilation air directly from outdoors and not from attic, crawlspace, garage, or adjacent dwelling unit.

2.13 Inlet is ≥ 2 ft. above grade or roof deck; ≥ 10 ft. of stretched-string distance from known contamination sources (e.g., stack, vent, exhaust, vehicles) not exiting the roof, and ≥ 3 ft. from known sources exiting the roof.

## 3. Room-by-Room Heating & Cooling Loads <sup>15</sup>

3.1 Room-by-room loads calculated using:  Unabridged ACCA Manual J v8  2013 ASHRAE Fundamentals  Other per AHJ <sup>16</sup> -

3.2 Indoor design temperatures used in loads are 70°F for heating and 75°F for cooling.

3.3 Outdoor design temperatures used in loads: (See Footnote 13 and [energystar.gov/hvacdesigntemps](http://energystar.gov/hvacdesigntemps)) <sup>17</sup> -

County & State, or US Territory, selected: \_\_\_\_\_ Cooling season: \_\_\_\_\_ °F Heating season: \_\_\_\_\_ °F

3.4 Number of occupants used in loads: <sup>18</sup> \_\_\_\_\_ -

3.5 Conditioned floor area used in loads: <sup>19</sup> \_\_\_\_\_ Sq. Ft. -

3.6 Window area used in loads: <sup>20</sup> \_\_\_\_\_ Sq. Ft. -

3.7 Predominant window SHGC used in loads: <sup>21</sup> \_\_\_\_\_ -

3.8 Infiltration rate used in loads: <sup>22</sup> Summer: \_\_\_\_\_ Winter: \_\_\_\_\_ -

3.9 Mechanical ventilation rate used in loads: \_\_\_\_\_ CFM -

Loads At Design Conditions (kBtuh)		N	NE	E	SE	S	SW	W	NW	-
Cooling	3.10 Sensible heat gain (By orientation <sup>23</sup> ):									-
	3.11 Latent heat gain (Not by orientation):									-
	3.12 Total heat gain (By orientation <sup>23</sup> ):									-
	3.13 Maximum – minimum total heat gain (Item 3.12) across orientations = _____ kBtuh Variation is ≤ 6 kBtuh. <sup>23, 24</sup>									
Heating	3.14 Total heat loss (Not by orientation):									-



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4. Heating & Cooling Equipment Selection <sup>15</sup>					Designer Verified
4.1 Equipment selected per ACCA Manual S (see Footnote 25 & 26). <sup>25, 26</sup>					<input type="checkbox"/>
<b>Air Conditioner / Heat Pump</b> (Complete if air conditioner or heat pump will be installed; otherwise check "N/A")					<input type="checkbox"/> N/A
4.2 Equipment type: <input type="checkbox"/> Cooling-only air conditioner or <input type="checkbox"/> Cooling & heating heat pump					-
4.3 Condenser manufacturer & model: _____					-
4.4 Evaporator / fan coil manufacturer & model: _____					-
4.5 AHRI reference #: <sup>27</sup> _____					-
4.6 AHRI listed efficiency: _____ / _____ EER / SEER Air-source heat pump: _____ HSPF Ground-source heat pump: _____ COP					-
4.7 Evaporator fan type: <input type="checkbox"/> PSC <input type="checkbox"/> ECM / ICM <input type="checkbox"/> Other: _____					-
4.8 Compressor type: <input type="checkbox"/> Single-speed <input type="checkbox"/> Two-speed <input type="checkbox"/> Variable-speed					-
4.9 Latent capacity at design conditions, from OEM expanded performance data: _____ kBtuh					-
4.10 Sensible capacity at design conditions, from OEM expanded performance data: _____ kBtuh					-
4.11 Total capacity at design conditions, from OEM expanded performance data: _____ kBtuh					-
4.12 Air-source heat pump capacity: At 17°F: _____ kBtuh At 47°F: _____ kBtuh <input type="checkbox"/> N/A					-
4.13 Cooling sizing % = Total capacity (Item 4.11) divided by maximum total heat gain (Item 3.12): _____ %					-
4.14 Complete this Item if Condition B Climate will be used to select sizing limit in Item 4.15. Otherwise, check "N/A": <sup>28</sup> <input type="checkbox"/> N/A					-
4.14.1 Load sensible heat ratio = Max. sensible heat gain (Item 3.10) / Max. total heat gain (Item 3.12) = _____ %					
4.14.2 HDD / CDD ratio (Visit <a href="http://energystar.gov/hvacdesigntemps">energystar.gov/hvacdesigntemps</a> to determine this value for the design location) = _____					
4.15 Check box of applicable cooling sizing limit from chart below: <sup>25, 26</sup>					-
Equipment Type (Per Item 4.2) & Climate Condition (Per Item 4.14)	Compressor Type (Per Item 4.8)				
	Single-Speed	Two-Speed	Variable-Speed		
For Cooling-Only Equipment or For Cooling Mode of Heat Pump in Condition A Climate	<input type="checkbox"/> Recommended: 90 – 115% Allowed: 90 – 130%	<input type="checkbox"/> Recommended: 90 – 120% Allowed: 90 – 140%	<input type="checkbox"/> Recommended: 90 – 130% Allowed: 90 – 160%		
For Cooling Mode of Heat Pump in Condition B Climate	<input type="checkbox"/> 90% - 100%, plus 15 kBtuh	<input type="checkbox"/> 90% - 100%, plus 15 kBtuh	<input type="checkbox"/> 90% - 100%, plus 15 kBtuh		
4.16 Cooling sizing % (4.13) is within cooling sizing limit (4.15).					<input type="checkbox"/>
<b>Furnace</b> (Complete if furnace will be installed; otherwise check "N/A").					<input type="checkbox"/> N/A
4.17 Furnace manufacturer & model: _____					-
4.18 Listed efficiency: _____ AFUE					-
4.19 Total capacity: _____ kBtuh					-
4.20 Heating sizing % = Total capacity (Item 4.19) divided by total heat loss (Item 3.14): _____ %					-
4.21 Check box of applicable heating sizing limit from chart below:					-
When Used for Heating Only		When Paired With Cooling			
<input type="checkbox"/> 100 – 140%		<input type="checkbox"/> Recommended: 100 – 140% Allowed: 100 – 400%			
4.22 Heating sizing % (4.20) is within heating sizing limit (4.21).					<input type="checkbox"/>
<b>5. Duct Design</b> (Complete if heating or cooling equipment will be installed with ducts; otherwise check "N/A"). <sup>15</sup>					<input type="checkbox"/> N/A
5.1 Duct system designed for the equipment selected in Section 4, per ACCA Manual D.					<input type="checkbox"/>
5.2 Design HVAC fan airflow: <sup>29</sup> Cooling mode _____ CFM Heating mode _____ CFM					-
5.3 Design HVAC fan speed setting (e.g., low, medium, high): <sup>30</sup> Cooling mode _____ Heating mode _____					-
5.4 Design total external static pressure (corresponding to the mode with the higher airflow in Item 5.2): <sup>31</sup> _____ IWC					-
5.5 Room-by-room design airflows documented below (which must sum to the mode with the higher airflow in Item 5.2): <sup>32, 33</sup>					-
Room Name	Design Airflow (CFM)	Room Name	Design Airflow (CFM)	Room Name	Design Airflow (CFM)
1		12		23	
2		13		24	
3		14		25	
4		15		26	
5		16		27	
6		17		28	
7		18		29	
8		19		30	
9		20		31	
10		21		32	
11		22		Total for all rooms	



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## Footnotes

1. This report is designed to meet ASHRAE 62.2-2010 / 2013 / 2016 and ANSI / ACCA's 5 QI-2015 protocol, thereby improving the performance of HVAC equipment in new homes when compared to homes built to minimum code. However, these features alone cannot prevent all ventilation, indoor air quality, and HVAC problems (e.g., those caused by a lack of maintenance or occupant behavior). Therefore, system designs documented through the use of this report are not a guarantee of proper ventilation, indoor air quality, or HVAC performance.

This report applies to split air conditioners, unitary air conditioners, air-source heat pumps, and water-source (i.e., geothermal) heat pumps up to 65 kBtuh with forced-air distribution systems (i.e., ducts) and to furnaces up to 225 kBtuh with forced-air distribution systems (i.e., ducts). For all other permutations of equipment (e.g., boilers, mini-split / multi-split systems) and distribution systems, Section 1 and 2 are required and Sections 3 through 5 are recommended, but not required.

2. The report shall represent a single system design for a house plan. Check the box for "site-specific design" if the design was created for the specific plan configuration (i.e., elevation, option, orientation, and county) of the home to be certified. Check the box for "group design" if the design was created for a plan that is intended to be built with potentially different configurations (i.e., different elevations, options, and/or orientations). Regardless of the box checked, the system design as documented on this National HVAC Design Report must fall within the following tolerances for the home to be certified:

- Item 3.3: The outdoor design temperature used in loads are within the limits defined at [energystar.gov/hvacdesigntemps](http://energystar.gov/hvacdesigntemps).
- Item 3.4: The number of occupants used in loads is within  $\pm 2$  of the home to be certified.
- Item 3.5: The conditioned floor area used in loads is between 100 sq. ft. smaller and 300 sq. ft. larger than the home to be certified.
- Item 3.6: The window area used in loads is between 15 sq. ft. smaller and 60 sq. ft. larger than the home to be certified, or, for homes to be certified with >500 sq. ft. of window area, between 3% smaller and 12% larger.
- Item 3.7: The predominant window SHGC is within 0.1 of the predominant value in the home to be certified.
- Items 3.10 - 3.12: The sensible, latent, & total heat gain are documented for the orientation of the home to be certified.
- Item 3.13: The variation in total heat gain across orientations is  $\leq 6$  kBtuh.
- Item 4.16: The cooling sizing % is within the cooling sizing limit selected.

Provide the National HVAC Design Report to the party you are providing these design services to (i.e., a builder or credentialed HVAC contractor) and to the Rater. The report is only required to be provided once per system design, even if multiple homes are built using this design (e.g., in a production environment where the same plan is built multiple times, only one report is required). As long as a report has been provided that falls within these tolerances for the home to be certified, no additional work is required. However, if no report falls within these tolerances or if any aspect of the system design changes, then an additional report will need to be generated prior to certification.

Visit [energystar.gov/newhomeshvacdesign](http://energystar.gov/newhomeshvacdesign) for a tool to assist with group designs and for more information.

3. The term 'Rater' refers to the person(s) completing the third-party verification required for certification. The person(s) shall: a) be a Certified Rater or Approved Inspector, as defined by ANSI / RESNET / ICC Standard 301, or an equivalent designation as determined by a Home Certification Organization (HCO); and, b) have attended and successfully completed an EPA-recognized training class. See [www.energystar.gov/newhomestraining](http://www.energystar.gov/newhomestraining).
4. Check "Yes" if this system is to handle temporary occupant loads. Such a system may be required to accommodate a significant number of guests on a regular or sporadic basis and shall be handled by a supplemental cooling system (e.g., a small, single-package unit or split-coil unit) or by a system that can shift capacity from zone to zone (e.g., a variable volume system).
5. As defined by ANSI / RESNET / ICC Std. 301-2019, a Dwelling Unit Mechanical Ventilation System is a ventilation system consisting of powered ventilation equipment such as motor-driven fans and blowers and related mechanical components such as ducts, inlets, dampers, filters and associated control devices that provides dwelling-unit ventilation at a known or measured airflow rate.
6. The system shall have at least one supply or exhaust fan with associated ducts and controls. Local exhaust fans are allowed to be part of a Dwelling Unit Mechanical Ventilation System. Designers may provide supplemental documentation as needed to document the system design.
7. In "Warm-Humid" climates as defined by 2009 IECC Figure 301.1 (i.e., CZ 1 and portions of CZ 2 and 3A below the white line), it is recommended, but not required, that equipment be specified with sufficient latent capacity to maintain indoor relative humidity at  $\leq 60\%$ .
8. Item 2.8 applies to any outdoor air inlet connected to a ducted return of the dwelling unit HVAC system, regardless of its intended purpose (e.g., for ventilation air, make-up air, combustion air). This Item does not apply to HVAC systems without a ducted return. For example, if an outdoor air inlet connected to a ducted return is used as a dedicated source of outdoor air for an exhaust ventilation system (e.g., bath fan), the outdoor airflow must be automatically restricted when the exhaust fan is not running and in the event of an override of the exhaust ventilation system. Note that a Rater will generally measure the ventilation rate at the highest HVAC fan speed applicable to ventilation mode (e.g., if the inlet only opens when the HVAC is in 'fan-only' mode, it will be tested in this mode) to verify that it is  $\leq 15$  CFM or 15% above design value. As an alternative, measurement of the outdoor airflow can be waived if a Constant Airflow Regulating (CAR) damper with a manufacturer-specified maximum flow rate no higher than 15 CFM or 15% above the ventilation design value is installed on the inlet.
9. Airflow design rates and run-times shall be determined using ASHRAE 62.2-2010 or later. Designers are permitted, but not required, to use published addenda and/or the 2013 or 2016 version of the standard to assess compliance.
10. In addition, consult manufacturer requirements to ensure return air temperature requirements are met.
11. Dwelling Unit Mechanical Ventilation System fans shall be rated for sound at no less than the airflow rate in Item 2.3. Fans exempted from this requirement include HVAC air handler fans, remote-mounted fans, and intermittent fans rated  $\geq 400$  CFM. To be considered for this exemption, a remote-mounted fan must be mounted outside the habitable spaces, bathrooms, toilets, and hallways and there shall be  $\geq 4$  ft. ductwork between the fan and intake grill. Per ASHRAE 62.2-2010, habitable spaces are intended for continual human occupancy; such space generally includes areas used for living, sleeping, dining, and cooking but does not generally include bathrooms, toilets, hallways, storage areas, closets, or utility rooms.
12. Note that the 'fan-on' setting of a thermostat would not be an acceptable controller because it would continuously operate the HVAC fan.
13. Bathroom fans with a rated flow rate  $\geq 500$  CFM are exempted from the requirement to be ENERGY STAR certified.



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14. Without proper maintenance, ventilation air inlet screens often become filled with debris. Therefore, EPA recommends, but does not require, that these ventilation air inlets be located so as to facilitate access and regular service by the occupant.
15. Homes certified through the Caribbean Program Requirements, Version 3, are exempt from completing Sections 3, 4, and 5 of this report.
16. Select “2013 ASHRAE Fundamentals” if using Chapter 17 of the 2013 ASHRAE Handbook of Fundamentals. Select “Other per AHJ” if the Authority Having Jurisdiction where the home will be certified mandates the use of a load calculation methodology other than Unabridged ACCA Manual J v8 or 2013 ASHRAE Fundamentals.
17. Visit [energystar.gov/hvacdesigntemps](http://energystar.gov/hvacdesigntemps) for the maximum cooling season design temperature and minimum heating season design temperature permitted for ENERGY STAR Single-Family New Homes. For “County & State, or US Territory, selected”, select the County and State or US Territory (i.e., Guam, Northern Mariana Islands, Puerto Rico, or US Virgin Islands), where the home is to be certified. The same design report is permitted to be used in other counties, as long as the design temperature limits in those other counties meet or exceed the cooling and heating season temperature limits for the county selected. For example, if Frederick County, VA, is used for the load calculations, with a 1% cooling temperature limit of 93 °F, then the same report could be used in Fairfax County (which has a higher limit of 94 °F) but not in Albemarle County (which has a lower limit of 92 °F). If a jurisdiction-specified design temperature is used that exceeds the limit in the Design Temperature Limit Reference Guide, designers must submit a Design Temperature Exception Request available at [energystar.gov/hvacdesigntemps](http://energystar.gov/hvacdesigntemps).
18. To determine the number of occupants among all HVAC systems in the home, calculate the number of bedrooms, as defined below, and add one. This number of occupants must be within  $\pm 2$  of the home to be certified, unless Item 1.5 indicates that the system is a cooling system for temporary occupant loads.

A bedroom is defined by ANSI / RESNET / ICC Standard 301-2014 as a room or space 70 sq. ft. or greater size, with egress window and closet, used or intended to be used for sleeping. A “den”, “library”, or “home office” with a closet, egress window, and 70 sq. ft. or greater size or other similar rooms shall count as a bedroom, but living rooms and foyers shall not.

An egress window, as defined in 2009 IRC section R310, shall refer to any operable window that provides for a means of escape and access for rescue in the event of an emergency. The egress window definition has been summarized for convenience. The egress window shall:

  - have a sill height of not more than 44 inches above the floor; AND
  - have a minimum net clear opening of 5.7 sq. ft., height of 24 in., and width of 20 in.; AND
  - be operational from the inside of the room without the use of keys, tools or special knowledge.
19. The difference between the Conditioned Floor Area (CFA) used in the design and the actual home to be certified must fall within the tolerance specified in Footnote 2, as verified by a Rater. Be advised, the Rater will calculate CFA using the definition in ANSI / RESNET / ICC Standard 301-2019, which defines this value, in part, as the floor area of the Conditioned Space Volume within a building or Dwelling Unit, not including the floor area of attics, crawlspaces, and basements below air sealed and insulated floors. See <https://codes.iccsafe.org/content/chapter/16185/> for the complete definition.
20. The difference between the window area used in the design and the actual home to be certified must fall within the tolerance specified in Footnote 2, as verified by a Rater. Be advised, the Rater will calculate window area using the on-site inspection protocol provided in Normative Appendix B of ANSI / RESNET / ICC Standard 301-2019, which instructs the Rater to measure the width and height of the rough opening for the window and round to the nearest inch, and then to use these measurements to calculate window area, rounding to the nearest tenth of a square foot. See <https://codes.iccsafe.org/content/chapter/16191/> for the complete protocol.
21. “Predominant” is defined as the SHGC value used in the greatest amount of window area in the home.
22. Infiltration rate shall reflect the value used in the confirmed or projected ERI rating for home to be certified. Alternatively, use “Average” or “Semi-loose” values for the cooling season infiltration rate and “Semi-tight” or “Average” values for the heating season infiltration rate, as defined by ACCA Manual J, Eighth Edition, Version Two.
23. Orientation represents the direction that the front door of the house is facing. The designer is only required to document the loads for the orientation(s) that the house might be built in. For example, if a house plan will only be built one time in a specific orientation (e.g., a site-specific design), then the designer only needs to document the loads for this one orientation.
24. Determine the orientation with the largest and smallest Total Heat Gain. Verify that the difference in Total Heat Gain between the orientation with the largest and smallest value is  $\leq 6$  kBtuh. If not, then assign the orientations into one or more groups until the difference is  $\leq 6$  kBtuh and then complete a separate National HVAC Design Report for each group.
25. Equipment shall be selected using the maximum total heat gain in Item 3.12 and the total heat loss in Item 3.14 per ACCA Manual S, Second Edition, except that cooling ranges above ACCA Manual S limits are temporarily allowed, per Item 4.15.
26. As an alternative for low-load spaces, a system match-up including a single-speed compressor with a total capacity  $\leq 20$  kBtuh is permitted to be used in spaces with a total cooling load  $\leq 15$  kBtuh. A system match-up including a two-speed or variable-speed compressor with a total capacity  $\leq 25$  kBtuh is permitted to be used in spaces with a total cooling load  $\leq 18$  kBtuh.
27. If an AHRI Reference # is not available, OEM-provided documentation shall be attached with the rated efficiency of the specific combination of indoor & outdoor components of the air conditioner or heat pump, along with confirmation that the components are designed to be used together.
28. Per ACCA Manual S, Second Edition, if the load sensible heat ratio is  $\geq 95\%$  and the HDD/CDD ratio is  $\geq 2.0$ , then the Climate is Condition B, otherwise it is Condition A.
29. Design HVAC fan airflow is the design airflow for the blower in CFM, as determined using the manufacturer’s expanded performance data.
30. Design HVAC fan speed setting is the setting on the control board (e.g., low, medium, high) corresponding to the Design HVAC fan airflow.
31. Design total external static pressure is the pressure corresponding to the Design HVAC fan airflow, inclusive of external components (e.g., evaporator coil, whole-house humidifier, or  $\geq$  MERV 6 filter).
32. Designers may provide supplemental documentation with room-by-room and total design airflows in lieu of completing Item 5.5. Sample supplemental documentation can be found at <http://www.energystar.gov/newhomeshvacdesign>.
33. Orientation-specific room-by-room design airflows are recommended, but not required, to distribute airflow proportional to load, thereby improving comfort and efficiency.