



ENERGY STAR®

Product Specification for Imaging Equipment

Eligibility Criteria Draft Version 3.1

1 Following is the Draft Version 3.1 ENERGY STAR Product Specification for Imaging Equipment. A
2 product shall meet all of the identified criteria if it is to earn the ENERGY STAR.

3
4 **Note:** EPA has discussed with multiple stakeholders the possibility of incorporating remanufactured
5 equipment into the ENERGY STAR criteria for imaging equipment products. The Agency has determined
6 that it would be advantageous to customers for the ENERGY STAR program to expand its scope to cover
7 these products and give them a pathway to certification. This revision is intended to incorporate those
8 products through a scope expansion. Any existing product certifications will not be impacted by this
9 revision.

10
11 EPA has come to this decision by noting that there are large amounts of energy consumed in the
12 manufacturing phase of imaging equipment products, more than the use phase. As such, covering
13 remanufactured products offers a clear environmental benefit to the planet. EPA has taken this into
14 account as well as the risk to the brand and incorporates that thinking into the proposal outlined in this
15 draft.

16 **1 DEFINITIONS**

17 A) Product Types:

18 1) Printer: A product whose primary function is to generate paper output from electronic input. A
19 printer is capable of receiving information from single-user or networked computers, or other input
20 devices (e.g., digital cameras). This definition is intended to cover products that are marketed as
21 printers and printers that can be field-upgraded to meet the definition of an MFD.

22 2) Scanner: A product whose primary function is to convert paper originals into electronic images
23 that can be stored, edited, converted, or transmitted, primarily in a personal computing
24 environment. This definition is intended to cover products that are marketed as scanners.

25 3) Copier: A product whose sole function is to produce paper duplicates from paper originals. This
26 definition is intended to cover products that are marketed as copiers, and upgradeable digital
27 copiers (UDCs).

28 4) Facsimile (Fax) Machine: A product whose primary functions are (1) to scan paper originals for
29 electronic transmission to remote units, and (2) to receive electronic transmissions for conversion
30 to paper output. A fax machine may also be capable of producing paper duplicates. Electronic
31 transmission is primarily over a public telephone system, but may also be via a computer network
32 or the Internet. This definition is intended to cover products that are marketed as fax machines.

33 5) Multifunction Device (MFD): A product that performs the core functions of a Printer and Scanner.
34 An MFD may have a physically integrated form factor, or it may consist of a combination of
35 functionally integrated components. MFD copy functionality is considered to be distinct from
36 single-sheet convenience copying functionality sometimes offered by fax machines. This
37 definition includes products marketed as MFDs and “multi-function products” (MFPs).

38 6) Digital Duplicator: A product sold as a fully-automated duplicator system through the method of
39 stencil duplicating with digital reproduction functionality. This definition is intended to cover
40 products that are marketed as digital duplicators.

41 7) Mailing Machine: A product whose primary function is to print postage onto mail pieces. This
42 definition is intended to cover products that are marketed as mailing machines.

43 8) Professional Imaging Product: A printer or MFD marketed as intended for producing deliverables
44 for sale, with the following features:

45 a) Supports paper with basis weight greater than or equal to 141 g/m²;

46 b) A3-capable;

47 c) If product is monochrome, monochrome product speed equal to or greater than 86 ipm;

48 d) If product is color, color product speed equal to or greater than 50 ipm;

49 e) Print resolution of 600 × 600 dots per inch or greater for each color;

50 f) Weight of the base model greater than 180 kg; and

51 **Five** of the following additional features for color products or **four** for monochrome products,
52 included standard with the Imaging Equipment product or as an accessory:

53 g) Paper capacity equal to or greater than 8,000 sheets;

54 h) Digital front-end (DFE);

55 i) Hole punch;

56 j) Perfect binding or ring binding (or similar, such as tape or wire binding, but not staple
57 saddle stitching);

58 k) Dynamic random access memory (DRAM) storage equal to or greater than 1,024 MB.

59 l) Third-party color certification (e.g., IDEAlliance Digital Press Certification, FOGRA
60 Validation Printing System Certification, or Japan Color Digital Printing Certification, if
61 product is color capable); and

62 m) Coated paper compatibility.

63 9) Remanufactured Imaging Equipment: Product that meets the definition of a product (as
64 defined in Section 1.A)1-8)), which has been returned to a “like new” state by the
65 manufacturer. For the purposes of this specification, this includes:

66 a) Utilizing new and reused components from the original equipment manufacturer (OEM);

67 b) Firmware updated to the most recent version and erasing of all existing user data for
68 security purposes;

69 c) “As new” performance including image quality, functionality, and energy performance;

70 d) Cosmetically, as new, appearance;

71 e) Warranty of same length to originally designed, new product warranty.

72

73 **Note:** EPA is proposing to add a definition for remanufactured imaging equipment. The list of required
74 attributes for remanufactured equipment is consistent with the goals of the ENERGY STAR program and
75 was developed in consultation with stakeholders.

76
77 B) Marking Technologies:

- 78 1) Direct Thermal (DT): A marking technology characterized by the burning of dots onto coated print
79 media that is passed over a heated print head. DT products do not use ribbons.
- 80 2) Dye Sublimation (DS): A marking technology characterized by the deposition (sublimation) of dye
81 onto print media as energy is supplied to heating elements.
- 82 3) Electro-photographic (EP): A marking technology characterized by the illumination of a
83 photoconductor in a pattern representing the desired output image via a light source,
84 development of the image with particles of toner using the latent image on the photoconductor to
85 define the presence or absence of toner at a given location, transfer of the toner to the final print
86 media, and fusing to cause the output to become durable. For purposes of this specification,
87 Color EP products simultaneously offer three or more unique toner colors, while Monochrome EP
88 products simultaneously offer one or two unique toner colors. This definition includes Laser, Light
89 Emitting Diode (LED), and Liquid Crystal Display (LCD) illumination technologies.
- 90 4) Impact: A marking technology characterized by the formation of the desired output image by
91 transferring colorant from a “ribbon” to the print media via an impact process. This definition
92 includes Dot Formed Impact and Fully Formed Impact.
- 93 5) Ink Jet (IJ): A marking technology characterized by the deposition of colorant in small drops
94 directly to the print media in a matrix manner. For purposes of this specification, Color IJ products
95 offer two or more unique colorants at one time, while Monochrome IJ products offer one colorant
96 at a time. This definition includes Piezo-electric (PE) IJ, IJ Sublimation, and Thermal IJ. This
97 definition does not include High Performance IJ.
- 98 6) High Performance IJ: An IJ marking technology that includes nozzle arrays that span the width of
99 a page and/or the ability to dry ink on the print media via supplemental media heating
100 mechanisms. High-performance IJ products are used in business applications usually served by
101 electro-photographic marking products.
- 102 7) Solid Ink (SI): A marking technology characterized by ink that is solid at room temperature and
103 liquid when heated to the jetting temperature. This definition includes both direct transfer and
104 offset transfer via an intermediate drum or belt.
- 105 8) Stencil: A marking technology characterized by the transfer of images onto print media from a
106 stencil that is fitted around an inked drum.
- 107 9) Thermal Transfer (TT): A marking technology characterized by the deposition of small drops of
108 solid colorant (usually colored waxes) in a melted/fluid state directly to print media in a matrix
109 manner. TT is distinguished from IJ in that the ink is solid at room temperature and is made fluid
110 by heat.

111 C) Operational Modes:

- 112 1) On Mode:
- 113 a) Active State: The power state in which a product is connected to a power source and is
114 actively producing output, as well as performing any of its other primary functions.

115 b) Ready State: The power state in which a product is not producing output, has reached
116 operating conditions, has not yet entered into any lower-power modes, and can enter Active
117 State with minimal delay. All product features can be enabled in this state, and the product is
118 able to return to Active State by responding to any potential inputs, including external
119 electrical stimulus (e.g., network stimulus, fax call, or remote control) and direct physical
120 intervention (e.g., activating a physical switch or button).

121 2) Off Mode: The power state that the product enters when it has been manually or automatically
122 switched off but is still plugged in and connected to the mains. This mode is exited when
123 stimulated by an input, such as a manual power switch or clock timer to bring the unit into Ready
124 State. When this state is resultant from a manual intervention by a user, it is often referred to as
125 Manual Off, and when it is resultant from an automatic or predetermined stimuli (e.g., a delay time
126 or clock), it is often referred to as Auto-off.¹

127 3) Sleep Mode: A reduced power state that a product enters either automatically after a period of
128 inactivity (i.e., Default Delay Time), in response to user manual action (e.g., at a user-set time of
129 day, in response to a user activation of a physical switch or button), or in response to external
130 electrical stimulus (e.g., network stimulus, fax call, remote control). For products evaluated under
131 the TEC test method, Sleep Mode permits operation of all product features (including
132 maintenance of network connectivity), albeit with a possible delay to transition into Active State.
133 For products evaluated under the OM test method, Sleep Mode permits operation of a single
134 active network interface, as well as a fax connection if applicable, albeit with a possible delay to
135 transition into Active State.

136 D) Media Format:

137 1) Large Format: Products designed for A2 media and larger, including those designed to
138 accommodate continuous form media greater than or equal to 406 mm wide. Large-format
139 products may also be capable of printing on standard-size or small-format media.

140 2) Standard Format: Products designed for standard-sized media (e.g., Letter, Legal, Ledger, A3,
141 A4, B4), including those designed to accommodate continuous form media between 210 mm and
142 406 mm wide. Standard-size products may also be capable of printing on small-format media.

143 a) A3-capable: Standard Format products with a paper path width equal to or greater than
144 275 mm.

145 3) Small Format: Products designed for media sizes smaller than those defined as Standard (e.g.,
146 A6, 4"x6", microfilm), including those designed to accommodate continuous form media less than
147 210 mm wide.

148 4) Continuous Form: Products that do not use a cut-sheet media format and that are designed for
149 applications such as printing of bar codes, labels, receipts, banners, and engineering drawings.
150 Continuous Form products can be Small, Standard, or Large Format.

151 E) Additional Terms:

152 1) Automatic Duplexing: The capability of an MFD or printer to produce images on both sides of an
153 output sheet, without manual manipulation of output as an intermediate step. A product is
154 considered to have automatic duplexing capability only if all accessories needed to produce a
155 duplex output are included with the product upon shipment.

156 2) Data Connection: A connection that permits the exchange of information between the Imaging
157 Equipment and one external powered device or storage medium.

1 For the purposes of this specification "mains" or the "main electricity supply" refers to the input power source, including a dc power supply for products that operate solely off dc power.

- 158 3) Default Delay Time: The time set by the manufacturer prior to shipping that determines when the
 159 product will enter a lower-power mode (e.g., Sleep, Auto-off) following completion of its primary
 160 function.
- 161 4) Recovery Time: The time it takes for a device to return from a Sleep or Off Mode to a Ready
 162 State.
- 163 5) Digital Front-end (DFE): A functionally-integrated server that hosts other computers and
 164 applications and acts as an interface to Imaging Equipment. A DFE provides greater functionality
 165 to the Imaging Equipment.
- 166 a) A DFE offers three or more of the following advanced features:
- 167 i. Network connectivity in various environments;
 - 168 ii. Mailbox functionality;
 - 169 iii. Job queue management;
 - 170 iv. Machine management (e.g., waking the Imaging Equipment from a reduced power
 171 state);
 - 172 v. Advanced graphic user-interface (UI);
 - 173 vi. Ability to initiate communication with other host servers and client computers (e.g.,
 174 scanning to email, polling remote mailboxes for jobs); or
 - 175 vii. Ability to post-process pages (e.g., reformatting pages prior to printing).
- 176 b) Type 1 DFE: A DFE that draws its dc power from its own ac power supply (internal or
 177 external), which is separate from the power supply that powers the Imaging Equipment. This
 178 DFE may draw its ac power directly from a wall outlet, or it may draw it from the ac power
 179 associated with the Imaging Equipment's internal power supply. A Type 1 DFE may be sold
 180 standard with the Imaging Equipment product or as an accessory.
- 181 c) Type 2 DFE: A DFE that draws its dc power from the same power supply as the Imaging
 182 Equipment with which it operates. Type 2 DFEs must have a board or assembly with a
 183 separate processing unit that is capable of initiating activity over the network and can be
 184 physically removed, isolated, or disabled using common engineering practices to allow power
 185 measurements to be made.
- 186 d) Professional Digital Front-end (DFE): A DFE which meets **all** of the following criteria:
- 187 i. Is sold with a product defined above as a Professional Imaging Product;
 - 188 ii. has processor performance per socket² equal to or greater than 20;
 - 189 iii. provides support for buffered memory (including both buffered dual in-line memory
 190 modules (DIMMs) and buffered on board (BOB) configurations).
 - 191 iv. is packaged and sold with one or more ac-dc or dc-dc power supplies; and
 - 192 v. is designed such that all processors have access to shared system memory.
 193
- 194 e) Auxiliary Processing Accelerator (APA): A computing expansion add-in card installed in a
 195 general-purpose add-in expansion slot of the DFE (e.g., GPGPU installed in a PCI slot).
- 196 6) Network Connection: A connection that permits the exchange of information between the Imaging
 197 Equipment and one or more external powered devices.

2 Processor performance per socket = [# of processor cores] x [processor clock speed (GHz)], where # of cores represents the number of physical cores and processor clock speed represents the Max TDP core base frequency for a given processor.

- 198 7) Functional Adder: A data or network interface or other component that adds functionality to the
199 marking engine of an Imaging Equipment product and provides a power allowance when
200 certifying products according to the OM method.
- 201 8) Operational Mode (OM): For the purposes of this specification, a method of comparing product
202 energy performance via an evaluation of power (measured in watts) in various operating states,
203 as specified in Section 9 of the ENERGY STAR Imaging Equipment Test Method.
- 204 9) Typical Electricity Consumption (TEC): For the purposes of this specification, a method of
205 comparing product energy performance via an evaluation of typical electricity consumption
206 (measured in kilowatt-hours) during normal operation over a specified period of time, as specified
207 in Section 8 of the ENERGY STAR Imaging Equipment Test Method.
- 208 10) Marking Engine: The fundamental engine of an Imaging Equipment product that drives image
209 production. A marking engine relies upon functional adders for communication ability and image
210 processing. Without functional adders and other components, a marking engine cannot acquire
211 image data for processing and is non-functional.
- 212 11) Base Product: The most fundamental configuration of a particular Product Model, which
213 possesses the minimum number of functional adders available. Optional components and
214 accessories are not considered part of a base product.
- 215 12) Accessory: A piece of peripheral equipment that is not necessary for the operation of the Base
216 Product, but that may be added before or after shipment in order to add functionality. An
217 accessory may be sold separately under its own model number, or sold with a base product as
218 part of a package or configuration.
- 219 13) Product Model: An Imaging Equipment product that is sold or marketed under a unique model
220 number or marketing name. A product model may be comprised of a base product or a base
221 product plus accessories.
- 222 14) Product Family: A group of product models that are (1) made by the same manufacturer, (2)
223 subject to the same ENERGY STAR certification criteria, and (3) of a common basic design.
224 Product models within a family differ from each other according to one or more characteristics or
225 features that either (1) have no impact on product performance with regard to ENERGY STAR
226 certification criteria, or (2) are specified herein as acceptable variations within a product family.
227 For Imaging Equipment, acceptable variations within a product family include:
- 228 a) Color,
- 229 b) Housing,
- 230 c) Input or output paper-handling accessories,
- 231 d) Electronic components not associated with the marking engine of the Imaging Equipment
232 product, including Type 1 and Type 2 DFEs.

233 **2 SCOPE**

234 **2.1 Included Products**

235 2.1.1 Commercially-available products that meet one of the Imaging Equipment definitions in
 236 Section 1.A) and are capable of being powered from (1) a wall outlet, (2) a data or network
 237 connection, or (3) both a wall outlet and a data or network connection, are eligible for
 238 ENERGY STAR certification, with the exception of products listed in Section 2.2.

239 2.1.2 An Imaging Equipment product must further be classified as either “TEC” or “OM” in Table
 240 1, below, depending on the method of ENERGY STAR evaluation.

241 **Table 1: Evaluation Methods for Imaging Equipment (New or Remanufactured)**

Equipment Type	Media Format	Marking Technology	ENERGY STAR Evaluation Method	
Digital Duplicator	Standard	Stencil	TEC	
Mailing Machine	All	DT, EP, IJ, TT	OM	
Multifunction Device (MFD)	Standard	High Performance IJ, DT, DS, EP, SI, TT	TEC	
		IJ, Impact	OM	
	Large	High Performance IJ, DT, DS, EP, IJ, SI, TT	OM	
Printer	Standard	High Performance IJ, DT, DS, EP, SI, TT	TEC	
		IJ, Impact	OM	
	Large or Small	DT, DS, EP, Impact, IJ, SI, TT	OM	
		Large	High Performance IJ	OM
		Small	High Performance IJ	TEC
Scanner	All	N/A	OM	
Professional Imaging Products	All	All	TEC	

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243

244 **Note:** EPA has updated the equipment type in the scope to note that remanufactured products are
 245 eligible under the ENERGY STAR specification. Remanufactured products would be evaluated the same
 246 as new products.

247
248

249 **2.2 Excluded Products**

250 2.2.1 Products that are covered under other ENERGY STAR product specifications are not
 251 eligible for certification under this specification. The list of specifications currently in effect
 252 can be found at www.energystar.gov/products.

253 2.2.2 Products that satisfy one or more of the following conditions are not eligible for ENERGY
 254 STAR certification under this specification:

- 255 i. Products that are designed to operate directly on three-phase power;
- 256 ii. Standalone Copiers; and
- 257 iii. Standalone Fax Machines.

258 3 CERTIFICATION CRITERIA

259 3.1 Significant Digits and Rounding

- 260 3.1.1 All calculations shall be carried out with directly measured (unrounded) values.
- 261 3.1.2 Unless otherwise specified, compliance with specification limits shall be evaluated using
262 directly measured or calculated values without any benefit from rounding.
- 263 3.1.3 Directly measured or calculated values that are submitted for reporting on the ENERGY
264 STAR website shall be rounded to the nearest significant digit as expressed in the
265 corresponding specification limit.

266 3.2 General Requirements

- 267 3.2.1 External Power Supply (EPS): Single- and Multiple-voltage EPSs shall meet the Level VI
268 or higher performance requirements under the International Efficiency Marking Protocol
269 when tested according to the Uniform Test Method for Measuring the Energy
270 Consumption of External Power Supplies, Appendix Z to 10 CFR Part 430.
- 271 i. Single-voltage EPSs shall include the Level VI or higher marking.
272 ii. Multiple-voltage EPSs meeting Level VI or higher shall include the Level VI or higher
273 marking.
274 iii. Additional information on the Marking Protocol is available
275 at <http://www.regulations.gov#!documentDetail;D=EERE-2008-BT-STD-0005-0218>.
276 iv. The above requirements shall not apply to any EPSs shipped with a Digital Front End (DFE).
- 277 3.2.2 Additional Cordless Handset: Fax machines and MFDs with fax capability that are sold
278 with additional cordless handsets shall use an ENERGY STAR certified handset, or one
279 that meets the ENERGY STAR Telephony specification when tested to the ENERGY
280 STAR test method on the date the Imaging Equipment product is certified as ENERGY
281 STAR. The ENERGY STAR specification and test method for telephony products may be
282 found at www.energystar.gov/products.
- 283 3.2.3 Functionally Integrated MFD: If a MFD consists of a set of functionally integrated
284 components (i.e., the MFD is not a single physical device), the sum of the measured
285 energy or power consumption for all components shall be less than or equal to the
286 relevant MFD energy or power consumption requirements for ENERGY STAR
287 certification.
- 288 3.2.4 DFE Requirements for Non-Professional Imaging Products: The Typical Electricity
289 Consumption (TEC_{DFE}) of a Type 1 or Type 2 DFE sold with an Imaging Equipment
290 product at the time of sale shall be calculated using Equation 1 for a DFE without Sleep
291 Mode or Equation 2 for a DFE with Sleep Mode. The resulting TEC_{DFE} value shall be less
292 than or equal to the maximum TEC_{DFE} requirement specified in Table 2 for the given DFE
293 type.
- 294 i. For Type 1 DFEs that meet the relevant TEC_{DFE} requirement, the DFE should be excluded
295 from the TEC energy or OM power measurements.
296 ii. For Type 2 DFEs that meet the relevant TEC_{DFE} requirement, the TEC value or Ready State
297 power of the DFE should be subtracted or excluded from the TEC energy or OM power
298 measurements of the Imaging Equipment product.
299 iii. Section 3.3.2 provides further detail on subtracting TEC_{DFE} values from TEC products with
300 Type 2 DFEs;
301 iv. Section 3.5.2 provides further detail for excluding Type 2 DFE power from OM Sleep and Off
302 Mode levels.

303 v. Imaging Equipment products with DFEs that fail to meet these requirements may be certified
 304 without subtracting or excluding the DFE power from that of the Imaging Equipment product
 305 as a whole. The combined energy consumption of the DFE and the Imaging Equipment must
 306 be below the appropriate requirement.

307

308 **Equation 1: TEC_{DFE} Calculation for Digital Front Ends without Sleep Mode**

309
$$TEC_{DFE} = \frac{168 \times P_{DFE_READY}}{1000}$$

310

311 *Where:*

- 312 • TEC_{DFE} is the typical weekly energy consumption for DFEs, expressed in
 313 kilowatt-hours (kWh) and rounded to the nearest 0.1 kWh for reporting;
- 314 • P_{DFE_READY} is Ready State power measured in the test procedure in watts.

315 **Equation 2: TEC_{DFE} Calculation for Digital Front Ends with Sleep Mode**

316
$$TEC_{DFE} = \frac{(45 \times P_{DFE_READY}) + (123 \times P_{DFE_SLEEP})}{1000}$$

317

318 *Where:*

- 319 • TEC_{DFE} is the typical weekly energy consumption for DFEs, expressed in
 320 kilowatt-hours (kWh) and rounded to the nearest 0.1 kWh for reporting;
- 321 • P_{DFE_READY} is the DFE Ready State power measured in the test procedure in
 322 watts.
- 323 • P_{DFE_SLEEP} is the DFE Sleep Mode power measured in the test procedure in
 324 watts.

325 **Table 2: Maximum TEC_{DFE} Requirements for Type 1 and Type 2 DFEs**

DFE Category	Category Description	Maximum TEC_{DFE} (kWh/week)	
		Type 1 DFE	Type 2 DFE
A	All DFEs that do not meet the definition of Category B will be considered under Category A for ENERGY STAR certification.	7	3
B	To be certified under Category B DFEs must have: 2 or more physical CPUs or 1 CPU and ≥ 1 discrete Auxiliary Processing Accelerators (APAs)	12	3

326

327 3.2.5 Default Delay Time Requirements for Non-Professional Imaging Products: Measured
 328 Default Delay Time to Sleep ($t_{DEFAULT}$) shall be less than or equal to the Required Default
 329 Delay Time to Sleep ($t_{DEFAULT_REQ}$) requirement specified in Table 3, subject to the
 330 following conditions:

- 331 i. When reporting data and certifying products that can enter Sleep Mode in multiple ways,
 332 partners should reference a Sleep level that can be reached automatically. If the product is
 333 capable of automatically entering multiple, successive Sleep levels, it is at the manufacturer's
 334 discretion which of these levels is used for certification purposes; however, the default-delay
 335 time provided must correspond with whichever level is used.

- 336 ii. Default Delay Time does not apply to OM products that can meet Sleep Mode requirements
- 337 in Ready State.
- 338 iii. The Default Delay Time to Sleep may not be adjusted by the user to be greater than the
- 339 Maximum Delay Times to Sleep Adjustable by the User, as specified in Table 4.

340 **Table 3: Required Default Delay Time to Sleep for OM and TEC Products**

341 342 343 344 345 346 347 348	Required Default Delay Time to Sleep, $t_{DEFAULT_REQ}$ for MFDs, Scanners, Mailing Machines, and Digital Duplicators with Copying Capability (minutes)	Required Default Delay Time to Sleep, $t_{DEFAULT_REQ}$, for Printers and Digital Duplicators without Copying Capability (minutes)
349	$s \leq 10$	5
350	$10 < s \leq 20$	15
351	$20 < s \leq 30$	30
352	$30 < s \leq 50$	45
353	$s > 50$	45

354 **Table 4: Maximum Delay Times to Sleep Adjustable by the User**

All Devices with a Monochrome Product Speed, s	Maximum Delay Times for Sleep Mode Adjustable by the User (min)
$s \leq 30$	60
$s > 30$	120

355

356 **3.3 Requirements for Typical Electricity Consumption (TEC) Products, Excluding**

357 **Professional Imaging Products**

- 358 3.3.1 Automatic Duplexing Capability: For all MFDs and printers subject to the TEC test method,
- 359 automatic duplexing capability shall be integral to the base product and duplex printing
- 360 must be set as default for products with speed greater than those specified in Table 5.
- 361 Printers whose intended function is to print on special single-sided media for the purpose
- 362 of single sided printing (e.g., release coated paper for labels, direct thermal media, etc.)
- 363 are exempt from this requirement.

364 **Table 5: Automatic Duplexing Requirements for**

365 **all TEC MFDs and Printers**

Product Type	Product Speed (ipm)
Color	$s > 19$
Monochrome	$s > 24$

- 366
- 367 3.3.2 Typical Electricity Consumption: Calculated Typical Electricity Consumption (TEC_{2018}) per
- 368 Equation 3 or Equation 4 shall be less than or equal to the Maximum TEC Requirement
- 369 (TEC_{MAX}) specified in Equation 6.

- 370 i. For Imaging Equipment with a Type 2 DFE that meets the Type 2 DFE maximum TEC_{DFE}
- 371 requirement in Table 2, the measured energy consumption of the DFE shall be divided by
- 372 0.80 to account for internal power supply losses and then excluded when comparing the
- 373 product's measured TEC value to TEC_{MAX} and for reporting.

- 374 ii. For Imaging Equipment with a DFE that does not meet the DFE maximum TEC_{DFE}
 375 requirement, the measured TEC value must meet the TEC_{MAX} without any subtractions or
 376 exclusions for the DFE.
 377 iii. The DFE shall not interfere with the ability of the Imaging Equipment to enter or exit its lower-
 378 power modes.

379

380 **Example:** A printer's total TEC result is 24.50 kWh/wk and its Type 2 TEC_{DFE} value calculated in Section
 381 3.2.4 is 9.0 kWh/wk. The TEC_{DFE} value is then divided by 0.80 to account for internal power supply losses
 382 with the Imaging Equipment in Ready State, resulting in 11.25 kWh/wk. The power supply adjusted value
 383 is subtracted from the tested TEC value: 24.50 kWh/wk – 11.25 kWh/wk = 13.25 kWh/wk. This
 384 13.25 kWh/wk result is then compared to the relevant TEC_{MAX} to determine certification.

385

- 386 iv. For printers, digital duplicators with print capability, and MFDs with print capability, TEC shall
 387 be calculated per Equation 3.

388 **Equation 3: TEC Calculation for Printers, Fax Machines, Digital Duplicators**
 389 **with Print Capability, and MFDs with Print Capability**

390
$$TEC_{2018} = \left[5 \times \left(E_{JOB_DAILY} + (2 \times E_{FINAL}) + \left[24 - \frac{N_{JOBS}}{16} - (2 \times t_{FINAL}) \right] \times \frac{E_{SLEEP}}{t_{SLEEP}} \right) + 48 \times \frac{E_{SLEEP}}{t_{SLEEP}} \right],$$

391

Where:

- 392 • TEC_{2018} is the typical weekly energy consumption for printers, digital
 393 duplicators with print capability, and MFDs with print capability, expressed
 394 in kilowatt-hours (kWh) and rounded to the nearest 0.01 kWh for reporting;
- 395 • E_{JOB_DAILY} is the daily job energy, as calculated per Equation 5, in kWh;
- 396 • E_{FINAL} is the final energy, as measured in the test procedure, converted to
 397 kWh;
- 398 • N_{JOBS} is the number of jobs per day, as calculated in the test procedure,
- 399 • t_{FINAL} is the final time to Sleep, as measured in the test procedure, converted
 400 to hours;
- 401 • E_{SLEEP} is the Sleep energy, as measured in the test procedure, converted to
 402 kWh; and
- 403 • t_{SLEEP} is the Sleep time, as measured in the test procedure, converted to hours.

- 404 v. For digital duplicators without print capability and MFDs without print capability, TEC shall be
 405 calculated per Equation 4.

406 **Equation 4: TEC Calculation for Digital Duplicators without Print Capability**
 407 **and MFDs without Print Capability**

408
$$TEC_{2018} = \left[5 \times \left(E_{JOB_DAILY} + (2 \times E_{FINAL}) + \left[24 - \frac{N_{JOBS}}{16} - (2 \times t_{FINAL}) \right] \times \frac{E_{AUTO}}{t_{AUTO}} \right) + 48 \times \frac{E_{AUTO}}{t_{AUTO}} \right],$$

409

Where:

- 410 • TEC_{2018} is the typical weekly energy consumption for digital duplicators
 411 without print capability and MFDs without print capability, expressed in
 412 kilowatt-hours (kWh) and rounded to the nearest 0.01 kWh for reporting;
- 413 • E_{JOB_DAILY} is the daily job energy, as calculated per Equation 5, in kWh;
- 414 • E_{FINAL} is the final energy, as measured in the test procedure, converted to
 415 kWh;
- 416 • N_{JOBS} is the number of jobs per day, as calculated in the test procedure;
- 417 • t_{FINAL} is the final time to Sleep, as measured in the test procedure, converted
 418 to hours;

419
420
421
422

- E_{AUTO} is the Auto-off energy, as measured in the test procedure, converted to kWh; and
- t_{AUTO} is the Auto-off time, as measured in the test procedure, converted to hours..

423

vi. Daily Job Energy shall be calculated per Equation 5.

424

Equation 5: Daily Job Energy Calculation for TEC Products

425

$$E_{JOB_DAILY} = \frac{1}{4} \left[2 \times E_{JOB1} + (N_{JOBS} - 2) \times \frac{E_{JOB2} + E_{JOB3} + E_{JOB4}}{3} \right],$$

426

Where:

427

- E_{JOB_DAILY} is the daily job energy, expressed in kilowatt-hours (kWh);

428

- E_{JOBi} is the energy of the i^{th} job, as measured in the test procedure, converted to kWh; and

429

430

- N_{JOBS} is the number of jobs per day, as calculated in the test procedure.

431

Equation 6: Maximum TEC Requirement Calculation

432

$$TEC_{MAX} = TEC_{REQ} + Adder_{A3} + Adder_{Wi-Fi},$$

433

Where:

434

- TEC_{MAX} is the maximum TEC requirement in kilowatt-hours per week (kWh/wk), rounded to the nearest 0.01 kWh/wk for reporting;

435

- TEC_{REQ} is the TEC requirement specified in Table 6, in kWh;

436

- $Adder_{A3}$ is a 0.05 kWh/wk allowance provided for A3-capable products; and

437

- $Adder_{Wi-Fi}$ is a 0.1 kWh/wk allowance provided for products with Wi-Fi enabled as shipped during the test..

438

439

Table 6: TEC Requirement

Color Capability	Monochrome Product Speed, s , as Calculated in the Test Method (ipm)	TEC _{REQ} (kWh/wk, rounded to the nearest 0.01 kWh/wk for reporting)
Monochrome Non-MFD	$s \leq 20$	0.226
	$20 < s \leq 40$	$0.018 \times s - 0.152$
	$40 < s \leq 60$	$0.025 \times s - 0.439$
	$60 < s \leq 135$	$0.049 \times s - 1.903$
	$s > 135$	$0.183 \times s - 20.127$
Monochrome MFD	$s \leq 20$	0.263
	$20 < s \leq 40$	$0.018 \times s - 0.115$
	$40 < s \leq 60$	$0.016 \times s - 0.033$
	$60 < s \leq 80$	$0.037 \times s - 1.314$
	$s > 80$	$0.086 \times s - 5.283$
Color Non-MFD	$s \leq 20$	0.275
	$20 < s \leq 40$	$0.032 \times s - 0.397$
	$40 < s \leq 60$	$0.002 \times s + 0.833$
	$s > 60$	$0.100 \times s - 5.145$
Color MFD	$s \leq 20$	0.254
	$20 < s \leq 40$	$0.024 \times s - 0.250$
	$40 < s \leq 60$	$0.011 \times s + 0.283$
	$60 < s \leq 80$	$0.055 \times s - 2.401$
	$s > 80$	$0.118 \times s - 7.504$

441 3.3.3 Additional Test Results Reporting Requirements:

- 442 i. DFE model name/number, Ready State power, Sleep Mode power, and TEC_{DFE} shall be
 443 reported for any Type 1 DFE sold with an Imaging Equipment product, including those not
 444 tested with the Imaging Equipment product as part of the highest energy using configuration
 445 per Section 4.2.1.iii.

446 3.3.4 Recovery Time: Recovery Time, t_{R_TEC} as calculated per Equation 7, shall be less than or
 447 equal to the Maximum Recovery Time, t_{R_MAX} , subject to the following requirements:

- 448 i. For models with a shorter Default Delay Time to Sleep as found in Table 7, t_{R_MAX} shall be
 449 calculated per Equation 8.
 450 ii. For models with a longer Default Delay Time to Sleep as found in Table 7, t_{R_MAX} shall be
 451 calculated per Equation 9.
 452 iii. Models with a Default Delay Time to Sleep greater than any found in Table 7 shall not be
 453 subject to a Recovery Time requirement.

454 **Example:** A 25 ipm MFD with a default sleep delay of 40 minutes (acceptable per Table 3) but falling
 455 outside Table 7 is not subject to a recovery time requirement.

456

- 457 iv. Recovery times from various modes (Active 0, Active 1, Active 2 times) shall be reported for
 458 all products tested using the TEC test method.

459 Equation 7: TEC Recovery Time

$$460 t_{R_TEC} = t_{Active1} - t_{Active0}$$

461
462
463
464
465
466

Where:

- t_{R_TEC} is TEC Recovery Time;
- $t_{Active1}$ is the time from Sleep Mode to the first sheet exiting the unit, in seconds, as measured per the test method; and
- $t_{Active0}$ is the time from Ready State to the first sheet exiting the unit, in seconds, as measured per the test method.

467

Table 7: Determination of Maximum Recovery Time

Print Speed, s (ipm)	Maximum Default Delay Time to Sleep Values to Permit Applicability of Shorter Recovery Time in Equation 8. (minutes)	Maximum Default Delay Time to Sleep Values to Permit Applicability of Longer Recovery Time in Equation 9 (minutes)
$0 < s \leq 5$	$0 < t_{DEFAULT} \leq 5$	$t_{DEFAULT} > 5$
$5 < s \leq 10$	$0 < t_{DEFAULT} \leq 10$	$10 < t_{DEFAULT} \leq 15$
$10 < s \leq 20$	$0 < t_{DEFAULT} \leq 10$	$10 < t_{DEFAULT} \leq 20$
$20 < s \leq 30$	$0 < t_{DEFAULT} \leq 10$	$10 < t_{DEFAULT} \leq 30$
$30 < s \leq 40$	$0 < t_{DEFAULT} \leq 10$	$10 < t_{DEFAULT} \leq 45$
$s > 40$	$0 < t_{DEFAULT} \leq 15$	$15 < t_{DEFAULT} \leq 45$

468

Equation 8: Maximum Recovery Time for Models with Shorter Default Delay Times to Sleep, as Indicated in Table 7

469
470

$$t_{R_MAX} = \min(0.42 \times s + 5, 30),$$

471

Where:

472
473
474
475
476

- t_{R_MAX} is Maximum Recovery Time, in seconds;
- s is the product speed; and
- \min is the minimum function (i.e., the Maximum Recovery Time shall be the lesser of $0.42 \times s + 5$ or 30 seconds).

Equation 9: Maximum Recovery Time for Models with Longer Default Delay Times to Sleep, as Indicated in Table 7

477
478

$$t_{R_MAX} = \min(0.51 \times s + 15, 60),$$

479

Where:

480
481
482
483
484

- t_{R_MAX} is Maximum Recovery Time, in seconds;
- s is the product speed; and
- \min is the minimum function (i.e., the Maximum Recovery Time shall be the lesser of $0.51 \times s + 15$ or 60 seconds).

3.4 Requirements for Professional Imaging Products

485

3.4.1 DFE Requirements for Professional Imaging Products: The Typical Electricity Consumption (TEC_{DFE}) of a Type 1 or Type 2 DFE sold with an Imaging Equipment product at the time of sale shall be calculated using Equation 10 for a DFE without Sleep Mode or Equation 11 for a DFE with Sleep Mode. The resulting TEC_{DFE} value shall be less than or equal to the maximum TEC_{DFE} requirement specified in Table 8 for the given DFE type.

486
487
488
489
490
491

- i. For Type 1 DFEs that meet the relevant TEC_{DFE} requirement, the DFE should be excluded from the TEC energy

492
493

- 494 ii. For Type 2 DFEs that meet the relevant TEC_{DFE} requirement, the TEC value of the DFE
 495 should be subtracted from the TEC energy measurements of the Imaging Equipment product.
 496 iii. For Imaging Equipment with a DFE that does not meet the DFE maximum TEC_{DFE}
 497 requirement, the measured TEC value must meet the TEC_{MAX} without any exclusions for the
 498 DFE.
 499 iv. Sections 3.4.3i and 3.4.3ii provide further detail on subtracting TEC_{DFE} values from TEC
 500 products;
 501 v. Imaging Equipment products with Type 2 DFEs that fail to meet these requirements may be
 502 certified without subtracting the DFE power from that of the Imaging Equipment product as a
 503 whole. The combined energy consumption of the DFE and the Imaging Equipment must be
 504 below the appropriate requirement.
 505 vi. The requirements in this section are not applicable to DFEs which meet the Professional DFE
 506 definition, though their energy consumption may be excluded from that of the imaging
 507 equipment and shall be reported with the ENERGY STAR certified Professional Imaging
 508 Equipment.

509
 510

Equation 10: TEC_{DFE} Calculation for Digital Front Ends without Sleep Mode

511
$$TEC_{DFE} = \frac{168 \times P_{DFE_READY}}{1000}$$

512
 513
 514
 515
 516

Where:

- TEC_{DFE} is the typical weekly energy consumption for DFEs, expressed in kilowatt-hours (kWh) and rounded to the nearest 0.1 kWh for reporting;
- P_{DFE_READY} is Ready State power measured in the test procedure in watts.

517

Equation 11: TEC_{DFE} Calculation for Digital Front Ends with Sleep Mode

518
$$TEC_{DFE} = \frac{(45 \times P_{DFE_READY}) + (123 \times P_{DFE_SLEEP})}{1000}$$

519
 520
 521
 522
 523
 524
 525
 526

Where:

- TEC_{DFE} is the typical weekly energy consumption for DFEs, expressed in kilowatt-hours (kWh) and rounded to the nearest 0.1 kWh for reporting;
- P_{DFE_READY} is the DFE Ready State power measured in the test procedure in watts.
- P_{DFE_SLEEP} is the DFE Sleep Mode power measured in the test procedure in watts.

527
528

Table 8: Maximum TEC_{DFE} Requirements for Type 1 and Type 2 DFEs for Professional Imaging Products

DFE Category	Category Description	Maximum TEC_{DFE} (kWh/week)	
		Type 1 DFE	Type 2 DFE
A	All DFEs that do not meet the definition of Category B will be considered under Category A for ENERGY STAR certification.	10.9	8.7
B	To be certified under Category B DFEs must have: 2 or more physical CPUs or 1 CPU and ≥ 1 discrete Auxiliary Processing Accelerators (APAs)	22.7	18.2

529

530 3.4.2 Automatic Duplexing Capability:

- 531 i. For all Professional Imaging Products, automatic duplexing capability shall be present at the
532 time of purchase. Professional Imaging Products whose intended function is to print on
533 special single-sided media for the purpose of single sided printing (e.g., release coated paper
534 for labels, direct thermal media, etc.,) are exempt.
- 535 ii. If a product is not certain to be bundled with an automatic duplex tray, the partner must make
536 clear in their product literature, on their Web site, and in institutional sales literature that
537 although the product meets the ENERGY STAR energy efficiency requirements, the product
538 only fully qualifies for ENERGY STAR when bundled with or used with a duplexer tray. EPA
539 asks that partners use the following language to convey this message to customers:
540 "Achieves ENERGY STAR energy savings; product fully qualifies when packaged with (or
541 used with) a duplex tray."

542 3.4.3 Typical Electricity Consumption: Calculated Typical Electricity Consumption (TEC) per
543 Equation 12 or Equation 13 shall be less than or equal to the Maximum TEC Requirement
544 (TEC_{MAX}) specified in Equation 15 to the nearest 0.1 kilowatt-hour.

- 545 i. For *Professional* Imaging Products with a Type 2 DFE that meet the Type 2 DFE maximum
546 TEC_{DFE} requirement found in Table 8, the measured energy consumption of the DFE, shall be
547 divided by 0.80 to account for internal power supply losses, and then be excluded when
548 comparing the product's measured TEC value to TEC_{MAX} .
- 549 ii. For Imaging Equipment with a DFE that does not meet the DFE maximum TEC_{DFE}
550 requirement, the measured TEC value must meet the TEC_{MAX} without any exclusions for the
551 DFE.
- 552 iii. The DFE shall not interfere with the ability of the imaging product to enter or exit its lower-
553 power modes.

554 **Example:** A printer's total TEC result is 24.50 kWh/wk and its Type 2 TEC_{DFE} value calculated in Section
555 3.2.4 is 9.0 kWh/wk. The TEC_{DFE} value is then divided by 0.80 to account for internal power supply losses
556 with the Imaging Equipment in Ready Mode, resulting in 11.25 kWh/wk. The power supply adjusted value
557 is subtracted from the tested TEC value: 24.50 kWh/wk – 11.25 kWh/wk = 13.25 kWh/wk. This
558 13.25 kWh/wk result is then compared to the relevant TEC_{MAX} to determine qualification

- 559 iv. For Professional Imaging Products with print capability, and MFDs with print capability, TEC
560 shall be calculated per Equation 12.

561

Equation 12: TEC Calculation for Professional Imaging Products

$$562 \quad TEC = 5 \times \left[E_{JOB_DAILY} + (2 \times E_{FINAL}) + [24 - (N_{JOBS} \times 0.25) - (2 \times t_{FINAL})] \times \frac{E_{SLEEP}}{t_{SLEEP}} \right] + 48 \times \frac{E_{SLEEP}}{t_{SLEEP}},$$

563

Where:

564

- *TEC* is the typical weekly energy consumption for professional imaging products, expressed in kilowatt-hours (kWh) and rounded to the nearest 0.1 kWh for reporting;

565

566

567

- *E_{JOB_DAILY}* is the daily job energy, as calculated per Equation 14, in kWh;

568

569

- *E_{FINAL}* is the final energy, as measured in the test procedure, converted to kWh;

570

571

572

- *N_{JOBS}* is the number of jobs per day, as calculated in the test procedure,
- *t_{FINAL}* is the final time to Sleep, as measured in the test procedure, converted to hours;

573

574

- *E_{SLEEP}* is the Sleep energy, as measured in the test procedure, converted to kWh; and

575

- *t_{SLEEP}* is the Sleep time, as measured in the test procedure, converted to hours.

576

v. For Professional Imaging Products without print capability, TEC shall be calculated per Equation 13.

577

578

Equation 13: TEC Calculation for Professional Imaging Products without Print Capability

$$579 \quad TEC = 5 \times \left[E_{JOB_DAILY} + (2 \times E_{FINAL}) + [24 - (N_{JOBS} \times 0.25) - (2 \times t_{FINAL})] \times \frac{E_{AUTO}}{t_{AUTO}} \right] + 48 \times \frac{E_{AUTO}}{t_{AUTO}},$$

580

Where:

581

- *TEC* is the typical weekly energy consumption for professional imaging products without print capability, expressed in kilowatt-hours (kWh) and rounded to the nearest 0.1 kWh for reporting;

582

583

584

- *E_{JOB_DAILY}* is the daily job energy, as calculated per Equation 14 in kWh;

585

586

- *E_{FINAL}* is the final energy, as measured in the test procedure, converted to kWh;

587

588

589

- *N_{JOBS}* is the number of jobs per day, as calculated in the test procedure;
- *t_{FINAL}* is the final time to Sleep, as measured in the test procedure, converted to hours;

590

591

- *E_{AUTO}* is the Auto-off energy, as measured in the test procedure, converted to kWh; and

592

- *t_{AUTO}* is the Auto-off time, as measured in the test procedure, converted to hours.

593

594

vi. Daily Job Energy shall be calculated per Equation 14.

595

Equation 14: Daily Job Energy Calculation for Professional Imaging Products

$$596 \quad E_{JOB_DAILY} = (2 \times E_{JOB1}) + \left((N_{JOBS} - 2) \times \frac{E_{JOB2} + E_{JOB3} + E_{JOB4}}{3} \right),$$

597

Where:

598

- *E_{JOB_DAILY}* is the daily job energy, expressed in kilowatt-hours (kWh);

599

600

- *E_{JOBi}* is the energy of the *i*th job, as measured in the test procedure, converted to kWh; and

601

602

- *N_{JOBS}* is the number of jobs per day, as calculated in the test procedure.

603 **Equation 15: Maximum TEC Requirement Calculation for Professional Imaging Products**

604
$$TEC_{MAX} = TEC_{REQ} + Adder_{A3},$$

605 *Where:*

- 606 • TEC_{MAX} is the maximum TEC requirement in kilowatt-hours per week (kWh/wk);
- 607 • TEC_{REQ} is the TEC requirement specified in Table 9, in kWh; and
- 608 • $Adder_{A3}$ is a 0.3 kWh/wk allowance provided for A3-capable products.

610 **Table 9: TEC Requirement Before A3 Allowance (If Applicable) for Professional Imaging Products**

Color Capability	Monochrome Product Speed, s, as Calculated in the Test Method (ipm)	TEC _{REQ} (kWh/week, rounded to the nearest 0.1 kWh/week for reporting)
Monochrome Non-MFD	$s \leq 5$	0.3
	$5 < s \leq 20$	$(s \times 0.04) + 0.1$
	$20 < s \leq 30$	$(s \times 0.06) - 0.3$
	$30 < s \leq 40$	$(s \times 0.11) - 1.8$
	$40 < s \leq 65$	$(s \times 0.16) - 3.8$
	$65 < s \leq 90$	$(s \times 0.2) - 6.4$
	$s > 90$	$(s \times 0.55) - 37.9$
Monochrome MFD	$s \leq 5$	0.4
	$5 < s \leq 30$	$(s \times 0.07) + 0.05$
	$30 < s \leq 50$	$(s \times 0.11) - 1.15$
	$50 < s \leq 80$	$(s \times 0.25) - 8.15$
	$s > 80$	$(s \times 0.6) - 36.15$
Color Non-MFD	$s \leq 10$	1.3
	$10 < s \leq 15$	$(s \times 0.06) + 0.7$
	$15 < s \leq 30$	$(s \times 0.15) - 0.65$
	$30 < s \leq 75$	$(s \times 0.2) - 2.15$
	$s > 75$	$(s \times 0.7) - 39.65$
Color MFD	$s \leq 10$	1.5
	$10 < s \leq 15$	$(s \times 0.1) + 0.5$
	$15 < s \leq 30$	$(s \times 0.13) + 0.05$
	$30 < s \leq 70$	$(s \times 0.2) - 2.05$
	$70 < s \leq 80$	$(s \times 0.7) - 37.05$
	$s > 80$	$(s \times 0.75) - 41.05$

611

612

613 3.4.4 Additional Test Results Reporting Requirements: Recovery times from various modes
614 (Active 0, Active 1, Active 2 times) and Default Delay Time shall be reported for all
615 products tested using the TEC test method.

616 3.4.5 DFE model name/number, Ready State power, Sleep Mode power, and TEC_{DFE} shall be
617 reported for any Type 1 DFE sold with an Imaging Equipment product, including those not
618 tested with the Imaging Equipment product as part of the highest energy using
619 configuration per Section 4.2.1.iii.

620 3.5 Requirements for Operational Mode (OM) Products

621 3.5.1 Multiple Sleep Modes: If a product is capable of automatically entering multiple successive
622 Sleep Modes, the same Sleep Mode shall be used to determine certification under the
623 Default Delay Time to Sleep requirements specified in Section 3.2.5 and the Sleep Mode
624 power consumption requirements specified in Section 3.5.3.

625 3.5.2 DFE Requirements: For Imaging Equipment with a Type 2 DFE that relies on the Imaging
626 Equipment for its power, and that meets the appropriate maximum TEC_{DFE} requirement
627 found in Table 2, the DFE power shall be excluded subject to the following conditions:

628 i. Ready State power of the DFE, as measured in the test method, shall be divided by 0.60 to
629 account for internal power supply losses.

630

631 ■ Sleep Mode Requirements: If the resultant power in Paragraph i, above, is less than or
632 equal to the Ready State or Sleep Mode power of the Imaging Equipment product as a
633 whole, then the power shall be excluded from the measured Ready State or Sleep Mode
634 power of the Imaging Equipment product as a whole when comparing to the Sleep Mode
635 requirements in Section 3.5.3, below, and for reporting.

636
637 Otherwise, the Sleep Mode power of the DFE, as measured in the test method, shall be
638 divided by 0.60 and excluded from the Ready or Sleep Mode power of the Imaging
639 Equipment for comparing to the requirements, and for reporting.

640
641 ■ Off Mode Requirements: If the resultant power in Paragraph i, above, is less than or
642 equal to the Ready State, Sleep Mode, or Off Mode power of the Imaging Equipment as a
643 whole, then the power shall be excluded from the Ready State, Sleep Mode, or Off Mode
644 power of the Imaging Equipment product as a whole when comparing to the Off Mode
645 requirements in Section 3.5.4, below, and for reporting.

646
647 Otherwise, the Sleep Mode power of the DFE, as measured in the test method, shall be
648 divided by 0.60 and excluded from the Ready State, Sleep Mode, or Off Mode power of
649 the Imaging Equipment for comparing to the requirements, and for reporting.

650

651 ii. The DFE must not interfere with the ability of the Imaging Equipment to enter or exit its lower-
652 power modes.

653 iii. Imaging Equipment products with Type 2 DFEs that fail to meet these requirements may be
654 certified without subtracting the DFE power from that of the Imaging Equipment product as a
655 whole. The combined energy consumption of the DFE and the Imaging Equipment must be
656 below the appropriate requirement.

657

658 **Examples:** Product 1 is an Imaging Equipment product whose Type 2 DFE has no distinct sleep mode.
659 The Type 2 DFE has measured Ready State and Sleep Mode power both equal to 30 watts. The
660 measured Sleep Mode power of the product is 53 watts. When subtracting 50 watts (30 watts / 0.60) from
661 the measured Sleep Mode power of the product, 53 watts, the resulting 3 watts is the Sleep Mode power
662 of the product for use in the criteria limits below.

663
 664 Product 2 is an Imaging Equipment product whose Type 2 DFE goes to sleep when the Imaging
 665 Equipment goes to sleep during testing. The Type 2 DFE has measured DFE Ready State and Sleep
 666 Mode power equal to 30 watts and 5 watts, respectively. The measured Sleep Mode power of the product
 667 is 12 watts. When subtracting 50 watts (30 watts / 0.60) from the measured Sleep Mode power of the
 668 product, 12 watts, the result is -38 watts. In this case, instead subtract 8.33 watts (5 watts / 0.60) from the
 669 measured Sleep Mode power of the product, 12 watts, resulting in 3.67 watts which is used in the criteria
 670 limits below.

671 3.5.3 **Sleep Mode Power Consumption:** Measured Sleep Mode power consumption (P_{SLEEP})
 672 shall be less than or equal to the maximum Sleep Mode power consumption requirement
 673 (P_{SLEEP_MAX}) determined per Equation 16, subject to the following conditions:

- 674 i. Only those interfaces that are present and used during the test, including any fax interface,
 675 may be considered functional adders.
- 676 ii. Product functionality offered through a DFE shall not be considered a functional adder.
- 677 iii. A single interface that performs multiple functions may be counted only once.
- 678 iv. Any interface that meets more than one interface type definition shall be classified according
 679 to the functionality used during the test.
- 680 v. For products that meet the Sleep Mode power requirement in Ready State, no further
 681 automatic power reductions are required to meet Sleep Mode requirements.

682
 683 **Equation 16: Calculation of Maximum Sleep Mode Power**
 684 **Consumption Requirement for OM products**

685
$$P_{SLEEP_MAX} = P_{MAX_BASE} + \sum_1^n Adder_{INTERFACE} + \sum_1^m Adder_{OTHER}$$

686 *Where:*

- 687 • P_{SLEEP_MAX} is the maximum Sleep Mode power consumption requirement,
 688 expressed in watts (W), and rounded to the nearest 0.1 watt for reporting;
- 689 • P_{MAX_BASE} is the maximum Sleep Mode power allowance for the base marking
 690 engine, as determined per Table 10, in watts;
- 691 • $Adder_{INTERFACE}$ is the power allowance for the interface functional adders used
 692 during the test, including any fax capability, and as selected by the
 693 manufacturer from Table 11, in watts;
- 694 • n is the number of allowances claimed for interface functional adders used
 695 during the test, including any fax capability, and is less than or equal to 2;
- 696 • $Adder_{OTHER}$ is the power allowance for any non-interface functional adders in
 697 use during the test, as selected by the manufacturer from Table 11, in watts;
 698 and
- 699 • m is the number of allowances claimed for any non-interface functional
 700 adders in use during the test, and is unlimited.

701

Table 10: Sleep Mode Power Allowance for Base Marking Engine

Product Type	Media Format	Marking Technology				P _{MAX_BASE} (watts)
		Impact	Ink Jet	All Other*	Not Applicable	
Mailing Machine	N/A		x	x		5.0
MFD	Standard	x	x			1.1
	Large		x			5.4
				x		8.7
Printer	Small	x	x	x		4.0
	Standard	x	x			0.6
	Large	x		x		2.5
			x			4.9
Scanner	Any				x	2.5

* "All Other" category includes High Performance Ink Jet.

702

703

704

Table 11: Sleep Mode Power Allowances for Functional Adders

Adder Type	Connection Type	Max. Data Rate, r (Mbit/second)	Details	Functional Adder Allowance (watts)
Interface	Wired	$r < 20$	Includes: USB 1.x, IEEE 488, IEEE 1284/Parallel/ Centronics, RS232	0.2
		$20 \leq r < 500$	Includes: USB 2.x, IEEE 1394/ FireWire/i.LINK, 100Mb Ethernet	0.4
		$r \geq 500$	Includes: USB 3.x, 1G Ethernet	0.5
		Any	Includes: Flash memory-card/smart-card readers, camera interfaces, PictBridge	0.2
	Fax Modem	Any	<u>Applies to MFDs only.</u>	0.2
	Wireless, Radio-frequency (RF)	Any	Includes: Bluetooth, 802.11	2.0
	Wireless, Infrared (IR)	Any	Includes: IrDA.	0.1
Cordless Handset	N/A	N/A	Capability of the imaging product to communicate with a cordless handset. Applied only once, regardless of the number of cordless handsets the product is designed to handle. Does not address the power requirements of the cordless handset itself.	0.8
Memory	N/A	N/A	Applies to the internal capacity available in the Imaging Equipment for storing data. Applies to all volumes of internal memory and should be scaled accordingly for RAM. This adder does not apply to hard disk or flash memory.	0.5/GB

Adder Type	Connection Type	Max. Data Rate, <i>r</i> (Mbit/second)	Details	Functional Adder Allowance (watts)
Power Supply	N/A	N/A	Applies to both internal and external power supplies of Mailing Machines and Standard Format products using Inkjet and Impact marking technologies with nameplate output power (P_{OUT}) greater than 10 watts.	$0.02 \times (P_{OUT} - 10.0)$
Touch Panel Display	N/A	N/A	Applies to both monochrome and color touch panel displays.	0.2

705

706 3.5.4 Off Mode Power Consumption Off Mode power, as measured in the test procedure, shall
 707 be less than or equal to the Maximum Off Mode power specified in Table 12, subject to the
 708 following conditions.

- 709 i. For products that do not have an Off Mode, Sleep Mode power, as measured in the test
 710 procedure, shall be less than or equal to the Maximum Off Mode power.
 711 ii. For products that do not have an Off Mode or Sleep Mode, Ready State power, as measured
 712 in the test procedure, shall be less than or equal to the Maximum Off Mode power.
 713 iii. The Imaging Equipment shall meet the Off Mode Power requirement independent of the state
 714 of any other devices (e.g., a host PC) connected to it.

715

Table 12: Maximum Off Mode Power Requirement

Product Type	Maximum Off Mode Power (watts)
All OM Products	0.3

716

717 **Note:** Products intended for sale in the US market are subject to minimum toxicity and recyclability
 718 requirements. Please see ENERGY STAR Program Requirements for Imaging Equipment: Partner
 719 Commitments for details.

720 4 TESTING

721 4.1 Test Methods

722 4.1.1 When testing Imaging Equipment products, the test methods identified in Table 13 shall be
 723 used to determine certification for ENERGY STAR.

724

Table 13: Test Methods for ENERGY STAR Certification

Product Type	Test Method
All Imaging Products	ENERGY STAR Imaging Equipment Test Method, Rev. Nov-2018

725

726 **4.2 Number of Units Required for Testing**

727 4.2.1 Representative Models shall be selected for testing per the following requirements for
728 products both sold as new and remanufactured, though new and remanufactured products
729 cannot coexist in the same product family and must be certified seperately

- 730 i. For certification of an individual product model, a product configuration equivalent to that
731 which is intended to be marketed and labeled as ENERGY STAR is considered the
732 Representative Model;
- 733 ii. For certification of a product family that does not include a Type 1 DFE, the highest energy
734 using configuration within the family shall be considered the Representative Model. Any
735 subsequent testing failures (e.g., as part of verification testing) of any model in the family will
736 have implications for all models in the family.
- 737 iii. For certification of a product family that includes Type 1 DFE, the highest energy using
738 configuration of the Imaging Equipment and highest energy using DFE within the family shall
739 be tested for certification purposes. Any subsequent testing failures (e.g., as part of
740 verification testing) of any model in the family and all Type 1 DFEs sold with the Imaging
741 Equipment, including those not tested with the Imaging Equipment product, will have
742 implications for all models in the family. Imaging Equipment products that do not incorporate
743 a Type 1 DFE may not be added to this product family for certification and must be certified
744 as a separate family without a Type 1 DFE.

745 4.2.2 A single unit of each Representative Model shall be selected for testing.

746 4.2.3 All units/configurations for which a Partner is seeking ENERGY STAR certification, must
747 meet the ENERGY STAR requirements. For remanufactured products, the Partner must
748 assign the certified configurations an identifier in the model name/number that is unique to
749 ENERGY STAR certified configurations. This identifier must be used consistently in
750 association with the certified configurations in marketing/sales materials and on the
751 ENERGY STAR list of certified products (e.g. model A1234 for baseline configurations and
752 A1234-R for remanufactured ENERGY STAR certified configurations).

753 **Note:** EPA believes that remanufactured products need to be tested separately from the new product
754 listing. In addition, these products will be required to have their own model number on the ENERGY
755 STAR certified product list to more easily identify those products from new products. This offers an added
756 benefit for brand owners by providing a clear delineation of production that will prevent both models from
757 being affected should a verification testing failure occur with one. EPA has amended the testing section of
758 the specification to provide clarity for partners on these needs.

759

760 **4.3 International Market Certification**

761 4.3.1 Products shall be tested for certification at the relevant input voltage/frequency
762 combination for each market in which they will be sold and promoted as ENERGY STAR.

763 **5 USER INTERFACE**

764 5.1.1 Manufacturers are encouraged to design products in accordance with the user interface
765 standard IEEE 1621: Standard for User Interface Elements in Power Control of Electronic
766 Devices Employed in Office/Consumer Environments. For details, see
767 <http://eta.LBL.gov/Controls>.

768 **6 EFFECTIVE DATE**

769 6.1.1 Effective Date: The Version 3 ENERGY STAR Imaging Equipment specification shall take
770 effect on **October 11, 2019**. To be certified as ENERGY STAR, a product model shall
771 meet the ENERGY STAR specification in effect on its date of manufacture. The date of
772 manufacture is specific to each unit and is the date on which a unit is considered to be
773 completely assembled.

774 6.1.2 Future Specification Revisions: EPA reserves the right to change this specification should
775 technological and/or market changes affect its usefulness to consumers, industry, or the
776 environment. In keeping with current policy, revisions to the specification are arrived at
777 through stakeholder discussions. In the event of a specification revision, please note that
778 the ENERGY STAR certification is not automatically granted for the life of a product
779 model.

780 6.1.3 Items for Consideration in a Future Revision:

781 i. **Professional Imaging Products**: EPA and DOE will continue developing the test
782 procedure for Professional Imaging Products, with the goal of developing requirements
783 based on this test procedure in a Version 4.0 specification.

784 ii. **Three-phase Products**: These products are currently excluded from scope. EPA will
785 review this exclusion in a future revision.