



# ENERGY STAR® Program Requirements Product Specification for Luminaires (Light Fixtures)

Eligibility Criteria  
Version 2.0 DRAFT 2

Following is the **Version 2.0 Draft 2** product specification for ENERGY STAR certified Luminaires. A product shall meet all of the identified criteria if it is to earn the ENERGY STAR.

To certify a luminaire for ENERGY STAR, first determine which requirements in this document are applicable to the specific luminaire. ENERGY STAR requirements are specific to luminaires classified by the Program as directional or non-directional. This specification is generally organized by the requirements, not by luminaire type such as indoor or outdoor, or by light source technology. Performance requirements comprise each section of this document, thus the first section summarizes efficacy requirements, the second color performance, etc. Partners are advised to review each section, and take note of exceptions where specific performance criteria need not be evaluated; for instance, some color exceptions are in place for outdoor luminaires.

**Note box 1:** Please read the cover letter distributed with this draft, and all note boxes located throughout the draft. EPA invites stakeholders to send comments to [lighting@energystar.gov](mailto:lighting@energystar.gov), with the subject "ENERGY STAR Luminaires 2.0 Draft 2 Comments".

## Luminaires which do not fall into the specific directional scope default to non-directional classification

- Directional luminaires (**evaluated with luminaire photometry**):
  - **specific scope** itemized in the Specification Scope & Luminaire Classification section
  - evaluated with luminaire photometry (lumens delivered from luminaire per input watt), accounting for luminaire optics
  - shall also meet specified minimum light output and zonal lumen density requirements
  - solid state (LED) luminaire types featuring inseparable components (no user replaceable/upgradeable LED light engine or integrated LED lamp) and not otherwise itemized in the directional scope shall be considered inseparable SSL luminaires and evaluated using luminaire photometry
  - most outdoor luminaires are classified as directional, requiring luminaire photometry to test for uplight
  - luminaire types not meeting the above criteria default to non-directional classification, described below
- Non-directional luminaires (**evaluated by source photometry**):
  - **examples** provided in the Specification Scope & Luminaire Classification section
  - evaluated by source photometry (lumens delivered from the light source per input watt), including system performance of lamp and ballast, LED light engine, ENERGY STAR certified compact fluorescent lamp or LED lamp
  - luminaires not classified above as directional are evaluated as non-directional as long as the light source is removable and can be tested by an applicable test method identified in this specification

# 1 SPECIFICATION SCOPE & LUMINAIRE CLASSIFICATION

The ENERGY STAR Luminaires specification (“this specification”) covers luminaire types outlined in this section. This specification is limited to residential type lighting products, however to the extent that products that fall under the scope of this specification are sold into the commercial market, they may be included and listed appropriately for the applicable end user. Certification is limited to luminaires below a total input power of 250 watts intended to be connected directly to the electric power grid. Refer to the Definitions section in [Section 4](#) for definitions of each directional luminaire type detailed below. Questions about scope may be directed to an EPA recognized Certification Body or [lighting@energystar.gov](mailto:lighting@energystar.gov)

## Excluded products

- Commercial outdoor lighting (e.g. street and area, wall packs, canopy)
- High or low bay luminaires
- Recessed troffers and other linear fluorescent fixtures
- Luminaire types typically employed for general office illumination such as linear pendants and panel lighting
- HID sources or their SSL replacements
- Socket adapters or converters

LUMINAIRE TYPES MEASURED WITH LUMINAIRE PHOTOMETRY	
<b>Inseparable SSL Luminaires</b>	Luminaires with inseparable sources <b>not listed below as directional otherwise considered</b> non-directional/decorative
DIRECTIONAL LUMINAIRES	
<b>Limited to the following types:</b>	<b>Includes:</b>
<b>Accent Lights</b>	Line-voltage directional track lighting Track heads Directional ceiling fan light kits
<b>Cove Mount Lights</b>	Asymmetric and other distributions
<b>Downlights</b>	SSL Downlight Retrofit Kits Recessed Surface Mount, Pendant Mount
<b>Outdoor Lighting</b>	Post mount Pendant Mount Porch Lights Wall Mounted Luminaires Security Lighting
<b>Undercabinet Luminaires</b>	Asymmetric and other distributions
<b>Portable Desk Task Lights</b>	
LUMINAIRE TYPES MEASURED WITH SOURCE PHOTOMETRY	
NON-DIRECTIONAL LUMINAIRES (including but not limited to)	
Ventilation or Ceiling Fan Light Kits	Ceiling Mount & retrofits
Wrapped Lens	Chandeliers
Wall Sconces & retrofits	Bath Vanity
Decorative Pendants	Outdoor Ceiling or Close-to-Ceiling Mount (only)
Portable Luminaires	Linear Strip

## 2 EFFECTIVE DATE

The ENERGY STAR Luminaires Version 2.0 specification shall take effect on TBD. To certify a product for ENERGY STAR, the model shall meet the ENERGY STAR specification in effect on its date of manufacture. The date of manufacture is specific to each unit and is the exact date on which a unit is considered to be completely assembled.

### 3 FUTURE SPECIFICATION REVISIONS

EPA reserves the right to change this specification should technological and/or market changes affect its usefulness to consumers, industry, or the environment. In keeping with current policy, revisions to the specification are arrived at through industry discussions. In the event of a specification revision, please note that ENERGY STAR certification is not automatically granted for the life of a product model.

While this document currently refers to industry standards and test procedures for fluorescent and solid state sources, as new technologies emerge that have equal or better performance to the levels proposed here, consistent with a technology neutral approach, EPA may amend the program requirements by adding additional requirements, standards, and test procedures.

DRAFT 2

## Table of Contents

ENERGY STAR® Program Requirements	Product Specification for Luminaires (Light Fixtures)	- 1 -
1 SPECIFICATION SCOPE & LUMINAIRE CLASSIFICATION		- 2 -
2 EFFECTIVE DATE		- 2 -
3 FUTURE SPECIFICATION REVISIONS		- 3 -
4 DEFINITIONS		- 6 -
5 TEST CRITERIA		- 9 -
5.1 Testing Color Tunable Luminaires		- 9 -
6 PRODUCT CERTIFICATION		- 10 -
6.1 Product Families		- 10 -
6.2 Significant Digits and Rounding		- 12 -
6.3 Solid State Lumen Maintenance Performance Data		- 12 -
7 METHODS OF MEASUREMENT AND REFERENCE DOCUMENTS		- 13 -
8 SHIPPING WITH ENERGY STAR CERTIFIED LAMPS: Non-Directional Luminaires		- 14 -
9 PHOTOMETRIC PERFORMANCE REQUIREMENTS		- 15 -
9.1 Luminous Efficacy and Output: NON-DIRECTIONAL Luminaires		- 15 -
9.2 Luminous Efficacy, Output and Zonal Lumen Density: DIRECTIONAL Luminaires		- 16 -
9.3 Correlated Color Temperature (CCT): All Indoor Luminaires (Exemption: Outdoor Luminaires)		- 18 -
9.4 Color Rendering Index: All Indoor Luminaires (Exemption: Outdoor Luminaires)		- 20 -
9.5 Color Angular Uniformity: Directional Solid State Indoor Luminaires Only (Exemption: Outdoor Luminaires)		- 20 -
10 LUMEN MAINTENANCE AND RATED LIFE REQUIREMENTS		- 21 -
10.1 Lumen Maintenance: All Luminaires		- 21 -
10.2 Light Source Life: All Luminaires		- 22 -
10.3 Color Maintenance: Solid State Indoor Luminaires Only (Exemption: Outdoor Luminaires)		- 23 -
11 ELECTRICAL PERFORMANCE REQUIREMENTS		- 24 -
11.1 Source Start Time: All Luminaires (Exemption: Outdoor Luminaires)		- 24 -
11.2 Source Run-Up Time: All Fluorescent Luminaires (Exemption: Solid State and Outdoor Luminaires)		- 24 -
11.3 Power Factor: All Luminaires		- 24 -
11.4 Transient Protection: All Luminaires		- 25 -
11.5 Standby Power Consumption: All Luminaires		- 25 -
11.6 Operating Frequency: All Luminaires		- 25 -
12 LUMINAIRE SERVICEABILITY REQUIREMENTS		- 26 -
12.1 Light Source Replaceability: Directional and Non-Directional Luminaires		- 26 -
12.2 Ballast/Driver Replaceability: All Luminaires (Exemption: Inseparable SSL Luminaires)		- 26 -
13 THERMAL PERFORMANCE REQUIREMENTS		- 27 -
13.1 Maximum Measured Ballast or Driver Case Temperature: All Luminaires		- 27 -
13.2 Recessed Downlight Thermal Performance		- 28 -
14 SAFETY REQUIREMENTS		- 28 -
14.1 Indoor Luminaire Safety: Portable Luminaires		- 28 -
14.2 Electronic Ballast or Driver Safety: Ballasts, Drivers and "Non-Edison Base Fluorescent Adapters"		- 29 -
15 CONTROL REQUIREMENTS: Luminaires Employing any Control Mechanism		- 29 -
15.1 Dimming: All Luminaires Marketed as Dimmable (Exemption: Non-Dimmable Luminaires)		- 29 -
15.2 Products with Connected Functionality – Optional		- 29 -
15.2.1 Connected Product Criteria:		- 30 -
15.2.2 Open-standards & Open-access		- 30 -
15.2.3 Energy Consumption Reporting		- 30 -
ENERGY STAR Program Requirements for Luminaires - Eligibility Criteria		- 4 -

15.2.4 Operational Status Reporting ..... - 30 -  
15.2.5 Remote Management ..... - 30 -  
15.2.6 Information to Consumers ..... - 30 -  
16 PRODUCT LABELING & PACKAGING REQUIREMENTS: ..... - 31 -  
16.1 Labeling & Packaging: All Luminaires ..... - 31 -  
16.2 Light Source Shipment: Directional and Non-Directional Luminaires ..... - 32 -  
17 WARRANTY REQUIREMENTS: All Luminaires ..... - 32 -  
18 Lighting Toxics Reduction Requirements: All Luminaires ..... - 33 -

DRAFT 2

## 4 DEFINITIONS

**Accent Light (Luminaire):** A directional luminaire employed to emphasize a particular object or surface feature, or to draw attention to a part of the field of view (adapted from IES RP-16-10: "Accent Lighting"). This includes line-voltage directional track lighting.

**ANSI:** American National Standards Institute.

**Aperture Size (downlights):** The maximum distance between the points inside the luminaire where light escapes the luminaire.

**ASTM:** American Society for Testing of Materials.

**Ballast:** A device used with an electric-discharge lamp to obtain the necessary circuit conditions (voltage, current, and waveform) for starting and operating. (IES RP-16-10)

**Bath Vanity Luminaire:** Wall-mounted luminaires located adjacent to a mirror.

**Ceiling / Close-to-Ceiling Mount Luminaire:** Ceiling-mounted luminaires that direct less than 90% of light downward and are not intended to accent an object or an area within a space.

**Chandeliers:** Decorative, often branched, luminaires suspended from the ceiling incorporating multiple light sources.

**CIE:** Commission Internationale de l'Eclairage (International Commission on Illumination).

**Color Rendering Index(CRI):** A measure of the degree of color shift objects undergo when illuminated by the light source as compared with those same objects when illuminated by a reference source of comparable color temperature. (IES RP-16-10)

**Color Tunable Luminaire:** For the purpose of this specification, a color tunable luminaire has functionality that allows the end user to alter the color appearance of the light generated by the luminaire. This tuning must include white light that is capable of meeting the specification's CCT requirements, and can alter the color appearance along the black body curve, or may also extend to colors beyond the ANSI defined correlated color temperature ranges.

**Compact Fluorescent Lamp (CFL):** A fluorescent lamp with a small diameter glass tube (T5 or less) that is folded, bent, or bridged to create a long discharge path in a small volume. The lamp design generally includes an amalgam and a cold chamber, or a cold spot to control the mercury vapor pressure and light output. (IES RP-16-10)

**Connected Luminaire:** An ENERGY STAR eligible luminaire or retrofit is a luminaire or retrofit which includes elements or instructions required to enable communication in response to consumer-authorized energy or performance related commands (not including third-party remote management which may be made available solely at the discretion of the manufacturer). These elements may be resident inside or outside of the base luminaire.

**Correlated Color Temperature (CCT):** The absolute temperature of a blackbody whose chromaticity most nearly resembles that of the light source. (IES RP-16-10).

**Cove Mount (Luminaire):** Lighting comprising light sources shielded by a ledge or horizontal recess, and distributing light over the ceiling and upper wall. For purposes of this specification, cove mount luminaires feature luminaire optics over the lamps, LED packages, arrays or modules, LED light engines or integrated LED lamps. (adapted from IES RP-16-10)

**CSA:** Canadian Standards Association.

**Decorative Pendant (Luminaire):** Suspended luminaires that are not intended to accent an object or an area within a space, and typically employ blown glass, or colorful glass elements.

**Direct Lighting:** Lighting involving luminaires that distribute 90 to 100 percent of the emitted light in the general direction of the surface to be illuminated. This term usually refers to light emitted in a downward direction. (IES RP-16-10)

**Directional Applications:** See Direct Lighting.

**Directional Luminaires:** See Direct Lighting.

**Down Light or Downlight (Luminaire):** A small direct lighting unit that directs the light downward and can be recessed, surface mounted, or suspended (IES RP-16-10). See definition of Direct Lighting for additional information. For purposes of this specification, this definition includes down light luminaire SSL retrofits but does not include linear fluorescent troffers or linear luminaire forms such as linear fluorescent pendants, typically used to illuminate office spaces.

**Electronic Ballast:** A device which operates at a supply frequency of 50 or 60 Hz and operates the lamp at frequencies greater than 10 kHz. (ANSI standard C82.13-2002)

**Enclosed fixture or enclosed luminaire:** contains enclosed lamp compartment(s) where ventilation openings are less than 3 square inches per lamp in the lamp compartment or where the cross-sectional area of the opening of the lamp compartment is less than the maximum cross sectional area of the lamp compartment (adapted from UL 1598).

**Floor Lamp (Luminaire):** a portable luminaire on a high stand suitable for standing on the floor. (IES RP-16-10)

**IEC:** International Electrotechnical Commission.

**IES:** Illuminating Engineering Society.

**Input Power:** The power consumption in watts of a ballast or driver and a light source system operating in a normal or active mode, as determined in accordance with the test procedure (ANSI Standard 82.2-2002)

**Inseparable SSL Luminaire:** A luminaire featuring solid state lighting components (i.e. LEDs and driver components) which cannot be easily removed or replaced by the end user, thus requiring replacement of the entire luminaire. Removal of solid state lighting components would require (for instance) the cutting of wires, use of a soldering iron, or damage to or destruction of the luminaire. This definition does not encompass luminaires which feature LED light engines or integrated LED lamps which are user replaceable / upgradeable without the cutting of wires or the use of solder, or the specific residential luminaire types designated "directional" in the scope of this document.

**Integrated LED Lamp:** An integrated assembly comprised of LED packages (components) or LED arrays (modules), LED driver, ANSI standard base and other optical, thermal, mechanical and electrical components. The device is intended to connect directly to the branch circuit through a corresponding ANSI standard lamp-holder (socket). (IES RP-16-10)

**Lamp:** A generic term for a man-made source created to produce optical radiation. By extension, the term is also used to denote sources that radiate in regions of the spectrum adjacent to the visible." (IES Handbook 9<sup>th</sup> Edition)

**Lamp-Ballast Platform:** A pairing of one ballast with one or more lamps that can operate simultaneously on that ballast. A unique platform is defined by the manufacturer and model number of the ballast and lamp(s) and the quantity of lamps that operate on the ballast. A lamp-ballast platform also may refer to a lamp with an integral ballast, such as a GU24 based integrated lamp.

**Lampholder:** A component of a luminaire which supplies power to the lamp and also holds the lamp in place.

**LED:** See Light Emitting Diode.

**LED Array or Module:** An assembly of LED packages (components) or dies on a printed circuit board or substrate, possibly with optical elements and additional thermal, mechanical, and electrical interfaces that are intended to connect to the load side of a LED driver. Power source and ANSI standard base are not incorporated into the device. The device cannot be connected directly to the branch circuit. (IES RP-16-10)

**LED Control Circuitry:** Electronic components designed to control a power source by adjusting output voltage, current or duty cycle to switch or otherwise control the amount and characteristics of the electrical energy delivered to a LED package (component) or an LED array (module). LED control circuitry does include power source. (IES RP-16-10)

**LED Driver:** A device comprised of a power source and LED control circuitry designed to operate a LED package (component), or an LED array (module) or an LED lamp. (IES RP-16-10)

**LED Driver Case Temperature Measurement Point (TMP<sub>C</sub>):** A location on an LED driver case, designated by its manufacturer, which will have the highest temperature of any point on the driver case during normal operation.

**LED Light Engine:** An integrated assembly comprised of LED packages (components) or LED arrays (modules), LED driver, and other optical, thermal, mechanical and electrical components. The device is intended to connect directly to the branch circuit through a connector compatible with the LED luminaire for which it was designed and does not use an ANSI standard base. (derived from IES RP-16-10). For purposes of this specification, light engines that rely on the luminaire for optical control and/or thermal management, and ("non-integrated") assemblies featuring remote-mounted drivers shall also be considered LED light engines. Remote-mounted drivers are allowable so long as interconnecting conductors of appropriate gauge and length are employed between the driver(s) and LED package(s), array(s) or module(s), and electrical interconnects are employed at both ends of the conductors.

**LED Luminaire:** A complete lighting unit consisting of LED-based light emitting elements and a matched driver together with parts to distribute light, to position and protect the light emitting elements, and to connect the unit to a branch circuit. The LED-based light emitting elements may take the form of LED packages (components), LED arrays (modules), LED Light Engine, or LED lamps. The LED luminaire is intended to connect directly to a branch circuit. (IES RP-16-10)

**LED Package:** An assembly of one or more LED dies that includes wire bond or other type of electrical connections, possibly with an optical element and thermal, mechanical, and electrical interfaces. Power source and ANSI standardized base are not incorporated into the device. The device cannot be connected directly to the branch circuit. (IES RP-16-10)

**LED Temperature Measurement Point (TMP<sub>LED</sub>):** A location on an LED package/module/array, designated by its manufacturer, which provides a surrogate temperature measurement location for the actual LED junction. The TMP<sub>LED</sub> may be a solder joint at the board attachment site, a point on the LED package case, or a location on the board of an LED module or array.

**Light Emitting Diode (LED):** A pn junction semiconductor device that emits incoherent optical radiation when forward biased. The optical emission may be in the ultraviolet, visible, or infrared wavelength regions. (IES RP-16-10)

**Linear Strip Luminaire:** Surface mounted luminaires with an elongated aspect ratio and either no optics over the light source(s) or individual optics over each light source.

**Line-Voltage Track Light (Luminaire):** See Accent Light definition. Includes luminaires interoperable with line-voltage track installed without a transformer or power supply.

**Linear Fluorescent Lamp:** Commonly made with straight, tubular bulbs varying in diameter from approximately 6 mm (0.25 in. T-2) to 54 mm (2.125 in. T-17) and in overall length from a nominal 100 to 2440 mm (4 to 96 in.), this light source is a low-pressure gas discharge source, in which light is produced predominantly by fluorescent powders activated by UV energy generated by a mercury arc. (adapted from IES Handbook 9<sup>th</sup> Edition)

**Lumen Maintenance:** The luminous flux output remaining (typically expressed as a percentage of the initial output) at any selected elapsed operating time. Lumen maintenance is the converse of lumen depreciation. (adapted from IES LM-80-08)

**Lumens per Watt (lm/W):** The quotient of the total luminous flux emitted by the total light source power input. It is expressed in lm/W. (adapted from IES RP-16-10: "Luminous Efficacy of a Source of Light")

**Luminaire (Light Fixture):** A complete lighting unit consisting of lamp(s) and ballast(s) (when applicable) together with the parts designed to distribute the light, position and protect the lamps, and to connect the lamp(s) to the power supply (IES RP-16-10)

**Luminaire Efficacy:** The luminous flux delivered by a luminaire, divided by its input power.

**MacAdam Color Ellipse:** A series of ellipses around the chromaticity coordinates of a number of different colors. Each ellipse sets the boundary at which a given percentage of people are able to determine that two colors, one with the chromaticity coordinates at the center of the ellipse, and one with chromaticity coordinates on the ellipse, are just noticeably different. (IES Handbook 9<sup>th</sup> Edition)

**Nadir:** The angle pointing directly downward from the luminaire, or zero degrees.

**NEMA:** National Electrical Manufacturers Association.

**Non-Directional Application:** For purposes of this ENERGY STAR specification, luminaire types which are not designated directional. See Direct Lighting definition.

**Non-Directional Luminaire:** See Non-Directional Application.

**NRTL:** Nationally Recognized Testing Laboratory as recognized by OSHA's NRTL Program, which is a part of OSHA's Directorate of Technical Support.

**Optics:** Include reflectors, baffles, lenses and/or diffusers, all of which control the light distribution and the appearance of the lighted luminaire.

**OSHA:** Occupational Safety & Health Administration.

**Outdoor Pendant Luminaire:** An outdoor suspended luminaire.

**Outdoor Porch Luminaire:** An outdoor ceiling, surface or wall-mounted luminaire.

**Outdoor Post-Mounted Luminaire:** An outdoor luminaire supported by a post inserted into the ground and mounted between 4 feet and 10.5 feet above grade.

**Outdoor Security Luminaire:** Wall mounted luminaires intended to light areas immediately adjacent to a building's perimeter.

**Photo Control or Light Activated Switch:** A photoelectric switch that controls lighting by the level of daylight luminance (IES RP-16-10), also referred to as a photosensor.

**Platform:** See Lamp-Ballast Platform.

**Portable Desk Task Light (Luminaire):** A light fixture resting on a desk that directs light to a specific surface or area to provide illumination for visual tasks such as reading and writing, and employs a NEMA 1-15P or 5-15P plug for its electrical connection.

**Portable Floor Task Light (Luminaire):** A light fixture resting on the floor that directs light to a specific surface or area to provide illumination for visual tasks such as reading and writing, and employs a NEMA 1-15P or 5-15P plug for its electrical connection.

**Portable Luminaire:** A lighting unit that is not permanently fixed in place. (IES RP-16-10)

**Power Factor:** The power input in watts divided by the product of ballast input voltage and input current of a fluorescent lamp ballast, as measured under test conditions (ANSI Standard C82.2–2002).

**Power Source:** A transformer, power supply, battery, or other device capable of providing current, voltage, or power within its design limits. This device contains no additional control capabilities (IES RP-16-10)

**Rated Lumen Maintenance Life (L<sub>p</sub>):** The elapsed operating time over which the LED light source will maintain the percentage, p, of its initial light output, e.g. L<sub>70</sub> (hours): Time to 70% lumen maintenance. (IES LM-80-08)

**Residential Luminaire:** A luminaire marketed and intended to be used in a residential environment notwithstanding use in commercial, business and industrial environments. (adapted from FCC 47 CFR parts 15 and 18)

**Run-up Time:** The time needed after switching on the supply for the lamp to reach 80.0% of its stabilized luminous flux. (ANSI C78.5-2003)

**Secondary Optics:** Materials modifying the distribution of light from, but not integral to a light source, including but not limited to diffusers, reflectors, and total internal reflection optics.

**Solid State Lighting (SSL):** The term “solid state” refers to the fact that the light is emitted from a solid object – a block of semiconductor – rather than from a vacuum or gas tube, as in the case of an incandescent and fluorescent lighting. There are two types of solid-state light emitters: inorganic light-emitting diodes (LEDs) or organic light-emitting diodes (OLEDs). (Sandia National Laboratories)

**SSL Downlight Retrofits:** A type of solid state luminaire intended to install into an existing downlight, replacing the existing light source and related electrical components, typically employing an ANSI standard lamp base, either integral or connected to the downlight retrofit by wire leads, and is a retrofit kit classified or certified to UL 1598C. This category does not include self-ballasted lamps, which are covered by the ENERGY STAR Lamps Specification, or products that utilize the existing ballast or transformer.

**SSL Surface Mount Retrofit Kits:** A type of solid state lighting product intended to replace existing light sources and systems including incandescent and fluorescent light sources in previously installed luminaires that already comply with safety standards. These kits replace the existing light source and related electrical components, and is a retrofit kit classified or certified to UL 1598C. This may employ an ANSI standard lamp base, either integral or connected to the retrofit by wire leads. This category does not include self-ballasted lamps, which are covered by the ENERGY STAR Lamps Specification, or products that utilize the existing ballast or transformer.

**Standardized Color Ellipse:** A MacAdam color ellipse defined by center chromaticity coordinates (CIE x, y) and a measure of certainty for detecting a color difference specified in standard deviation units called steps. (ANSI C78.376-2001)

**Table Lamp (Luminaire):** A portable luminaire with a short stand suitable for standing on furniture. (IES RP-16-10)

**Torchiere (Luminaire):** An indirect floor lamp that sends all or nearly all of its light upward. (IES RP-16-10)

**TMP<sub>C</sub>:** see LED Driver Case Temperature Measurement Point.

**TMP<sub>LED</sub>:** see LED Temperature Measurement Point.

**Trim:** The part of a downlight that covers the ragged edge of the ceiling cut-out. The trim may be a separate ring, or trim ring, or it may be integrated with the optics (i.e., a self-flanged reflector). A trim can be airtight or non-airtight.

**UL:** Underwriters Laboratories.

**Under-Cabinet Luminaire:** Luminaires installed below an upper cabinet to direct light down to the work surface of a countertop or desk for task lighting.

**Wall Sconce (Luminaire):** Wall mounted luminaires not intended to accent an object or a task area within a space.

**Wrapped Lens Luminaire:** Surface mounted luminaires with an elongated aspect ratio and a single optic covering the light source that direct less than 90% of light downward.

**Note box 2:** The definitions of SSL retrofits were clarified to include reference to UL 1598C safety standard and reflect requirements for safety certification of retrofits. A new definition of an enclosed luminaire was included to assist luminaire partners and certification bodies in identifying these fixtures and their requirements. The definition of LED light engine was modified by removal of the word “custom” when referring to connectors as it was causing confusion. Diffusers was added to the definition for secondary optics to added clarification based on stakeholder feedback. The definition of connected luminaires was adjusted to be consistent with the requirements in section 15.2.



## 5 TEST CRITERIA

When testing luminaires, the methods of measurement identified for each performance requirement in the “Methods of Measurement and/or Reference Documents” column of the performance requirements tables presented within this specification shall be used to determine ENERGY STAR certification.

All tests shall be conducted with the lamp connected to a supply circuit of rated frequency. For lamps with multiple operating voltages, the lamp shall be operated at 120 volts throughout testing. If the lamp is not rated for 120 volts, it shall be operated at the highest rated voltage.

For dimmable or multi-power luminaires, measurements shall be taken at the highest wattage setting listed for the model, unless otherwise specified.

IES LM-9, LM-65 and LM-66 are applied to both hot and cold cathode lamps.

### 5.1 Testing Color Tunable Luminaires

For the purpose of this specification, a color tunable luminaire has functionality that allows the end user to alter the color appearance of the light generated by the luminaire. This tuning must include white light that is capable of meeting the specification's CCT requirements, and can include the ability to alter the color appearance along the black body curve, or may also extend to colors beyond the ANSI defined correlated color temperature ranges. When testing color tunable luminaires, photometric performance testing (per [section 9](#)) shall be performed at an undimmed state at:

1. the default setting from the factory
2. the least efficient setting within ANSI white light CCT ranges (as determined by partner), if different from the default.

The test settings described above shall meet all photometric performance requirements of the specification. All other testing, including lumen and color maintenance testing, shall be tested at the least efficient setting.

The power consumption of the setting with the maximum input power, regardless of chromaticity, shall be reported.

In order to facilitate compliance testing, the partner shall provide detailed instructions for the control settings or control signals (as applicable) for reaching the least efficient and most consumptive modes.

**Note box 3:** For color tuning luminaires, EPA has clarified that the least efficient setting is determined by the manufacturer and the manufacturer needs to provide details for achieving the settings for repeatability and testing.

### 5.2 Certified Lighting Subcomponent Database

The Certified Subcomponent Database (CSD) supports certification of ENERGY STAR Luminaires by providing partners with third-party certified performance data for lighting subcomponents. The use of the CSD is optional for luminaire manufacturers. It is intended to streamline the certification process; subcomponents are not required to be listed on the CSD to be employed in an ENERGY STAR certified luminaire.

The CSD is designed to contain certified performance data for: fluorescent lamps, fluorescent ballasts, fluorescent lamp-ballast platforms, and LED light engines, and can be found at [www.energystar.gov/csd](http://www.energystar.gov/csd).

#### 5.2.1 Listing Subcomponents:

Subcomponents in this database are not ENERGY STAR qualified as a result of being listed and:

- May not carry any of the Program's certification or promotional marks on the products, on product packaging, or in associated literature either printed or electronic.
- May not be referred to as ENERGY STAR qualified, certified, rated, or approved.
- LED arrays/modules, LED drivers and LED power supplies will not be individually listed in the database, as no industry standard methods of measurement currently exist for measuring the performance of LED drivers.

To have subcomponents listed on the CSD, manufacturers must follow the [third-party certification procedures](#) and have products tested at an EPA-recognized laboratory, and the test data certified by an [EPA-recognized certification body](#).

- Subcomponent manufacturers' test laboratories must provide the same test reports required by the Luminaires specification, and the sample sizes for subcomponents must follow the required sample sizes in the specification. See Testing Requirements section below.

- If the subcomponent meets the Luminaires specification performance levels attributable to the subcomponent, the CB may certify the subcomponent data for listing on the CSD.
- When luminaire manufacturers provide materials for ENERGY STAR certification, they can reference the CSD for performance data of subcomponents used in their luminaires.

## 5.2.2 Using Subcomponents:

Partners that utilize the subcomponents in their luminaire may only reference performance metrics applicable to the specific type of luminaire, and its classification as a directional or non-directional luminaire.

**Note box 4:** To clarify the use of the CSD, a new section was added to address the listing of subcomponents and partner use of the CSD.

Additionally, EPA understands that ANSI is close to completion of a draft of a Method of Measurement for LED Drivers, and requests feedback on the usefulness to partners of including LED drivers into the CSD when the method of measurement is complete.

# 6 PRODUCT CERTIFICATION

## 6.1 Product Families

Grouped product submissions for ENERGY STAR certification shall meet the following requirements:

Certified products within a product family shall be identical to the tested, representative model with the exception of allowed variations listed in Table 1, below. The representative model shall be the variation expected to have the greatest difficulty meeting the performance requirements outlined in this specification.

**Table 1: Allowable Variations Within Product Families<sup>1</sup>**

Luminaire Attribute	Allowable Variation	Additional Test Data Required for Each Variant
Housing / Chassis	Allowed so long as the light source or lampholder, ballast or driver, and heat sink (as applicable) are integrated into housing / chassis variations in such a way that the thermal performance of the luminaire is not degraded by changes to the housing / chassis.	Thermal measurements of each variation may be required (e.g. ballast case temperature, $TMP_{LED}$ , or $TMP_C$ ).
Heat Sink / Thermal Management Components	Not allowed.	None
Finish	Luminaire body color/pigment.	None
Mounting	Allowed. Luminaire photometry test reports generated for outdoor post-mounted luminaires may be used to certify outdoor porch (wall-mounted), outdoor ceiling or close-to-ceiling mounted and outdoor pendant luminaires within the same product family, in place of the source photometry requirements, so long as the bill of materials for each luminaire type is identical except for mounting hardware.	None
Electrical Connection (SSL Retrofits)	Allowed (e.g. E26 and GU24).	None

<sup>1</sup> Partners may not retroactively add variations to a product family unless requirements in Table 1 are still met. For example, if the representative model tested is a SSL product with a 3000 Kelvin nominal CCT, partner may not retroactively add a 2700 Kelvin model, as this was not the lowest CCT initially tested.

Reflector / Trim	Allowed so long as luminaire light output is not reduced.	<ul style="list-style-type: none"> <li>Luminous intensity distribution data</li> </ul>
Shade / Diffuser	Allowed so long as neither luminaire light output nor air flow are reduced.	None
Light Source (refers to the make and/or model of the source; also review CCT below)	Allowed so long as variations will not negatively impact luminaire's compliance with any performance criteria in this specification.	<ul style="list-style-type: none"> <li>Certified performance data from additional light source if separable</li> <li>Integrating sphere test for inseparable product</li> </ul>
Correlated Color Temperature (CCT)  (also review Light Source above)	<p>Allowed so long as the lamp series or LED package/module/array series (and associated drive current), ballast or driver, and thermal management components are identical, and so long as variations will not negatively impact luminaire's compliance with any performance criteria in this specification.</p> <p>The representative model shall be the version within the product family with the lowest CCT for SSL products and the highest CCT for discharge products.</p> <p>Partner shall use different luminaire model numbers to distinguish between models shipped with light sources of varying CCTs.</p>	<ul style="list-style-type: none"> <li>Integrating sphere scan to confirm impact on performance</li> </ul>
Product Wattage <sup>2</sup> (directional luminaires)	<p>For SSL products:</p> <ul style="list-style-type: none"> <li>The LED package, array, or module model must not change, although CCT remains an allowable variation.</li> <li>The only performance change to the luminaire is to a driver that provides a different drive current to the LED package, array or module.</li> <li>The model tested should be the highest wattage, highest CRI , and lowest CCT variant.</li> </ul>	<ul style="list-style-type: none"> <li>LED current measurement</li> <li>Integrating sphere scan to represent performance of variants</li> </ul>
	<p>For Fluorescent products:</p> <ul style="list-style-type: none"> <li>The lamp wattage may change, but not the general type or configuration.</li> <li>Example: A 32W triple tube pin based fluorescent representing a 26W triple tube pin based fluorescent would be acceptable, but not representing a 26W twin tube fluorescent.</li> <li>The only performance change to the luminaire is to the lamp (or lamp and ballast) with a lower wattage.</li> <li>The model tested should be the highest wattage, highest CRI, and highest CCT variant .</li> </ul>	<ul style="list-style-type: none"> <li>Certified lamp data for variants</li> <li>Integrating sphere scan to represent performance of variants</li> </ul>
Ballast / Driver (no change in nominal wattage or current)	Allowed so long as variations will not negatively impact luminaire's compliance with any performance criteria in this specification.	<ul style="list-style-type: none"> <li>Thermal measurements of each variation may be required (e.g. ballast case temperature or TMPC).</li> </ul>

<sup>2</sup> When wattage as a variation is used, changes to optics and LED package, array or module (where applicable) are not permitted to be represented, as these changes would result in a change in distribution which must be re-evaluated against the luminaire specific requirements. The additional models would still require an integrating sphere LM-79 test to verify other photometric and electrical performance requirements.

## 6.2 Significant Digits and Rounding

- a. Measurements shall be recorded at the resolution of the test instrumentation for each unit in the sample set.
- b. All calculations shall be carried out on a per unit basis with directly measured (unrounded) values.
- c. Compliance with the specification limits shall be evaluated against the reported value for each model.
- d. Rounding is defined as follows:
  - a. A fractional number at or above the midpoint between two consecutive decimal places or whole numbers shall be rounded up to the higher of the two decimal places or whole numbers; or
  - b. A fractional number below the midpoint between two consecutive decimal places or whole numbers shall be rounded down to the lower of the two decimal places or whole number.

## 6.3 Solid State Lumen Maintenance Performance Data

Content and application of IES LM-80-08 reports for LED lamps shall comply with [ENERGY STAR Program Guidance Regarding LED Package, LED Array and LED Module Lumen Maintenance Performance Data Supporting Qualification of Lighting Products](#).

**Note box 5:** EPA has removed two requirements (center beam intensity and color angular uniformity) in the Reflector / Trim allowable variation that were inadvertently included in the first draft.

EPA recognizes stakeholders' desire to share lumen maintenance data among otherwise identical products with differing color rendering, but needs data to support technical rationale for allowing this variation. EPA requests data to assist in this evaluation.

For allowable variations, products will be listed by certification bodies based on changes in photometric performance and/or electrical performance. E.g. CCT variations will be listed individually, but changes in fixture finish will not.

## 7 METHODS OF MEASUREMENT AND REFERENCE DOCUMENTS

Organization	Identifier	Description
ANSI	<a href="#">C78.376-2001</a>	Specifications for the Chromaticity of Fluorescent Lamps
ANSI/NEMA/ ANSLG	<a href="#">C78.377-2011</a>	Specifications for the Chromaticity of Solid State Lighting Products
ANSI	<a href="#">C78.5-2003</a>	Specifications for Performance of Self-ballasted Compact Fluorescent Lamps
ANSI/ANSLG	<a href="#">C78.81-2010</a>	Double-Capped Fluorescent Lamps—Dimensional and Electrical Characteristics
ANSI	<a href="#">C78.901-2014</a>	Single-Based Fluorescent Lamps—Dimensional and Electrical Characteristics
ANSI/ANSLG	<a href="#">C81.61-2009</a>	Specifications for Bases (Caps) for Electric Lamps
ANSI/ANSLG	<a href="#">C81.62-2009</a>	Lampholders for Electric Lamps
ANSI	<a href="#">C82.11-2011</a>	High-Frequency Fluorescent Lamp Ballasts
ANSI	<a href="#">C82.2-2002</a>	Method of Measurement of Fluorescent Lamp Ballasts
ANSI	<a href="#">C82.77-2002</a>	Harmonic Emission Limits—Related Power Quality Requirements for Lighting Equipment
ANSI/IEEE	<a href="#">C62.41.1-2002</a>	IEEE Guide on the Surge Environment in Low-Voltage (1000 V and Less) AC Power Circuits
ANSI/IEEE	<a href="#">C62.41.2-2002</a>	IEEE Recommended Practice on Characterization of Surges in Low-Voltage (1000V and Less) AC Power Circuits
ANSI/UL	<a href="#">153-2002</a>	Standard for Safety of Portable Electric Luminaires
ANSI/UL	<a href="#">935-2009</a>	Standard for Safety of Fluorescent-Lamp Ballasts
ANSI/UL	<a href="#">1310-2010</a>	Standard for Safety of Class 2 Power Units
ANSI/UL	<a href="#">1574-2004</a>	Standard for Safety of Track Lighting Systems
ANSI/UL	<a href="#">1598-2008</a>	Standard for Safety of Luminaires
ANSI/UL	<a href="#">1598C</a>	Light-Emitting Diode (LED) Retrofit Luminaire Conversion Kits
ANSI/UL	<a href="#">1598B-2010</a>	Standard for Supplemental Requirements for Luminaire Reflector Kits for Installation on Previously Installed Fluorescent Luminaires
ANSI/UL	<a href="#">1993-2009</a>	Standard for Safety of Self-Ballasted Lamps and Lamp Adapters
ANSI/UL	<a href="#">2108-2004</a>	Standard for Low-Voltage Lighting Systems
ANSI/UL	<a href="#">8750-2009</a>	Standard for Light Emitting Diode (LED) Equipment for Use in Lighting Products
ASTM	<a href="#">E283-04</a>	Standard Test Method for Determining Rate of Air Leakage Through Exterior Windows, Curtain Walls, and Doors Under Specified Pressure Differences Across the Specimen
CIE	<a href="#">Pub. No. 13.3-1995</a>	Method of Measuring and Specifying Color Rendering of Light Sources
CIE	<a href="#">Pub. No. 15:2004</a>	Colorimetry
EU	<a href="#">Directive 2002/95/EC</a>	Directive 2002/95/EC of the European Parliament and of the Council of 27 January 2003 on the Restriction of the Use of Certain Hazardous Substances In Electrical and Electronic Equipment
FCC	<a href="#">CFR Title 47 Part 15</a>	Radio Frequency Devices
FCC	<a href="#">CFR Title 47 Part 18</a>	Industrial, Scientific, and Medical Equipment
IEC	<a href="#">60061-1 (2012)</a>	Lamp Caps and Holders Together with Gauges for the Control of Interchangeability and Safety – Part 1: Lamp Caps
IEC	<a href="#">60081 Amend 4 Ed 5.0 (2010)</a>	Double-capped Fluorescent Lamps - Performance Specifications
IEC	<a href="#">60901 (2011)</a>	Single-capped Fluorescent Lamps - Performance Specifications
IEC	<a href="#">61347-2-3-am2 ed1.0 b.2011</a>	Amendment 2 - Lamp Control Gear - Part 2-3: Particular Requirements for A.C. Supplied Electronic Ballasts for Fluorescent Lamps
IEC	<a href="#">62321 Ed. 1.0</a>	Electrotechnical Products - Determination Of Levels Of Six Regulated Substances (lead, mercury, cadmium, hexavalent chromium, polybrominated biphenyls, polybrominated diphenyl ethers)
IEEE	<a href="#">P1789</a>	IEEE Recommending Practices for Modulating Current in High Brightness LEDs for Mitigating Health Risks to Viewers
IES	<a href="#">LM-9-09</a>	Electric and Photometric Measurements of Fluorescent Lamps
IES	<a href="#">LM-10-96</a>	Photometric Testing of Outdoor Fluorescent Luminaires
IES	<a href="#">LM-31-95</a>	Photometric Testing of Roadway Luminaires Using Incandescent Filament and High Intensity Discharge (HID) Lamps
IES	<a href="#">LM-40-10</a>	Life Testing of Fluorescent Lamps
IES	<a href="#">LM-41-98</a>	Approved Method for Photometric Testing of Indoor Fluorescent Luminaires (renewal anticipated in 2013)
IES	<a href="#">LM-46-04</a>	Photometric Testing of Indoor Luminaires Using High Intensity Discharge or Incandescent Filament Lamps
IES	<a href="#">LM-49-12</a>	Life Testing of Incandescent Filament Lamps
IES	<a href="#">LM-58-94</a>	Guide to Spectroradiometric Measurements
IES	<a href="#">LM-65-10</a>	Life Testing of Compact Fluorescent Lamps
IES	<a href="#">LM-66-11</a>	Electrical and Photometric Measurements of Single-Ended Compact Fluorescent Lamps
IES	<a href="#">LM-79-08</a>	Electrical and Photometric Measurements of Solid-State Lighting Products
IES	<a href="#">LM-80-08</a>	Measuring Lumen Maintenance of LED Light Sources
IES	<a href="#">LM-82-12</a>	Method for the Characterization of LED Light Engines and Integrated LED Lamps for Electrical and Photometric Properties as a Function of Temperature
IES	<a href="#">LM-84-14</a>	Measuring Luminous Flux and Color Maintenance of LED Lamps, Light Engines, and Luminaires
IES	<a href="#">RP-16-10</a>	Nomenclature and Definitions for Illuminating Engineering
IES	<a href="#">TM-21-11</a>	Projecting Long Term Lumen Maintenance of LED Sources
IES	<a href="#">TM-28-14</a>	Projecting Long-Term Luminous Flux Maintenance of LED Lamps and Luminaires
NEMA	<a href="#">LL 9-2009</a>	Dimming of T8 Fluorescent Lighting Systems
NEMA	<a href="#">LSD 45-2009</a>	Recommendations for Solid State Lighting Sub-Assembly Interfaces for Luminaires

**Note box 6:** The following reference documents have been included, IEEE P1798 for flicker and frequency and ANSI/UL 1598C for SSL Retrofits.

## 8 SHIPPING WITH ENERGY STAR CERTIFIED LAMPS:

### 8.1 Non-Directional Luminaires Shipped with ENERGY STAR Lamps

To satisfy the requirements of this specification, non-directional luminaires shall:

- meet the requirements outlined in this section and be shipped with ENERGY STAR certified lamp(s) that meet the requirements in this section, OR
- meet the relevant requirements in Sections 9 through 18

Requirement	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents
<b>Source Efficacy</b>	<p>≥ 65 lm/W per lamp</p> <p>All lamp permutations (makes and models) employed in a given luminaire model shall meet this requirement.</p>	ENERGY STAR Lamps Specification
<b>Source Minimum Light Output (initial)</b>	<p>Source shall provide a minimum of 800 lumens.</p> <p><u>Exception:</u> Outdoor porch, bath vanity luminaires and ceiling fan light kits featuring ≥ 3 heads shall provide a minimum of 450 lumens per head. Chandeliers, decorative pendants, wall sconces, and other multi-head indoor luminaires shall provide a minimum of 250 lumens per head.</p>	ENERGY STAR Lamps Specification
<b>Safety Rating</b>	Luminaire must meet applicable safety rating in <a href="#">section 14</a> . Additionally, the lamp used must be rated for the luminaire type it will be shipped with, e.g. a lamp shipped with an enclosed fixture must be safety tested in a totally enclosed situation and may not be rated or labeled “not for use in totally enclosed fixtures” or similar.	ANSI/UL 1993-2012, and ANSI/UL 8750-2009
<b>Product Packaging &amp; Labeling</b>	Luminaire must comply with <a href="#">section 16</a> . Unless shipped with lamps directly installed, ENERGY STAR certified lamps shipped with luminaires must comply with lamps packaging requirements.	<a href="#">See Section 16</a>
<b>Warranty</b>	Luminaire must comply with <a href="#">section 17</a>	<a href="#">See Section 17</a>
<b>Toxics</b>	Luminaire must comply with <a href="#">section 18</a>	<a href="#">See Section 18</a>
<b>Enclosed Fixture Testing</b>	An enclosed luminaire may not ship with a lamp marked with the restriction “not for use in enclosed fixtures” or similar. An in situ temperature test of the ambient air inside of the enclosure must be performed to verify that the air temperature does not exceed the lamp manufacturer’s recommended operating temperature range and must also be under 45°C.	

**Note:** For this certification pathway, most performance requirements are fulfilled through the certification of the lamp. Lamp efficacy, minimum light output and CCT performance can be referenced on the ENERGY STAR Lamps product listing. Certain luminaire specific requirements (as noted above) still apply to the luminaire. All lamps that ship with a luminaire must meet the ENERGY STAR lamp specification effective on the date of manufacture of luminaire and be included in the luminaire certification documentation.

**Note box 7:** Stakeholders expressed concern about shipping ENERGY STAR certified lamps with enclosed fixtures without additional thermal testing. Some stakeholders suggested LM-82 and in-situ temperature testing of lamps, similar to LED Light Engines. While the Agency recognizes the concerns, there is not typically a clear manufacturer designated temperature point on lamps as there is with LED light engines. Also, the ENERGY STAR Lamps specification provides robust long term testing to stress the lamps. In light of this concern, however, EPA has included additional requirements applying to enclosed fixtures to ensure the lamps will be appropriately matched to the Luminaire.

EPA has evaluated the potential for the use of ENERGY STAR certified directional lamps with directional fixtures, and found lamp data to be insufficient to demonstrate certification against the relevant luminaire requirements. EPA has not included this option in Draft 2.

## 9 PHOTOMETRIC PERFORMANCE REQUIREMENTS

### 9.1 Luminous Efficacy and Output: NON-DIRECTIONAL Luminaires

**Note:** Luminaire types not classified as directional in the Scope section of this specification shall be evaluated as non-directional, based on source photometric performance. The performance values in this section pertain to the performance of the source (system including ballast or driver) within a luminaire.

Source Type	ENERGY STAR Requirements		Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
	Source Efficacy (initial)	Source Minimum Light Output (initial)		
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>compact</li> <li>circline</li> </ul>	<p>≥ 65 lm/W per lamp-ballast platform</p> <p>All lamp and ballast permutations (makes and models) employed in a given luminaire model shall meet this requirement.</p>	<p>Lamp-ballast platform shall provide a minimum of 800 lumens.</p> <p><u>Exception:</u> Outdoor porch, bath vanity luminaires and ceiling fan light kits featuring ≥ 3 heads shall provide a minimum of 450 lumens per head.</p> <p>Chandeliers, decorative pendants, wall sconces, and other multi-head indoor luminaires shall provide a minimum of 250 lumens per head.</p>	<p>Methods of Measurement: IES LM-9-09 (circline)</p> <p>IES LM-66-11 (compact non-self-ballasted)</p>	<p>Laboratory test results shall be produced using the specific models of lamp and ballast that will be used in production.</p> <p>Luminaires with ballast(s) capable of operating multiple fluorescent lamp types shall be tested either with the lamp model shipped with the luminaire, or if a lamp is not supplied, with the highest power lamp type detailed on the packaging.</p> <p><b>Sample Size:</b> 1 sample of each lamp-ballast model combination. <b>Passing Test:</b> Sample shall pass.</p>
<b>Solid State:</b> <ul style="list-style-type: none"> <li>LED Light engine</li> </ul>	<p>Replaceable LED light engine ("source") efficacy shall meet or exceed the values detailed below, as determined by comparing the <i>in situ</i> (installed in the luminaire) T<sub>0</sub> value to the source's LM-82 test report.</p> <p>≥ 65 lm/W per source light engines that include secondary optics.</p> <p>≥ 90 lm/W per source for light engines that do not include secondary optics.</p>	<p>Installed in the luminaire, each LED light engine <i>in situ</i> shall provide a minimum of 800 lumens.</p> <p><u>Exceptions:</u> Ceiling fan light kits, outdoor porch, and bath vanity luminaires featuring ≥ 3 heads shall provide a minimum of 450 lumens per head.</p> <p>Chandeliers, decorative pendants, wall sconces, and other multi-head indoor luminaires shall provide a minimum of 250 lumens per head.</p>	<p>Methods of Measurement: IES LM-82-12</p> <p><i>In situ</i> temperature measurement: ANSI/UL 153:2002 (Sections 124-128A) ANSI/UL 1574:2004 (Section 54) ANSI/UL 1598:2008 (Sections 19.7, 19.10-16)</p>	<p>Laboratory test results shall be produced using the specific models of LED package, LED module or LED array and LED driver (i.e. LED light engine) that will be used in production.</p> <p><i>In situ</i> temperature measurement value shall be determined in accordance with ANSI/UL 153:2002 (Sections 124-128A), ANSI/UL 1574:2004 (Section 54), or ANSI/UL 1598:2008 (Sections 19.7, 19.10-16), as applicable. LM-82 test reports shall detail luminous efficacy, luminous flux, chromaticity coordinates, CCT, and CRI values at all tested temperatures. Linear interpolation shall be employed to determine LED light engine or module ("source") photometric performance at temperatures between the LM-82 reported temperatures higher and lower than the <i>in situ</i> temperature.</p> <p>Luminaires incorporating more than one source shall have all sources installed and operational during <i>in situ</i> temperature testing.</p> <p><b>Sample Size:</b> 1 sample of each lamp-driver model combination. <b>Passing Test:</b> Sample, tested <i>in situ</i> (installed in luminaire), shall pass.</p>
<b>Solid State: Surface-mounted retrofit for diffused wall sconces</b>	<p>≥ 65 lm/W per source for retrofits that include secondary optics.</p> <p>≥ 90 lm/W per source for retrofits that do not include optical control components.</p>	<p>Retrofit kit shall provide 250 lumens</p> <p>80% between 0-90 degrees from center of the beam</p>	<p>Methods of Measurement: IES LM-79-08</p>	<p>Products in this category should be tested at the line voltage for which the product is rated. If the product is rated for multiple voltages, the product shall be tested at the most consumptive voltage.</p> <p><b>Sample Size:</b> 1 sample of retrofit kit <b>Passing Test:</b> Sample shall pass.</p>
<b>Solid State: Surface mounted retrofits for diffused ceiling mounted lights</b>	<p>≥ 65 lm/W per source for retrofits that include secondary optics.</p> <p>≥ 90 lm/W per source for retrofits that do not</p>	<p>Retrofit kit shall provide 800 lumens</p> <p>80% between 0-90 degrees from center of the beam</p>	<p>Methods of Measurement: IES LM-79-08</p>	<p>Products in this category should be tested at the line voltage for which the product is rated. If the product is rated for multiple voltages, the product shall be tested at the most consumptive voltage.</p> <p><b>Sample Size:</b> 1 sample of retrofit kit</p>

Source Type	ENERGY STAR Requirements		Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
	Source Efficacy (initial)	Source Minimum Light Output (initial)		
	include secondary optics.			<b>Passing Test:</b> Sample shall pass.
<b>Future tiers</b>	Efficacy requirements increase by 20% two (2) years from the effective date of the specification.	N/A	N/A	Efficacy requirements will increase by 20% (requirement multiplied by 1.2) effective two (2) years after the effective date of the specification. Recertification is not required unless products have a design change to meet the increased levels.

**Note box 8:** EPA received stakeholder feedback noting that including strict upright requirements on outdoor porch lights would limit consumer choice and increase manufacturer test burden, thus limiting energy efficient alternatives to popular incandescent styles. To maximize flexibility and choice for the partner and end user, EPA has decided to include porch lights in both directional and non-directional sections.

EPA has aligned the efficacy requirements for surface mounted SSL retrofits with LED light engines based on stakeholder feedback of how similar these products are in construction, use and measurement.

As referenced in Draft 1, EPA is proposing to establish a near term (2016) and longer term (2018) efficacy requirements in order to more effectively keep pace with rapid changes in lighting technology. The longer term requirement is proposed at 20% after 2 years, or a little over 9% per year. This proposal is derived from the US DOE 2014 Multi Year Program Plan ([http://apps1.eere.energy.gov/buildings/publications/pdfs/ssl/ssl\\_mypp2014\\_web.pdf](http://apps1.eere.energy.gov/buildings/publications/pdfs/ssl/ssl_mypp2014_web.pdf)) table 3.10 for the period of 2015 to 2020. Below is a table of example anticipated efficacies:

Proposed Initial Efficacy 2016 (lm/W)	Proposed 2018 Efficacy (lm/W)
65	78
90	108

EPA will continue to monitor the market and the appropriateness of the 2018 requirements as the deadline approaches.

## 9.2 Luminous Efficacy, Output and Zonal Lumen Density: DIRECTIONAL Luminaires

**Note:** Luminaire types classified as directional on the second page of this specification shall be evaluated based on luminaire photometry. The performance values in this section pertain to the performance of the entire luminaire, including optical losses.

Luminaire Type	ENERGY STAR Requirements			Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
	Luminaire Efficacy (initial)	Luminaire Minimum Light Output (initial)	Luminaire Zonal Lumen Density Requirement		
<b>Cove Mount</b>	55 lm/W	Luminaire shall deliver a minimum of 200 lumens per lineal foot.  The minimum required light output (in lumens) is calculated by dividing the measured luminaire length in inches by 12, then multiplying the result by 200.	Asymmetrically, luminaire shall deliver a minimum of 35% of total lumens within the zone 30° to 60° from the zenith.	Methods of Measurement: IES LM-41-13 (fluorescent; renewal anticipated in 2013)  IES LM-79-08 (solid state)	Laboratory test results shall be produced using the specific models of lamp and ballast or LED package, LED module or LED array and LED driver that will be used in production.  Fluorescent luminaires with ballast(s) capable of operating multiple fluorescent lamp types shall be tested either with the lamp model shipped with the luminaire.  The equation for minimum light output divided by the length of the luminaire applies to all luminaire configurations. For rectangular geometries the "measured luminaire length" is the longest dimension of the luminaire. For circular geometries the "measured luminaire length" is the diameter.
<b>Downlights:</b> • recessed • surface • pendant	60 lm/W	≤ 4.5" aperture: 345 lumens  > 4.5" aperture:	Luminaire shall deliver a minimum of 75% of total initial		When evaluating an asymmetrical distribution, the luminous intensity distribution from the goniophotometer scan is to be used in determining if the luminaire meets the requirement, as the Zonal Lumen Density chart



Luminaire Type	ENERGY STAR Requirements			Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
	Luminaire Efficacy (initial)	Luminaire Minimum Light Output (initial)	Luminaire Zonal Lumen Density Requirement		
<b>SSL downlight retrofits:</b>	65 lm/W	575 lumens	lumens within the 0-60° zone (axially symmetric about the nadir)		is not typically sufficient to determine compliance of asymmetrical patterns.  For downlights, one trim ring and one reflector may be used.  <b>Sample Size:</b> 1 complete luminaire. <b>Passing Test:</b> the luminaire shall pass.
<b>Accent Lights</b> <ul style="list-style-type: none"> <li>• includes track light luminaires</li> <li>• includes line voltage track heads</li> <li>• includes directional ceiling fan light kits</li> </ul>	55 lm/W	Luminaire shall deliver a minimum of 200 lumens per head.	Luminaire shall deliver a minimum of 80% of total initial lumens within the 0-40° zone (axially symmetric about the center of the beam).		
<b>Undercabinet</b>	55 lm/W	Luminaire shall deliver a minimum of 125 lumens per lineal foot.  The minimum required light output (in lumens) is calculated by dividing the measured luminaire length in inches by 12, then multiplying the result by 125.	Referring to the plane perpendicular to the length of the luminaire, the luminaire shall deliver a minimum of 60% of total initial lumens within the 0-60° zone (symmetric about the nadir) and a minimum of 12.5% of total initial lumens within the 60-90° zone aimed toward the backsplash.  Partner shall provide instructions with the luminaire noting which direction to install the luminaire to ensure this performance.		
<b>Outdoor, Wall-, Porch-, Pendant-, Post- Mounted and Security Luminaires</b>  (Note: for post mounting between 4 feet and 10.5 feet above grade)	60 lm/W	Luminaire shall deliver a minimum of 300 lumens.	Luminaire shall deliver 95% of total lumens within the 0°- 85° zone (symmetric about the nadir). Luminaire shall not emit more than 0.5% of the overall light output above 90°.	Methods of Measurement: IES LM-10-13 (fluorescent; renewal anticipated in 2013)  IES LM-79-08 (solid state)	
<b>Portable Desk Task</b>	50 lm/W	Luminaire shall deliver a minimum of 200 lumens.	Luminaire shall deliver a minimum of 60% of total lumens (initial) within the 0-75° zone (symmetric about the center of the beam).		

Luminaire Type	ENERGY STAR Requirements			Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
	Luminaire Efficacy (initial)	Luminaire Minimum Light Output (initial)	Luminaire Zonal Lumen Density Requirement		
<b>Inseparable SSL Luminaire</b> (applies to SSL luminaire types not otherwise noted in this table)	70 lm/W	None.	None.	Method of Measurement: IES LM-79-08	
<b>Future tiers</b>	Efficacy requirements increase by 20% 2 years from the effective date of the specification.	N/A	N/A	N/A	Efficacy requirements will increase by 20% (requirement multiplied by 1.2) effective two (2) years after the effective date of the specification. Recertification is not required unless products have a design change to meet the increased levels.

**Note box 9:** As a result of stakeholder feedback on proposed efficacy levels for SSL downlight retrofits, accent lighting and undercabinet lighting, EPA has lowered the proposed efficacy requirements for these luminaire types.

Additional guidance has been provided for the testing of SSL downlight retrofits to better reflect how the products are likely to be utilized and the thermal environments they will encounter when installed.

To address concerns over evaluation of asymmetrical distributions, additional guidance has been included for these beam patterns.

As referenced in Draft 1, EPA is proposing an increased efficacy requirement two years from the effective date in order to keep pace with the rapid change in lighting technology. The change is proposed at 20%, rounded to the nearest whole number. This proposal is derived from the US DOE 2014 Multi Year Program Plan

([http://apps1.eere.energy.gov/buildings/publications/pdfs/ssl/ssl\\_mypp2014\\_web.pdf](http://apps1.eere.energy.gov/buildings/publications/pdfs/ssl/ssl_mypp2014_web.pdf)) table 3.10 for the period of 2015 to 2020 which had an approximate annual rate of 9% anticipated improvement in efficacy. Below is a table of example anticipated efficacies:

Proposed Initial Efficacy 2016 (lm/W)	Proposed 2018 Efficacy (lm/W)
50	60
55	66
60	72
65	78
70	84

EPA will continue to monitor the market and the appropriateness of the 2018 requirements as the deadline approaches.

### 9.3 Correlated Color Temperature (CCT): All Indoor Luminaires (Exemption: Outdoor Luminaires)

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<b>Fluorescent</b> • compact • circline	Lamps shipped with luminaires shall have one of the following nominal correlated color temperatures (CCT): <ul style="list-style-type: none"> <li>• 2700 Kelvin</li> <li>• 3000 Kelvin</li> <li>• 3500 Kelvin</li> <li>• 4000 / 4100 Kelvin</li> <li>• 5000 Kelvin</li> </ul> Lamps shipped with luminaire shall consistently meet the above requirement, as verified by consistency data provided by the lamp vendor to the luminaire manufacturing partner.	Methods of Measurement: IES LM-9-09 (circline)  IES LM-66-11 (compact non-self-ballasted)  Calculation: CIE 15.2004  Reference Document: ANSI C78.376-2001	Laboratory test results shall be produced using the specific lamp model that will operate in the luminaire and either the ballast model that will operate in the luminaire or a commercially-available ballast model that meets the applicable ANSI ballast requirements, if applicable, for the light source being tested.  <b>Sample Size:</b> ≥ 3 samples of each lamp model shall be tested.  <b>Passing Test:</b> 100% of the lamps tested shall fall within a 7-step MacAdam ellipse for the designated CCT, with ellipses constructed using the Objective Chromaticities detailed in Table 1 of ANSI C78.376-2001, and the referenced MacAdam publication.

<p><b>Solid State</b></p>	<p>The luminaire (directional luminaires), or replaceable LED light engine or module (non-directional luminaires) shall be capable of providing at least one of the following nominal correlated color temperatures (CCTs):</p> <ul style="list-style-type: none"> <li>• 2700 Kelvin</li> <li>• 3000 Kelvin</li> <li>• 3500 Kelvin</li> <li>• 4000 Kelvin</li> <li>• 5000 Kelvin</li> </ul> <p>The luminaire, LED light engine shall also fall within the corresponding 7-step chromaticity quadrangles as defined in ANSI/NEMA/ANSLG C78.377-2008.</p>	<p>Methods of Measurement: IES LM-79-08 (directional)  IES LM-82-12</p> <p>Calculation: CIE 15.2004</p> <p>Reference Document: ANSI/NEMA/ANSLG C78.377-2008</p>	<p>For downlights, one trim ring and one reflector may be used.</p> <p>Non-directional: LED light engine or module (“source”) CCT shall meet the requirement as determined by comparing the <i>in situ</i> (installed in the luminaire) <math>T_b</math> value to the LM-82 test report. <i>In situ</i> temperature measurement value shall be determined in accordance with ANSI/UL 153:2002 (Sections 124-128A), ANSI/UL 1574:2004 (Section 54), or ANSI/UL 1598:2008 (Sections 19.7, 19.10-16), as applicable. LM-82 test reports shall detail luminous efficacy, luminous flux, chromaticity coordinates, CCT and CRI values for all tested temperatures. Linear interpolation shall be employed to determine source photometric performance at temperatures between the LM-82 reported temperatures higher and lower than the <i>in situ</i> temperature. Luminaires incorporating more than one source shall have all sources installed and operational during <i>in situ</i> temperature testing.</p> <p><b>Sample Size:</b> 1 complete luminaire (directional), or 1 sources and 1 luminaire (non-directional).</p> <p><b>Passing Test:</b> the luminaire (directional), or source (when installed in the luminaire, non-directional) shall pass.</p>
---------------------------	---	---	---

**Note box 10:** EPA is aware that IES is in the process of updating ANSI C78.376-2001 and C78.377-2011 to include CCT bins for 2200K and 2500K. EPA is willing to consider the inclusion of these additional CCT bins if stakeholders can demonstrate consumer demand and quantify the potential energy savings opportunity. Stakeholders are encouraged to provide feedback on whether to allow these additional CCT bins.

Sample sizes for luminaires was adjusted to 1 source and 1 luminaire.

## 9.4 Color Rendering Index: All Indoor Luminaires (Exemption: Outdoor Luminaires)

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>• compact</li> <li>• circline</li> </ul>	Lamps shipped with luminaires shall meet or exceed $R_a \geq 80$ and $R_g \geq 0$ .	Methods of Measurement: IES LM-9-09 (linear & circline)  IES LM-66-11 (compact & self-ballasted compact)  CIE 13.3-1995	Laboratory test results shall be produced using the specific lamp model that will operate in the luminaire and either the ballast model that will operate in the luminaire or a commercially-available ballast model that meets the applicable ANSI ballast requirements, if applicable, for the light source being tested.  <b>Sample Size:</b> $\geq 3$ samples of each lamp model shall be tested.  <b>Passing Test:</b> 100% of the samples shall achieve the required color rendering index value.
<b>Solid State</b>	The luminaire (directional luminaires), or replaceable LED light engine (non-directional luminaires) shall be capable of meeting or exceeding $R_a \geq 80$ and $R_g > 0$ .	Methods of Measurement: IES LM-79-08 (directional)  IES LM-82-12  Reference Document: <i>In situ</i> temperature measurements (non-directional): ANSI/UL 153:2002 (Sections 124-128A) ANSI/UL 1574:2004 (Section 54) ANSI/UL 1598:2008 (Sections 19.7, 19.10-16) CIE 13.3-1995	For downlights, one trim ring and one reflector may be used.  Non-directional: LED light engine ("source") CRI shall meet the requirement as determined by comparing the <i>in situ</i> (installed in the luminaire) $T_b$ value to the LM-82 test report. <i>In situ</i> temperature measurement value shall be determined in accordance with ANSI/UL 153:2002 (Sections 124-128A), ANSI/UL 1574:2004 (Section 54), or ANSI/UL 1598:2008 (Sections 19.7, 19.10-16), as applicable. LM-82 test reports shall detail luminous efficacy, luminous flux, chromaticity coordinates, CCT and CRI values for all tested temperatures. Linear interpolation shall be employed to determine source photometric performance at temperatures between the LM-82 reported temperatures higher and lower than the <i>in situ</i> temperature. Luminaires incorporating more than one source shall have all sources installed and operational during <i>in situ</i> temperature testing.  <b>Sample Size:</b> 1 complete luminaire (directional), or 1 source and 1 luminaire (non-directional).  <b>Passing Test:</b> the luminaire (directional), or source (when installed in the luminaire, non-directional) shall pass.

**Note box 11:** Sample sizes for luminaires was adjusted to 1 source and 1 luminaire.

## 9.5 Color Angular Uniformity: Directional Solid State Indoor Luminaires Only (Exemption: Outdoor Luminaires)

ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
Throughout the applicable zonal lumen density angles, the variation of chromaticity shall be within 0.006 from the weighted average point on the CIE 1976 ( $u',v'$ ) diagram.	Methods of Measurement: IES LM-79-08  IES LM-58-13  CIE 15: 2004	Vertical angular scanning resolution shall be 1 degree on the 0 and 90 degree vertical planes, and $\Delta u',v'$ distance shall be reported for each vertical angle measured.  Only the measurements within the applicable zone of interest for the luminaire type are evaluated for color angular uniformity.  <b>Sample Size:</b> 1 complete luminaire.  Downlights that utilize interchangeable trims may be tested without a trim to demonstrate compliance with the color angular uniformity requirement. This applies to the color angular uniformity requirement only and does not extend to other photometric requirements.  <b>Passing Test:</b> the luminaire shall pass.

# 10 LUMEN MAINTENANCE AND RATED LIFE REQUIREMENTS

## 10.1 Lumen Maintenance: All Luminaires

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<b>Fluorescent Option 1</b> <ul style="list-style-type: none"> <li>compact</li> <li>circline</li> </ul>	For lamps indicated on the luminaire packaging or shipped with the luminaire, the lamp shall have an average rated lumen maintenance of at least 80% of initial lamp lumens at 40% rated lamp life.	Methods of Measurement: Circline: IES LM-40-10 IES LM-09-09  Compact non self-ballasted: IES LM-65-10 IES LM-66-11	Laboratory test results shall be produced using the specific lamp model that will operate in the luminaire and either the ballast model that will operate in the luminaire or a commercially-available ballast model that meets the applicable ANSI ballast requirements, if applicable, for the light source being tested.  <b>Sample Size:</b> ≥ 3 samples of each lamp model shall be tested.  <b>Passing Test:</b> ≥ 100% of the samples shall achieve the required lumen maintenance value.
<b>Solid State Option 1: LED Package, Module or Array</b>  (select either option 1, 2 or 3, below)	The LED package(s) / module(s) / array(s), including those incorporated into LED light engines, shall meet the following $L_{70}(6k)$ rated lumen maintenance life values, <i>in situ</i> : <ul style="list-style-type: none"> <li><math>L_{70}(6k) \geq 25,000</math> hours for indoor</li> <li><math>L_{70}(6k) \geq 35,000</math> hours for outdoor</li> <li><math>L_{70}(6k) \geq 50,000</math> hours for inseparable luminaires</li> </ul>	Method of Measurement: Option 1: Lumen Maintenance: IES LM-80-08  Lumen Maintenance Projection Method: IES TM-21-11  Option 2: Lumen Maintenance: IES LM-84-14  Lumen Maintenance Projection Method: IES TM-28-14  CCT Calculation: CIE 15.2004  ANSI/UL 153:2002 (Sections 124-128A) ANSI/UL 1574:2004 (Section 54) ANSI/UL 1598:2008 (Sections 19.7, 19.10-16)  Reference Documents: Chromaticity Specifications: ANSI/NEMA/ANSLG C78.377-2008  Reference Document: Lumen Maintenance: <a href="#">ENERGY STAR TM-21 Calculator</a>	<b>Luminaire Sample Size:</b> 1 complete luminaire.  <b>LM-80 Sample Size:</b> minimum sample size of 20 units for LED packages, or 10 units for LED arrays or LED modules, for each $T_s$ and drive current combination (refer to IES TM-21-11, section 4.2). Each sample set may be composed entirely of one nominal CCT, or may be split between no more than two adjacent nominal CCT values as outlined in ANSI C78.377 (e.g. 2700 and 3000K, or 3000K and 3500K).  <b>Passing Test:</b> all of the conditions below shall be met. If any of the conditions are not met, the component performance option may not be used and the applicant shall use Option 2, below, for compliance. <ol style="list-style-type: none"> <li>In the sample luminaire, the <i>in situ</i> <math>TMP_{LED}</math> temperature is less than or equal to the temperature specified in the LM-80 test report for the corresponding or higher drive current, within the manufacturer's specified operating current range.</li> <li>The drive current measured in the luminaire is less than or equal to the drive current specified in the LM-80 test report at the corresponding temperature or higher.</li> <li>The TM-21 lumen maintenance life projection report projects an <math>L_{70}</math> meeting or exceeding requirements.</li> </ol> For color tunable luminaires, the TM-21-11 projection for all LED colors used shall meet the requirement.  Compliance with the above shall be documented with a TM-21 lumen maintenance life projection report as detailed in TM-21, section 7. The report shall be generated using data from the LM-80 test report for the employed LED package/module/array model ("device"), the forward drive current applied to each device, and the <i>in situ</i> $TMP_{LED}$ temperature of the hottest device in the luminaire. In addition to LM-80 reporting requirements, the following information shall be reported: <ul style="list-style-type: none"> <li>sampling method and sample size (per LM-80 section 4.3)</li> <li>test results for each <math>T_s</math> and drive current combination</li> <li>description of device including model number and whether device is an LED package, module or array (see Definitions)</li> <li>ANSI target, and calculated CCT value(s) for each device in sample set</li> <li><math>\Delta u'v'</math> chromaticity shift value on the CIE 1976 diagram for each device in sample set</li> <li>a detailed rationale, with supporting data, for application of results to other devices (e.g. LED packages with other CCTs)</li> </ul> Access to the $TMP_{LED}$ for the hottest LED may be

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
			<p>accomplished via a minimally sized hole in the luminaire housing, tightly resealed with a suitable sealant if created for purposes of testing.</p> <p>All thermocouple attachments and intrusions to luminaire housing shall be photographed.</p> <p>Important additional guidance regarding LM-80 test reports, their application, and provisions for successor subcomponents are detailed in <a href="#">ENERGY STAR® Program Guidance Regarding LED Package, LED Array and LED Module Lumen Maintenance Performance Data Supporting Qualification of Lighting Products</a>.</p>
<p><b>Solid State Option 2: Luminaire or LED Light Engine</b></p> <p>(select either option 1, 2 or 3, below)</p>	<p>The LED luminaires, including those incorporated into LED light engines, shall meet the following L<sub>70</sub> rated lumen maintenance life values, <i>in situ</i>.</p> <ul style="list-style-type: none"> <li>• L<sub>70</sub>(6k) ≥ 25,000 hours for indoor</li> <li>• L<sub>70</sub>(6k) ≥ 35,000 hours for outdoor</li> <li>• L<sub>70</sub>(6k) ≥ 50,000 hours for inseparable luminaires</li> </ul>	<p>Methods of Measurement :</p> <p>Directional luminaires: Lumen Maintenance: IES LM-84-14</p> <p>Lumen Maintenance Projection Method: IES TM-28-14 – Projection Method 1, Direct Extrapolation</p>	<p>For downlights, one trim ring and one reflector may be used with the 3 luminaire samples.</p> <p>For color tunable luminaires, test at the highest power consumption setting that is the least efficacious.</p> <p>Directional: luminaire shall be operated continuously in accordance with ANSI/UL 1598-2008, ANSI/UL 1574-2004 or ANSI/UL 153-2002 during the interim 3,000 hours; any deviations from this shall be reported.</p> <p>Non-directional: LED light engines (“source”) shall be operated continuously <i>in situ</i> (installed in the luminaire), with the luminaire operating in accordance with ANSI/UL 153-2002, ANSI/UL 1574-2004 or ANSI/UL 1598-2008 during the interim 6,000 hours. Luminaires incorporating more than one source shall have all sources installed and operational during the interim 6,000 hours. During initial and final measurements, T<sub>b</sub> temperature shall be controlled to match T<sub>b</sub> temperature measured when source is operated <i>in situ</i>.</p> <p>Test reports shall detail efficacy, luminous flux, chromaticity coordinates, CCT and CRI values at all tested temperatures.</p> <p><b>Sample Size:</b> Directional: 3 or more complete luminaires.</p> <p>Non-directional: 3 or more sources and the necessary number of luminaires required to operate the sources continuously <i>in situ</i>.</p> <p><b>Passing Test:</b> all luminaires or sources shall pass.</p>

**Note box 12:** EPA has added the TM-21 Calculator tool as a reference document for Lumen Maintenance Option 1 and provided a link to the tool.

## 10.2 Light Source Life: All Luminaires

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<p><b>Fluorescent</b></p> <ul style="list-style-type: none"> <li>• compact</li> <li>• circline</li> </ul>	<p>≥ 10,000 hours for luminaires shipping with other fluorescent lamps</p> <p>Conditional certification may be granted if both of the following are met:</p> <ol style="list-style-type: none"> <li>1. Testing has been completed for at least 40% of rated life.</li> <li>2. A date for testing completion has been established by the test laboratory.</li> </ol> <p>Conditional certification shall be immediately withdrawn if final testing results do not meet the above requirement.</p>	<p>Methods of Measurement: IES LM-40-10 (circline)</p> <p>IES LM-65-10 (compact non self-ballasted)</p>	<p>Laboratory test results shall be produced using the specific lamp model that will operate in the luminaire and either the ballast model that will operate in the luminaire or a commercially-available ballast model that meets the applicable ANSI ballast requirements, if applicable, for the light source being tested.</p> <p><b>Sample Size:</b> ≥ 3 samples of each lamp model shall be tested.</p> <p><b>Passing Test:</b> ≥ 50% of the sample set shall be functioning at the lifetime requirement.</p>

<b>Solid State</b>	<p>The LED package(s) / LED module(s) / LED array(s), including those incorporated into LED light engines, shall meet the following L<sub>70</sub> lumen maintenance life values (refer to Lumen Maintenance Requirements in the preceding section):</p> <ul style="list-style-type: none"> <li>• ≥ 25,000 hours for indoor luminaires</li> <li>• ≥ 35,000 hours for outdoor luminaires</li> <li>• ≥ 50,000 hours for inseparable luminaires</li> </ul> <p>Lumen maintenance life projection claims in excess of the above requirements shall be substantiated with a TM-21 or TM-28 lumen maintenance life projection report.</p>
--------------------	--

### 10.3 Color Maintenance: Solid State Indoor Luminaires Only (Exemption: Outdoor Luminaires)

ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<p>The change of chromaticity at any measurement point over the tested hours of operation shall be ≤ 0.007 on the CIE 1976 (u',v') diagram, as demonstrated by either:</p> <ul style="list-style-type: none"> <li>• the IES LM-80 test report for the employed LED package/array/module model, or</li> <li>• as demonstrated by a comparison of luminaire chromaticity data in LM-84 reports between zero hours and the minimum test period, or</li> <li>• as demonstrated by a comparison of LED light engine chromaticity data in LM-82 reports between zero and 6,000 hours</li> </ul>	<p>Methods of Measurement: IES LM-80-08</p> <p>IES LM-82-12</p> <p>IES LM-84-14</p> <p>Reference Document: Interim operation: ANSI/UL 153-2002 ANSI/UL 1574-2004 ANSI/UL 1598-2008</p>	<p>Laboratory test results shall be produced using the specific models of lamp and ballast or LED package, LED module or LED array and LED driver that will be used in production.</p> <p>For the LM-84 option, luminaire shall be operated continuously in accordance with ANSI/UL 1598-2008, ANSI/UL 1574-2004 or ANSI/UL 153-2002 during the testing period; any deviations from this shall be reported.</p> <p>For the LM-82 option, LED light engines (“source”) shall be operated continuously <i>in situ</i> (installed in the luminaire) in accordance with ANSI/UL 153-2002, ANSI/UL 1574-2004 or ANSI/UL 1598-2008 during the interim 6,000 hours. During initial and final LM-82 measurements, T<sub>b</sub> value shall be controlled to match T<sub>b</sub> value measured when source is operated <i>in situ</i>. Luminaires incorporating more than one source shall have all sources installed and operational during <i>in situ</i> temperature testing and during the interim 6,000 hours.</p> <p>LM-82 test reports shall detail luminous efficacy, luminous flux, chromaticity coordinates, CCT and CRI values for all tested temperatures.</p> <p><b>Sample Size (LM-80 option):</b> same as Lumen Maintenance, Option 1.</p> <p><b>Sample Size (LM-84 option):</b> same as Lumen Maintenance, Option 2</p> <p><b>Passing Test (LM-80 option):</b> for all LM-80 samples, at any measurement point, the distance of the chromaticity coordinates from the initial (zero-hour) chromaticity coordinates shall not exceed 0.007 at the temperature(s) adjacent to the measured <i>in situ</i> TMP<sub>LED</sub> temperature, and at the corresponding drive current.</p> <p>Example 1: an LM-80 test report provides data at T<sub>S</sub>= 55°C, 85°C and 105°C, and the measured <i>in situ</i> TMP<sub>LED</sub> temperature value is 89°C. Neither the 85°C nor the 105°C LM-80 data may show chromaticity shift exceeding 0.007 at any measurement point from zero through 6,000 hours, for the corresponding drive current. The LM-80 chromaticity data at 55°C is disregarded.</p> <p>Example 2: an LM-80 test report provides data at T<sub>S</sub>= 58°C, 87°C and 106°C, and the measured <i>in situ</i> TMP<sub>LED</sub> temperature value is 53°C. The LM-80 data at 58°C may not show chromaticity shift exceeding 0.007 at any measurement point from zero through 6,000 hours, for the corresponding drive current. The LM-80 chromaticity data at 87°C and 106°C is disregarded.</p> <p><b>Passing Test (LM-84 option):</b> the distance of the chromaticity coordinates from the initial chromaticity coordinates shall not exceed 0.007 at any measurement point. The output at zero degrees on both vertical planes shall be compared.</p>

**Note box 13:** EPA has received comment that color maintenance should be evaluated at all test points as there is risk of a significant decline in color maintenance beyond 6,000 hours, and is proposing to extend the evaluation period to all testing points. This information is already captured through lumen maintenance testing so it should not place an additional testing burden on manufacturers.

# 11 ELECTRICAL PERFORMANCE REQUIREMENTS

## 11.1 Source Start Time: All Luminaires (Exemption: Outdoor Luminaires)

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>compact</li> <li>circline</li> </ul>	Light source shall remain continuously illuminated within 500 milliseconds of application of electrical power.	Method of Measurement: ENERGY STAR Start Time Test Method  Reference Documents: ANSI C82.11 Consolidated-2002 Section-5.2	Laboratory test results shall be produced using the specific models of lamp and ballast or LED package, LED module or LED array and LED driver that will be used in production.  <b>Sample Size:</b> 1 sample of each lamp-ballast model combination, or LED package/LED module/LED array and LED driver model combination shall be tested.  <b>Passing Test:</b> Sample shall pass.
<b>Solid State</b>			

## 11.2 Source Run-Up Time: All Fluorescent Luminaires (Exemption: Solid State and Outdoor Luminaires)

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>compact</li> <li>circline</li> </ul>	Reported value of time for lamps to reach 80% of stabilized lumen output after application of electrical power shall be: <ul style="list-style-type: none"> <li>≤ 60 seconds for bare lamps</li> <li>≤ 120 seconds for covered lamps</li> </ul>	Method of Measurement: ENERGY STAR Run Up Time Test Method	Laboratory test results shall be produced using the specific models of lamp and ballast that will be used in production.  Measurements shall be taken at the end of 100 hours of seasoning.  The reported value shall be the average measured values of units tested, rounded to the nearest second.  <b>Sample Size:</b> 1 sample of each lamp-ballast model combination.  <b>Passing Test:</b> Sample shall pass.

**Notebox 14:** EPA has included language to clarify the reported value and the test is completed after seasoning, consistent with the Lamps specification and fluorescent test methods.

## 11.3 Power Factor: All Luminaires

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>compact</li> <li>circline</li> </ul>	Total luminaire input power less than or equal to 5 watts: PF ≥ 0.5  Total luminaire input power greater than 5 watts: Residential: PF ≥ 0.5	Method of Measurement: ANSI C82.2-2002	Laboratory test results shall be produced using the specific models of lamp and ballast or LED package, LED module or LED array and LED driver that will be used in production.  <b>Sample Size:</b> ≥ 1 samples of each model combination shall be tested.  <b>Passing Test:</b> all samples shall pass.
<b>Solid State</b>	Total luminaire input power less than or equal to 5 watts: PF ≥ 0.5  Total luminaire input power greater than 5 watts: Residential: PF ≥ 0.7	Method of Measurement: ANSI C82.77-2002 sections 6 and 7	



## 11.4 Transient Protection: All Luminaires

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>• compact</li> <li>• circline</li> </ul>	Ballast or driver shall comply with ANSI/IEEE C62.41.1-2002 and ANSI/IEEE C62.41.2-2002, Category A operation.  The line transient shall consist of seven strikes of a 100 kHz ring wave, 2.5 kV level, for both common mode and differential mode.	Method of Measurement: None referenced  Reference Documents: ANSI/IEEE C62.41.1-2002 ANSI/IEEE C62.41.2-2002 Category A Location.	Laboratory test results shall be produced using the specific models of ballast, LED package, LED module or LED array and LED driver combination that will be used in production.  <b>Sample Size:</b> ≥ 1 samples of each ballast model, LED package, LED module or LED array and LED driver model combination, or LED light engine shall be tested.  <b>Passing Test:</b> all samples shall pass.  Unit power may be cycled as necessary to determine if UUT is still operational.
<b>Solid State</b>			

## 11.5 Standby Power Consumption: All Luminaires

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<b>All Source Types</b>	Luminaires incorporating an integral method of switching shall not draw power in the off state.  <u>Exception:</u> Luminaires with integral motion sensors, photosensors, or connected functionality shall consume no more than 0.5 watt in the off state.  <u>Exception:</u> Power supplies connected to multiple luminaires may draw up to 1.5 watts in the off state.  <u>Exception:</u> External power supplies (EPS) employed to power luminaires shall meet the level V or higher performance requirements under the International Efficiency Marking Protocol and include the level V or higher marking on the EPS.  Additional information on the Marking Protocol is available at <a href="http://www.regulations.gov/#/documentDetail;D=EERE-2008-BT-STD-0005-0218">http://www.regulations.gov/#/documentDetail;D=EERE-2008-BT-STD-0005-0218</a>	Method of Measurement: U.S. Department of Energy Test Procedures for Integrated Light-Emitting Diode Lamps	Laboratory test results shall detail off-state power consumption to the tenth of a watt.  To be recognized as connected, a “connected luminaire, or retrofit” shall include the base luminaire or retrofit plus all elements (hardware, software) required to enable communication in response to consumer-authorized energy related commands (not including third-party remote management which may be made available solely at the discretion of the manufacturer). These elements may be resident inside or outside of the base luminaire. This capability shall be supported through one or more means, as identified in <a href="#">Section 15.2</a> .

## 11.6 Operating Frequency: All Luminaires

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>• compact</li> <li>• circline</li> </ul>	20 to 33 kHz or ≥ 40 kHz	Method of Measurement: ANSI C82.2-2002	Laboratory test results shall be produced using the specific ballast model that will operate in the luminaire.  <b>Sample Size:</b> 1 samples of each ballast model shall be tested.  <b>Passing Test:</b> Sample shall pass.

<b>Solid State</b>	<p>Frequency <math>\geq</math> 120 Hz</p> <p>Note: This performance characteristic addresses problems with visible flicker due to low frequency operation and applies to steady-state as well as dimmed operation. Dimming operation shall meet the requirement at all light output levels.</p>	<p>Method of Measurement: None referenced</p> <p>Reference Document: IEEE P1789</p>	<p>Laboratory test results shall be produced using the specific luminaire, or LED light engine used in the luminaire. Light output waveform shall be measured with a photodetector with a rise time of 10 microseconds or less, transimpedance amplifier and oscilloscope. Employed equipment models and method of measurement shall be documented. Temporal response, amplification and filtering characteristics of the system shall be suitably designed to capture the photometric waveform. Digitized photometric waveform data and an image of the relative photometric amplitude waveform shall be recorded. Measured data shall be recorded to a digital file with an interval between each measurement no greater than 0.00005 sec (50 microseconds) corresponding to an equipment measurement rate of no less than 20kHz, and capture at least 1 second of data.</p> <p><b>Sample Size:</b> 1 luminaire, LED light engine, or retrofit kit shall be tested.</p>
--------------------	---	---	---

**Notebox 15:** EPA has proposed more clearly specified measurement conditions for determining operating frequency. The clarified testing guidance will assist EPA in monitoring and evaluating product performance.

## 12 LUMINAIRE SERVICEABILITY REQUIREMENTS

### 12.1 Light Source Replaceability: Directional and Non-Directional Luminaires

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>• linear</li> <li>• compact</li> <li>• circline</li> </ul>	<p>The luminaire's lampholder(s) shall be designed to accept lamps with ANSI/IEC standardized lamp base configurations for each lamp input power for which the luminaire and packaging is labeled.</p>	<p>Reference Document: Lampholder configuration: ANSI/IEC C81.62-2009</p>
<b>Solid State: Non-Directional</b> <ul style="list-style-type: none"> <li>• LED light engine</li> </ul>	<p>LED light engines shall make use of electrical interconnects that allow for consumer replacement of the engine without the cutting of wires or the use of solder. For the purpose of this specification, wire nuts are not an acceptable connection method.</p> <p>Luminaires that cannot meet this requirement are to be evaluated as inseparable SSL luminaires (see directional luminaire requirements below and throughout this specification).</p>	<p>Reference Document: Recommendations outlined in NEMA LSD 45-2009 shall be followed.</p>
<b>Inseparable SSL Luminaires</b>	<p>Exempt.</p>	

### 12.2 Ballast/Driver Replaceability: All Luminaires (Exemption: Inseparable SSL Luminaires)

Source Type	ENERGY STAR Requirements
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>• compact</li> <li>• circline</li> </ul>	<p>Ballasts or drivers shall be accessible and removable by an electrician without the cutting of wires and without damage to the luminaire housing, trim, decorative elements or the carpentry (e.g., ceiling drywall) to which the luminaire is attached.</p> <p>Exceptions:</p> <ol style="list-style-type: none"> <li>1. luminaires employing self-ballasted lamps</li> <li>2. line voltage directional track lights</li> <li>3. solid state cove mount luminaires</li> <li>4. under cabinet luminaires</li> </ol>
<b>Solid State: Directional</b>	<p>Instructions shall be provided with the luminaire, detailing guidance on ballast or driver replacement by a "qualified electrician".</p>
<b>Solid State: Non-Directional</b>	<p>See Source Replaceability Requirements.</p>

## 13 THERMAL PERFORMANCE REQUIREMENTS

### 13.1 Maximum Measured Ballast or Driver Case Temperature: All Luminaires

This performance characteristic is separate and distinct from safety requirements and can be measured by an EPA recognized laboratory.

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>compact</li> <li>circline</li> </ul>	Ballast case temperature measured at thermal equilibrium, at the hot spot location provided by the ballast manufacturer, shall not exceed the maximum recommended ballast case temperature, as provided by ballast manufacturer, during <i>in situ</i> (installed in the luminaire) operation.  <u>Exceptions:</u> <ul style="list-style-type: none"> <li>Indoor portable luminaires using lamps, where the lamp is centered between a shade that is open on the top and bottom</li> </ul>	Reference Document: ANSI/UL 153:2002 (Sections 124-128A) ANSI/UL 1574:2004 (Section 54) ANSI/UL 1598:2008 (Sections 19.7, 19.10-16).	Laboratory test results shall be produced using the specific lamp and ballast models that will be used in production.  Laboratory test results shall be produced using the luminaire with the highest operating temperature among all luminaires in a product family being certified (as applicable).  <b>Sample Size:</b> 1 luminaire shall be tested.  <b>Passing Test:</b> Measured temperature at the hot spot location provided by the ballast manufacturer shall be less than or equal to the manufacturer recommended maximum.
<b>Solid State: Directional Non-Directional</b> <ul style="list-style-type: none"> <li>replaceable LED light engine</li> </ul>	At the temperature measurement point for the hottest location on the driver case (TMP <sub>C</sub> as detailed by the driver manufacturer), the measured driver case temperature at thermal equilibrium shall not exceed the driver manufacturer's maximum recommended temperature during <i>in situ</i> (installed in the luminaire) operation.	Reference Document: ANSI/UL 153:2002 (Sections 124-128A) ANSI/UL 1574:2004 (Section 54) ANSI/UL 1598:2008 (Sections 19.7, 19.10-16)	Laboratory test results shall be produced using the specific models of LED package, LED module or LED array and LED driver that will be used in production.  Laboratory test results shall be produced using the luminaire with the highest operating temperature among all luminaires in a product family being certified (as applicable).  <b>Sample Size:</b> 1 luminaire shall be tested, or 1 source sample shall be tested <i>in situ</i> (installed in the luminaire).  <b>Passing Test:</b> Measured temperature at the TMP <sub>C</sub> shall be less than or equal to the manufacturer recommended maximum.
<b>Solid State:</b> <ul style="list-style-type: none"> <li>retrofit kits (surface mounted and recessed)</li> </ul>	At the temperature measurement point for the hottest location on the driver case (TMP <sub>d</sub> as detailed by the driver manufacturer), the measured driver case temperature at thermal equilibrium shall not exceed the driver manufacturer's maximum recommended temperature during <i>in situ</i> (installed in the luminaire) operation.	Reference Document: ANSI/UL 1598C	Laboratory test results shall be produced using the specific models of LED package, LED module or LED array and LED driver (i.e. LED light engine) ("source") that will be used in production.  Luminaire retrofit kit shall be tested in the worst case thermal condition for which it is rated.  Recessed downlight retrofit kits shall be tested in the worst-case thermal environment that the product is rated for: <ul style="list-style-type: none"> <li>the smallest diameter fixture that the SSL retrofit is rated for, and</li> <li>if claiming compatibility: Insulation Contact (IC) and/or Airtight (AT), as applicable,</li> </ul> E.g. a retrofit rated for use in 4" to 6" ICAT inch cans shall be tested in a 4" standard depth ICAT recessed can.  <b>Sample Size:</b> 1 sample shall be tested <i>in situ</i> per the included manufacturer provided installation instructions in a representative luminaire per UL1598C.  <b>Passing Test:</b> Measured temperature at the TMP <sub>d</sub> shall be less than or equal to the manufacturer recommended maximum.

**Notebox 16:** EPA has included additional guidance on the testing of SSL retrofit kits to better represent the situations in which they are likely to be used.

## 13.2 Recessed Downlight Thermal Performance

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
All Source Types	<p><b>Insulation contact (Type IC):</b> Recessed downlights marketed as Type IC shall be approved for zero clearance insulation cover by an OSHA NRTL laboratory, and shall also meet the requirements for airtight luminaires, listed below.</p> <p><b>Airtight construction:</b> Recessed downlight housings or certified/listed accessories marketed as airtight shall exhibit leakage less than 2.0 cubic feet per minute (CFM) at 75 Pascals (or 1.57 lbs/ft<sup>2</sup>) when tested in accordance with ASTM E283-04, and shall be sealed with a gasket or caulk.</p>	<p>Reference Documents: ANSI/UL 1598-2008</p> <p>ASTM E283-04</p>	See packaging section for packaging requirements related to IC and airtight products.

## 14 SAFETY REQUIREMENTS

### 14.1 Indoor Luminaire Safety: Portable Luminaires

Luminaire Type	Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
Portable Luminaires	<b>Fluorescent</b> <ul style="list-style-type: none"> <li>• compact</li> <li>• circline</li> </ul>	Demonstrate compliance with ANSI/UL 153-2002.	Reference Documents: ANSI/UL 153-2002	<p>Documentation shall be produced by an OSHA <a href="#">NRTL laboratory</a>.</p> <p>Connected products must continue to comply with the applicable product safety standards – the addition of the functionality described below shall not override existing safety protections and functions.</p>
	<b>Solid State</b>	Demonstrate compliance with ANSI/UL 153-2002 and ANSI/UL 8750-2009.	Reference Documents: ANSI/UL 153-2002 and ANSI/UL 8750-2009	
Indoor & Outdoor Hardwired Luminaires	<b>Fluorescent</b> <ul style="list-style-type: none"> <li>• compact</li> <li>• circline</li> </ul>	Demonstrate compliance with ANSI/UL 1574-2004, ANSI/UL 1598-2008, ANSI/UL 2108-2004, as applicable.	Reference Documents: ANSI/UL 1574-2004  ANSI/UL 1598-2008  ANSI/UL 2108-2004	
	<b>Solid State</b>	Demonstrate compliance with ANSI/UL 1574-2004, ANSI/UL 1598-2008, ANSI/UL 1598C, ANSI/UL 2108-2004, ANSI/UL 8750-2009, as applicable.	Reference Documents: ANSI/UL 1574-2004  ANSI/UL 1598-2008  ANSI/UL 1598C  ANSI/UL 2108-2004  ANSI/UL 8750-2009	
SSL Retrofit Kits		Demonstrate compliance with UL8750 – LED Component UL1598C – LED Retrofit	Reference Documents: UL8750 – LED Component UL1598C – LED Retrofit	

## 14.2 Electronic Ballast or Driver Safety: Ballasts, Drivers and “Non-Edison Base Fluorescent Adapters”

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>compact</li> <li>circline</li> </ul>	Demonstrate compliance with ANSI/UL 935-2009, ANSI/UL 1310-2010, ANSI/UL 1993-2009, as applicable.  Demonstrate compliance with CSA 22.2 Number 74, or IEC 61374-2-3-am2 ed1.0 b.2006, as appropriate.	Reference Documents: ANSI/UL 935-2009  ANSI/UL 1310-2010  ANSI/UL 1993-2009  End of life (linear T5): CSA 22.2 Number 74, or IEC 61374-2-3-am2 ed1.0 b.2006	Documentation shall be produced by an OSHA <a href="#">NRTL laboratory</a> .  Connected products must continue to comply with the applicable product safety standards – the addition of the functionality described below shall not override existing safety protections and functions.
<b>Solid State: Non-Directional</b> <ul style="list-style-type: none"> <li>replaceable LED light engine</li> </ul>	Demonstrate compliance with ANSI/UL 1310-2010, ANSI/UL 2108-2004, ANSI/UL 8750-2009, as applicable.	Reference Documents: ANSI/UL 1310-2010  ANSI/UL 2108-2004  ANSI/UL 8750-2009	
<b>Solid State: Directional</b>	Demonstrate compliance with ANSI/UL 1310-2010, ANSI/UL 2108-2004, ANSI/UL 8750-2009, as applicable.	Reference Documents: ANSI/UL 1310-2010  ANSI/UL 2108-2004  ANSI/UL 8750-2009	

## 15 CONTROL REQUIREMENTS: Luminaires Employing any Control Mechanism

### 15.1 Dimming: All Luminaires Marketed as Dimmable (Exemption: Non-Dimmable Luminaires)

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>compact</li> <li>circline</li> </ul>	The luminaire and its components shall provide continuous dimming from 100% to 20% of total light output.  Luminaire shall not emit noise above 24dBA at 1 meter or less.	Method of Measurement:  None Referenced	Laboratory test results shall be produced using the specific lamp and ballast models that will be used in production.  <b>Sample Size:</b> 1 sample of each lamp-ballast model combination shall be tested.  <b>Passing Test:</b> the sample shall pass.
<b>Solid State</b>	The luminaire and its components shall provide continuous dimming from 100% to 20% of total light output.  Luminaire shall not emit noise above 24dBA at 1 meter or less.		Laboratory test results shall be produced using the models of LED package, LED module or LED array and LED driver combination that will be used in production.  <b>Sample Size:</b> 1 sample of each model combination or LED light engine shall be tested.  <b>Passing Test:</b> the sample shall pass.

### 15.2 Products with Connected Functionality – Optional

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents	Supplemental Testing Guidance
<b>All source types</b>	Product must continue to comply with the applicable product safety standards – the addition of the functionality shall not override existing safety protections and functions.  May not consume more than 0.5 watts when in standby mode.	Method of Measurement: None	Test Requirements: Connected products without color tuning capabilities shall be tested at full power for all applicable requirements. Connected products with color tuning capabilities shall be tested under the conditions specified under <a href="#">Section 5.1</a> .  Compliance with connected functionality requirements shall be demonstrated through examination of product and/or product documentation.

### 15.2.1 Connected Product Criteria:

To be recognized as connected, a “connected luminaire” (or retrofit) shall include the base luminaire or retrofit plus elements or instructions required to enable communication in response to consumer-authorized energy related commands (not including third-party remote management which may be made available solely at the discretion of the manufacturer e.g. connection hub, instructions for downloading a mobile application, Bluetooth syncing guidance). These elements may be resident inside or outside of the base luminaire.

Connected luminaires typically communicate with controls via a radio frequency system, although some versions utilize other methods (such as DMX or DALI). The specific design and implementation of the connected luminaire is at the manufacturer’s discretion provided it is interoperable with other devices via open communications protocol and enables economical, consumer-authorized third party access to the functionalities provided for in sections 15.2.3 and 15.2.4.

### 15.2.2 Open-standards & Open-access

1. Communication that enables connected functionality, (sections 15.2.3-15.2.5). must use, for all communication layers, protocols that are open and interoperable.
2. The product shall enable connectivity by one of following means:
  - a. open-standards communications from the luminaire, or
  - b. open-standards communications from an external controller, included with the product or available separately.
3. To enable interconnection with the product; an interface specification, Application Programming Interface (API) or similar documentation shall be made available to interested parties that enables section 15.2.3, 15.2.4 and 15.2.5 connected functionality, and includes accuracy, units and measurement interval for Energy Consumption Reporting

### 15.2.3 Energy Consumption Reporting

The product shall be capable of interconnecting with consumer authorized entities to communicate data representative of its interval energy consumption. It is recommended that data be reported in watt-hours for intervals of 15 minutes, however, representative data may also be reported in alternate units and intervals as specified in the product manufacturer’s interface specification or API.

### 15.2.4 Operational Status Reporting

At a minimum, the product shall be capable of providing the following information to energy management systems and other consumer authorized devices, services or applications via a communication link: operational status; e.g. on/off, color, and luminous intensity.

### 15.2.5 Remote Management

The product shall be capable of receiving and responding to energy management system or other consumer authorized remote requests, via devices, services or applications, similar to hard-wired consumer controllable functions.

### 15.2.6 Information to Consumers

If additional devices, services, and/or infrastructure are required to activate the product’s connected capabilities, prominent labels, or other forms of consumer notifications shall be displayed at the point of purchase and in the product literature. (e.g. “This product has Z-wave control capability and requires interconnection with a Z-wave controller to enable local lighting control.”)

**Notebox 17:** EPA has removed references to smart grid standards, as the lighting communication standards are still in development.

- In regards to operational status reporting, what do stakeholders envision for operational status reporting to assist in enabling consumer desired features and how might the ENERGY STAR specification properly reflect this?
- In regards to remote management, what are stakeholders’ thoughts about third-party remote management as it relates to the various aspects of the connected criteria?
- Which if any current solutions are already enabling third party remote management related to energy consumption, and operation status?

# 16 PRODUCT LABELING & PACKAGING REQUIREMENTS:

## 16.1 Labeling & Packaging: All Luminaires

Source Type	ENERGY STAR Requirements
All	<ul style="list-style-type: none"> <li>• Packaging and marketing claims shall represent the product consistent with its certification.</li> <li>• Packaging shall clearly describe the nominal color designation of the lamp in units of Kelvin (e.g. 2700K, 3000K) and the corresponding nomenclature as outlined below.               <ul style="list-style-type: none"> <li>• 2700 - Warm White</li> <li>• 3000 - Soft White</li> <li>• 3500 - Neutral White</li> <li>• 4000/4100K - Cool White</li> <li>• 5000K - Daylight</li> </ul> </li> </ul> <p><b>For luminaires shipped with lamps containing mercury:</b></p> <ul style="list-style-type: none"> <li>• Both the lamp and the luminaire packaging shall have a label indicating mercury content which must be managed and disposed of properly, and shall reference: <a href="http://www.epa.gov/cfl">www.epa.gov/cfl</a></li> </ul> <p><b>For outdoor luminaires:</b> Packaging shall indicate the minimum (lowest) starting temperature for the lamp and ballast platform of the luminaire.</p> <p><b>For luminaires marketed as dimmable:</b></p> <ul style="list-style-type: none"> <li>• Luminaire packaging shall indicate dimming range (as applicable), a list or URL with compatible dimmers or other controls, and known incompatibilities with dimmers, occupancy or vacancy sensors, timing devices or other external lighting controls, or a message noting limitations and URL to find out more specific information. Partner shall periodically review this packaging language to determine if updates are needed. Partner is encouraged to also maintain an up to date web address where additional compatibility information is detailed.</li> <li>• Step dimming capability, if employed, shall be clearly indicated.</li> </ul> <p><b>Optional certification marking:</b> While not a requirement for certification, EPA recommends partners provide a conspicuous ENERGY STAR certification mark (e.g. sticker, hangtag) on certified luminaires themselves:</p> <ul style="list-style-type: none"> <li>• to facilitate building inspectors confirming certification status of installed luminaires</li> <li>• to provide out-of-the-box marketing of a luminaire’s ENERGY STAR certification</li> <li>• to demonstrate to consumers a partner’s commitment to advancing energy efficiency in lighting</li> </ul>
All directional luminaires	Designate in a simple picture on cut sheet or packaging the light distribution for your luminaire.
Recessed downlight fixtures	<p><b>For recessed downlight luminaires that are insulation-contact (Type IC) rated:</b> Packaging shall clearly state this rating. Sample language: “IC-rated for direct contact with insulation”.</p> <p><b>For recessed downlight luminaires that are airtight (AT) certified:</b> Packaging shall indicate that the luminaire permits air leakage less than 2.0 CFM at 75 Pascals when tested in accordance with ASTM E283-04. Sample language: “Certified airtight per ASTM E283-04.”</p> <p>If marketed as airtight, the luminaire itself shall include a label certifying “airtight”, or similar designation, to show air leakage less than 2.0 CFM at 75 Pascals when tested in accordance with ASTM E283-04. The label shall be clearly visible to a building inspector.</p> <p>Installation instructions shall be included listing all components of the assembly that will be necessary to ensure an airtight installation and how the components should be properly installed. For example, depending on the method used to achieve airtight installation, the instructions should alternatively show how a gasket is to be attached, what type of caulk to use and how it should be applied, or which certified airtight trim kits are designed to be installed with the luminaire housing.</p> <p>Partners selling recessed downlights are strongly encouraged to employ a packaging method ensuring that shipped lamps remain with the luminaire during drywall installation and painting (e.g. taping the lamp carton to the inside of the canister, employing shrink wrapping of the canister aperture to enclose the lamp carton within, employing a compression-fitted cardboard insert to enclose the lamp carton within the canister).</p>

**Notebox 18:** EPA has included clarification to the requirements that marketing and packaging claims be consistent with the certification, and continues to seek proposals on designations of nominal CCTs. As lower CCTs have been requested by stakeholders and may be included in an update to the ANSI CCTs, EPA requests feedback on appropriate designations for lower CCTs as well.

## 16.2 Light Source Shipment: Directional and Non-Directional Luminaires

Source Type	ENERGY STAR Requirements	Methods of Measurement and/or Reference Documents
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>• compact</li> <li>• circline</li> </ul>	<p>All luminaires shall be shipped with a lamp for each lampholder. All lamps that ship with a luminaire must be included in the certification documentation.</p> <p>Lamps shall utilize an ANSI/IEC standardized lamp base configuration.</p> <p>In addition, lamp dimensions and electrical parameters shall either:</p> <ul style="list-style-type: none"> <li>• Meet the requirements of an ANSI/IEC standardized lamp specification sheet if an applicable standard exists; or,</li> <li>• If no ANSI/IEC lamp standard exists, provide a lamp manufacturer specification sheet that describes the following (use the ANSI lamp data sheets found in ANSI/IEC C78.901-2005 and ANSI/ANSLG C78.81-2010 as a reference for the format and type of information requested):               <ol style="list-style-type: none"> <li>1. Lamp description, including lamp model number, nominal wattage, bulb designation / lamp size (e.g. T4, T5, T8) and lamp base type as defined by ANSI/ANSLG C81.61-2009;                   <ul style="list-style-type: none"> <li>or</li> <li>IEC 60061-1(e.g. 2G13, GR10q), starting circuit application (i.e., rapid start, preheat, etc.)</li> </ul> </li> <li>2. Dimensional characteristics, including diagram</li> <li>3. Lamp operating characteristics, including: approximate wattage (W), voltage(V), current (A)</li> </ol> </li> </ul>	<p>Reference Documents: Lamp base configuration: ANSI/ANSLG C81.61-2009</p> <p>Lamps compliant with an ANSI-IEC standard (for lamp dimensions and electrical parameters):</p> <p>For compact fluorescent lamps: ANSI/IEC C78.901-2005; IEC 60901</p> <p>Lamps not compliant with an ANSI-IEC standard (for lamp dimensions and electrical parameters):</p> <p>ANSI/IEC C78.901-2005; (used as a reference for the format and type of information required on a custom lamp specification sheet)</p>
<b>Solid State: Non-Directional</b> <ul style="list-style-type: none"> <li>• LED light engine</li> </ul>	<p>Complete light source components shall be provided with the luminaire.</p>	<p>Reference Document: Recommendations outlined in NEMA LSD 45-2009 shall be followed.</p>
<b>Solid State: Directional</b>		<p>None.</p>

## 17 WARRANTY REQUIREMENTS: All Luminaires

**Note:** Partners must provide a copy of the actual luminaire warranty that is included with the product packaging. Partner is solely responsible for honoring warranty; intermediate parties (e.g. showrooms, electrical distributors, retailers) are not responsible for warranty requirements.

Source Type	ENERGY STAR Requirements
<b>Fluorescent</b> <ul style="list-style-type: none"> <li>• compact</li> <li>• self-ballasted compact</li> <li>• circline</li> </ul>	<p>For luminaires incorporating replaceable ballasts, a written warranty shall be included with luminaire packaging at the time of shipment which covers repair or replacement of defective parts of the luminaire housing, mounting hardware, optics, ballast and trim for a minimum of 3 years from the date of purchase. Self-ballasted lamps shipped with the luminaire shall carry a minimum 3 year warranty, based on usage of no less than 3 hours per day.</p> <p>For luminaires incorporating non-replaceable ballasts, the above warranty requirement is extended to 5 years.</p>
<b>Solid State</b>	<p>For luminaires incorporating replaceable drivers, a written warranty shall be included with luminaire packaging at the time of shipment which covers repair or replacement of defective parts of the luminaire housing, mounting hardware, optics, driver and trim for a minimum of 3 years from the date of purchase. Integrated LED lamps shipped with the luminaire shall carry a minimum 3 year warranty.</p> <p>For luminaires incorporating non-replaceable drivers, the above warranty requirement is extended to 5 years.</p> <p>Warranty language shall place no limitations on coverage based on duration of luminaire operation (e.g. hours per day).</p>



## 18 Lighting Toxics Reduction Requirements: All Luminaires

Source Type	ENERGY STAR Requirements	Method of Compliance
<p><b>All Source Types</b></p>	<p>Luminaires and lamps shall not exceed hazardous substance concentrations set for in the European Union's (EU) Restriction of the Use of Certain Hazardous Substances (RoHS) Directive, 2003.</p> <p>Luminaires and lamps shall not exceed:</p> <ul style="list-style-type: none"> <li>• 0.1% by weight in homogenous material (1000 ppm): Mercury, Lead, Hexavalent Chromium, PBB (polybrominated biphenyls), and PBDE (polybrominated diphenyl ethers)</li> <li>• 0.01% by weight in homogenous material (100 ppm): Cadmium</li> </ul> <p>A list of RoHS exemptions that will be accepted by the ENERGY STAR program that may be relevant to luminaires and lamps is detailed below:</p> <p><u>Exemptions:</u></p> <ol style="list-style-type: none"> <li>1. Mercury in single capped (compact) fluorescent lamps not exceeding (per burner):             <ol style="list-style-type: none"> <li>a. Lamps <math>\leq</math> 23.0 rated watts shall contain <math>\leq</math> 2.5 milligrams (mg) mercury per lamp</li> <li>Lamps <math>\geq</math> 23.0 rated watts shall contain <math>\leq</math> 3.0 milligrams (mg) mercury per lamp</li> </ol> </li> <li>2. Lead in glass of fluorescent tubes not exceeding 0.2% by weight</li> <li>3. Lead in high melting temperature type solders (i.e. lead-based alloys containing 85% by weight or more lead)</li> <li>4. Electrical and electronic components containing lead in a glass or ceramic other than dielectric ceramic in capacitors, e.g. piezoelectronic devices, or in a glass or ceramic matrix compound</li> <li>5. Cadmium and its compounds in electrical contacts</li> <li>6. Lead in solders to complete a viable electrical connection between semiconductor die and carrier within integrated circuit flip chip packages</li> <li>7. Lead with PbBiSn-Hg and PbInSn-Hg in specific compositions as main amalgam and with PbSn-Hg as auxiliary amalgam in very compact energy saving lamps.</li> <li>8. Cadmium in color-converting II-IV LEDs (<math>&lt; 10 \mu\text{g Cd per mm}^2</math> of light-emitting area) for use in solid state illumination or display systems.</li> </ol>	<p>For purposes of third-party certification, lamp toxics documentation shall not be reviewed when products are initially certified or during verification testing. Instead manufacturer shall maintain documentation on file to demonstrate that certified products meet these requirements. EPA reserves the right to request this documentation at any time. For the purposes of documenting mercury content, the following test procedure shall be used: IEC 62554 Ed 1.0 Sample Preparation for Measurement of Mercury Level in Fluorescent Lamps (2011-08-19).</p> <p>For materials other than mercury, manufacturer may rely on component suppliers to provide certification or declaration documents to show that homogenous materials used in lamps comply with the requirement. Alternatively, manufacturer may have components tested in accordance with IEC 62321 or other appropriate analytical technique to verify that homogenous materials do not exceed the concentration limits of the six regulated substances. Handheld XRF analyzers/scanners may also be used to verify compliance.</p>

**END OF SPECIFICATION**