



ENERGY STAR® Program Requirements for Imaging Equipment

Partner Commitments

Following are the terms of the ENERGY STAR Partnership Agreement as it pertains to the manufacture and labeling of ENERGY STAR qualified products. The ENERGY STAR Partner must adhere to the following partner commitments:

Qualifying Products

1. **Comply with current ENERGY STAR Eligibility Criteria**, which define performance requirements and test procedures for Imaging Equipment. A list of eligible products and their corresponding Eligibility Criteria can be found at www.energystar.gov/specifications.
2. **Prior to associating the ENERGY STAR name or mark with any product**, obtain written certification of ENERGY STAR qualification from a Certification Body recognized by EPA for Imaging Equipment. As part of this certification process, products must be tested in a laboratory recognized by EPA to perform Imaging Equipment testing. A list of EPA-recognized laboratories and certification bodies can be found at www.energystar.gov/testingandverification.
3. **Ensure that any model associated with the ENERGY STAR name or mark** meets the following standards:
 - 3.1. Product material requirements as defined in restriction of hazardous substances (RoHS) regulations, as generally accepted. This includes exemptions in force at the date of product manufacture, where the maximum concentration values tolerated by weight in homogeneous materials are: lead (0.1%), mercury (0.1%), cadmium (0.01%), hexavalent chromium (0.1%), polybrominated biphenyls (PBB) (0.1%), polybrominated diphenyl ethers (PBDE) (0.1%), bis(2-ethylhexyl) phthalate (DEHP) (0.1%), benzyl butyl phthalate (BBP) (0.1%), dibutyl phthalate (DBP) (0.1%), or diisobutyl phthalate (DIBP) (0.1%). Batteries are exempt.
 - 3.2. The generally accepted attributes of a recyclable product at the date of product manufacture: where products shall be designed for ease of disassembly and recyclability where external enclosures, sub-enclosures, chassis and electronic subassemblies are easily removable by hand with commonly available tools.

Notes:

- The explicit intention is to harmonize with EU RoHS and Section 4.3.1.1 of IEEE 1680.2-2012 Standard for Environmental Assessment of Imaging Equipment.
- For purposes of ENERGY STAR third-party certification, these requirements shall not be reviewed when products are initially qualified nor during subsequent verification testing. Rather, EPA reserves the right to request supporting documentation at any time.

Using the ENERGY STAR Name and Marks

4. Comply with current ENERGY STAR Identity Guidelines, which define how the ENERGY STAR name and marks may be used. Partner is responsible for adhering to these guidelines and ensuring that its authorized representatives, such as advertising agencies, dealers, and distributors, are also in compliance. The ENERGY STAR Identity Guidelines are available at www.energystar.gov/logouse.
5. Use the ENERGY STAR name and marks only in association with qualified products. Partner may not refer to itself as an ENERGY STAR Partner unless at least one product is qualified and offered for sale in the U.S and/or ENERGY STAR partner countries.
6. Provide clear and consistent labeling of ENERGY STAR qualified Imaging Equipment.
 - 6.1. The ENERGY STAR mark must be clearly displayed:

- 6.1.1. Either on the top/front of product or through electronic messaging that is pre-approved by EPA. Labeling on the top/front of product may be permanent or temporary. All temporary labeling must be affixed to the top/front of product with an adhesive or cling-type application;
 - 6.1.2. On the manufacturer's Internet site where information about ENERGY STAR qualified models is displayed. Specific guidance on using the ENERGY STAR mark on Internet sites is provided in the Web-Based Tools for Partners document;
 - 6.1.3. Either in product literature (e.g., user manuals, specification sheets, etc.) or in a separate box insert that provides educational language about the product's ENERGY STAR settings; and
 - 6.1.4. On product packaging/boxes for products sold at retail.
- 6.2. If additional information about the ENERGY STAR program(s) or other products provided by the Partner on its Web site, Partner must comply with the *ENERGY STAR Web Linking Policy*, which can be found at www.energystar.gov/partners.

Verifying Ongoing Product Qualification

7. Participate in third-party verification testing through a Certification Body recognized by EPA for Imaging Equipment, providing full cooperation and timely responses, EPA/DOE may also, at its discretion, conduct tests on products that are referred to as ENERGY STAR qualified. These products may be obtained on the open market, or voluntarily supplied by Partner at the government's request.

Providing Information to EPA

8. Provide unit shipment data or other market indicators to EPA annually to assist with creation of ENERGY STAR market penetration estimates, as follows:
 - 8.1. Partner must submit the total number of ENERGY STAR qualified Imaging Equipment shipped in the calendar year or an equivalent measurement as agreed to in advance by EPA and Partner. Partner shall exclude shipments to organizations that rebrand and resell the shipments (unaffiliated private labelers).
 - 8.2. Partner must provide unit shipment data segmented by meaningful product characteristics (e.g., type, capacity, presence of additional functions) as prescribed by EPA.
 - 8.3. Partner must submit unit shipment data for each calendar year to EPA or an EPA-authorized third party, preferably in electronic format, no later than March 1 of the following year.Submitted unit shipment data will be used by EPA only for program evaluation purposes and will be closely controlled. If requested under the Freedom of Information Act (FOIA), EPA will argue that the data is exempt. Any information used will be masked by EPA so as to protect the confidentiality of the Partner;
9. Report to EPA any attempts by recognized laboratories or Certification Bodies (CBs) to influence testing or certification results or to engage in discriminatory practices.
10. Notify EPA of a change in the designated responsible party or contacts within 30 days using the My ENERGY STAR Account tool (MESA) available at www.energystar.gov/mesa.

Performance for Special Distinction

In order to receive additional recognition and/or support from EPA for its efforts within the Partnership, the ENERGY STAR Partner may consider the following voluntary measures, and should keep EPA informed on the progress of these efforts:

- Provide quarterly, written updates to EPA as to the efforts undertaken by Partner to increase availability of ENERGY STAR qualified products, and to promote awareness of ENERGY STAR and its message.
- Consider energy efficiency improvements in company facilities and pursue benchmarking buildings through the ENERGY STAR Buildings program.
- Purchase ENERGY STAR qualified products. Revise the company purchasing or procurement specifications to include ENERGY STAR. Provide procurement officials' contact information to EPA for periodic updates and coordination. Circulate general ENERGY STAR qualified product information to employees for use when purchasing products for their homes.
- Feature the ENERGY STAR mark(s) on Partner website and other promotional materials. If information concerning ENERGY STAR is provided on the Partner website as specified by the ENERGY STAR Web Linking Policy (available in the Partner Resources section of the ENERGY STAR website), EPA may provide links where appropriate to the Partner website.
- Ensure the power management feature is enabled on all ENERGY STAR qualified displays and computers in use in company facilities, particularly upon installation and after service is performed.
- Provide general information about the ENERGY STAR program to employees whose jobs are relevant to the development, marketing, sales, and service of current ENERGY STAR qualified products.
- Provide a simple plan to EPA outlining specific measures Partner plans to undertake beyond the program requirements listed above. By doing so, EPA may be able to coordinate, and communicate Partner's activities, provide an EPA representative, or include news about the event in the ENERGY STAR newsletter, on the ENERGY STAR website, etc. The plan may be as simple as providing a list of planned activities or milestones of which Partner would like EPA to be aware. For example, activities may include: (1) increasing the availability of ENERGY STAR qualified products by converting the entire product line within two years to meet ENERGY STAR guidelines; (2) demonstrating the economic and environmental benefits of energy efficiency through special in-store displays twice a year; (3) providing information to users (via the website and user's manual) about energy-saving features and operating characteristics of ENERGY STAR qualified products; and (4) building awareness of the ENERGY STAR Partnership and brand identity by collaborating with EPA on one print advertorial and one live press event.
- Join EPA's SmartWay Transport Partnership to improve the environmental performance of the company's shipping operations. The SmartWay Transport Partnership works with freight carriers, shippers, and other stakeholders in the goods movement industry to reduce fuel consumption, greenhouse gases, and air pollution. For more information on SmartWay, visit www.epa.gov/smartway.
- Join EPA's Green Power Partnership. EPA's Green Power Partnership encourages organizations to buy green power as a way to reduce the environmental impacts associated with traditional fossil fuel-based electricity use. The partnership includes a diverse set of organizations including Fortune 500 companies, small and medium businesses, government institutions as well as a growing number of colleges and universities. For more information on Green Power, visit www.epa.gov/greenpower.



ENERGY STAR® Product Specification for Imaging Equipment

Eligibility Criteria Version 3.1

Following is the Version 3.1 ENERGY STAR Product Specification for Imaging Equipment. A product shall meet all of the identified criteria if it is to earn the ENERGY STAR.

1 DEFINITIONS

A) Product Types:

- 1) Printer: A product whose primary function is to generate paper output from electronic input. A printer is capable of receiving information from single-user or networked computers, or other input devices (e.g., digital cameras). This definition is intended to cover products that are marketed as printers and printers that can be field-upgraded to meet the definition of an MFD.
- 2) Scanner: A product whose primary function is to convert paper originals into electronic images that can be stored, edited, converted, or transmitted, primarily in a personal computing environment. This definition is intended to cover products that are marketed as scanners.
- 3) Copier: A product whose sole function is to produce paper duplicates from paper originals. This definition is intended to cover products that are marketed as copiers, and upgradeable digital copiers (UDCs).
- 4) Facsimile (Fax) Machine: A product whose primary functions are (1) to scan paper originals for electronic transmission to remote units, and (2) to receive electronic transmissions for conversion to paper output. A fax machine may also be capable of producing paper duplicates. Electronic transmission is primarily over a public telephone system, but may also be via a computer network or the Internet. This definition is intended to cover products that are marketed as fax machines.
- 5) Multifunction Device (MFD): A product that performs the core functions of a Printer and Scanner. An MFD may have a physically integrated form factor, or it may consist of a combination of functionally integrated components. MFD copy functionality is considered to be distinct from single-sheet convenience copying functionality sometimes offered by fax machines. This definition includes products marketed as MFDs and “multi-function products” (MFPs).
- 6) Digital Duplicator: A product sold as a fully-automated duplicator system through the method of stencil duplicating with digital reproduction functionality. This definition is intended to cover products that are marketed as digital duplicators.
- 7) Mailing Machine: A product whose primary function is to print postage onto mail pieces. This definition is intended to cover products that are marketed as mailing machines.
- 8) Professional Imaging Product: A printer or MFD marketed as intended for producing deliverables for sale, with the following features:
 - a) Supports paper with basis weight greater than or equal to 141 g/m²;
 - b) A3-capable;
 - c) If product is monochrome, monochrome product speed equal to or greater than 86 ipm;

- d) If product is color, color product speed equal to or greater than 50 ipm;
- e) Print resolution of 600 × 600 dots per inch or greater for each color;
- f) Weight of the base model greater than 180 kg; and

Five of the following additional features for color products or **four** for monochrome products, included standard with the Imaging Equipment product or as an accessory:

- g) Paper capacity equal to or greater than 8,000 sheets;
 - h) Digital front-end (DFE);
 - i) Hole punch;
 - j) Perfect binding or ring binding (or similar, such as tape or wire binding, but not staple saddle stitching);
 - k) Dynamic random access memory (DRAM) storage equal to or greater than 1,024 MB.
 - l) Third-party color certification (e.g., IDEAlliance Digital Press Certification, FOGRA Validation Printing System Certification, or Japan Color Digital Printing Certification, if product is color capable); and
 - m) Coated paper compatibility.
- 9) Remanufactured Imaging Equipment: Product that meets one of the product types defined in Section 1.A)1-8)), which has been returned to a “like new” state of the base model, including energy performance, by the manufacturer, utilizing new and/or reused components from the original equipment manufacturer.

B) Marking Technologies:

- 1) Direct Thermal (DT): A marking technology characterized by the burning of dots onto coated print media that is passed over a heated print head. DT products do not use ribbons.
- 2) Dye Sublimation (DS): A marking technology characterized by the deposition (sublimation) of dye onto print media as energy is supplied to heating elements.
- 3) Electro-photographic (EP): A marking technology characterized by the illumination of a photoconductor in a pattern representing the desired output image via a light source, development of the image with particles of toner using the latent image on the photoconductor to define the presence or absence of toner at a given location, transfer of the toner to the final print media, and fusing to cause the output to become durable. For purposes of this specification, Color EP products simultaneously offer three or more unique toner colors, while Monochrome EP products simultaneously offer one or two unique toner colors. This definition includes Laser, Light Emitting Diode (LED), and Liquid Crystal Display (LCD) illumination technologies.
- 4) Impact: A marking technology characterized by the formation of the desired output image by transferring colorant from a “ribbon” to the print media via an impact process. This definition includes Dot Formed Impact and Fully Formed Impact.
- 5) Ink Jet (IJ): A marking technology characterized by the deposition of colorant in small drops directly to the print media in a matrix manner. For purposes of this specification, Color IJ products offer two or more unique colorants at one time, while Monochrome IJ products offer one colorant at a time. This definition includes Piezo-electric (PE) IJ, IJ Sublimation, and Thermal IJ. This definition does not include High Performance IJ.

- 6) High Performance IJ: An IJ marking technology that includes nozzle arrays that span the width of a page and/or the ability to dry ink on the print media via supplemental media heating mechanisms. High-performance IJ products are used in business applications usually served by electro-photographic marking products.
- 7) Solid Ink (SI): A marking technology characterized by ink that is solid at room temperature and liquid when heated to the jetting temperature. This definition includes both direct transfer and offset transfer via an intermediate drum or belt.
- 8) Stencil: A marking technology characterized by the transfer of images onto print media from a stencil that is fitted around an inked drum.
- 9) Thermal Transfer (TT): A marking technology characterized by the deposition of small drops of solid colorant (usually colored waxes) in a melted/fluid state directly to print media in a matrix manner. TT is distinguished from IJ in that the ink is solid at room temperature and is made fluid by heat.

C) Operational Modes:

1) On Mode:

- a) Active State: The power state in which a product is connected to a power source and is actively producing output, as well as performing any of its other primary functions.
 - b) Ready State: The power state in which a product is not producing output, has reached operating conditions, has not yet entered into any lower-power modes, and can enter Active State with minimal delay. All product features can be enabled in this state, and the product is able to return to Active State by responding to any potential inputs, including external electrical stimulus (e.g., network stimulus, fax call, or remote control) and direct physical intervention (e.g., activating a physical switch or button).
- 2) Off Mode: The power state that the product enters when it has been manually or automatically switched off but is still plugged in and connected to the mains. This mode is exited when stimulated by an input, such as a manual power switch or clock timer to bring the unit into Ready State. When this state is resultant from a manual intervention by a user, it is often referred to as Manual Off, and when it is resultant from an automatic or predetermined stimuli (e.g., a delay time or clock), it is often referred to as Auto-off.¹
 - 3) Sleep Mode: A reduced power state that a product enters either automatically after a period of inactivity (i.e., Default Delay Time), in response to user manual action (e.g., at a user-set time of day, in response to a user activation of a physical switch or button), or in response to external electrical stimulus (e.g., network stimulus, fax call, remote control). For products evaluated under the TEC test method, Sleep Mode permits operation of all product features (including maintenance of network connectivity), albeit with a possible delay to transition into Active State. For products evaluated under the OM test method, Sleep Mode permits operation of a single active network interface, as well as a fax connection if applicable, albeit with a possible delay to transition into Active State.

D) Media Format:

- 1) Large Format: Products designed for A2 media and larger, including those designed to accommodate continuous form media greater than or equal to 406 mm wide. Large-format products may also be capable of printing on standard-size or small-format media.

¹ For the purposes of this specification “mains” or the “main electricity supply” refers to the input power source, including a dc power supply for products that operate solely off dc power.

- 2) Standard Format: Products designed for standard-sized media (e.g., Letter, Legal, Ledger, A3, A4, B4), including those designed to accommodate continuous form media between 210 mm and 406 mm wide. Standard-size products may also be capable of printing on small-format media.
 - a) A3-capable: Standard Format products with a paper path width equal to or greater than 275 mm.
- 3) Small Format: Products designed for media sizes smaller than those defined as Standard (e.g., A6, 4"x6", microfilm), including those designed to accommodate continuous form media less than 210 mm wide.
- 4) Continuous Form: Products that do not use a cut-sheet media format and that are designed for applications such as printing of bar codes, labels, receipts, banners, and engineering drawings. Continuous Form products can be Small, Standard, or Large Format.

E) Additional Terms:

- 1) Automatic Duplexing: The capability of an MFD or printer to produce images on both sides of an output sheet, without manual manipulation of output as an intermediate step. A product is considered to have automatic duplexing capability only if all accessories needed to produce a duplex output are included with the product upon shipment.
- 2) Data Connection: A connection that permits the exchange of information between the Imaging Equipment and one external powered device or storage medium.
- 3) Default Delay Time: The time set by the manufacturer prior to shipping that determines when the product will enter a lower-power mode (e.g., Sleep, Auto-off) following completion of its primary function.
- 4) Recovery Time: The time it takes for a device to return from a Sleep or Off Mode to a Ready State.
- 5) Digital Front-end (DFE): A functionally-integrated server that hosts other computers and applications and acts as an interface to Imaging Equipment. A DFE provides greater functionality to the Imaging Equipment.
 - a) A DFE offers three or more of the following advanced features:
 - i. Network connectivity in various environments;
 - ii. Mailbox functionality;
 - iii. Job queue management;
 - iv. Machine management (e.g., waking the Imaging Equipment from a reduced power state);
 - v. Advanced graphic user-interface (UI);
 - vi. Ability to initiate communication with other host servers and client computers (e.g., scanning to email, polling remote mailboxes for jobs); or
 - vii. Ability to post-process pages (e.g., reformatting pages prior to printing).
 - b) Type 1 DFE: A DFE that draws its dc power from its own ac power supply (internal or external), which is separate from the power supply that powers the Imaging Equipment. This DFE may draw its ac power directly from a wall outlet, or it may draw it from the ac power associated with the Imaging Equipment's internal power supply. A Type 1 DFE may be sold standard with the Imaging Equipment product or as an accessory.

- c) Type 2 DFE: A DFE that draws its dc power from the same power supply as the Imaging Equipment with which it operates. Type 2 DFEs must have a board or assembly with a separate processing unit that is capable of initiating activity over the network and can be physically removed, isolated, or disabled using common engineering practices to allow power measurements to be made.
- d) Professional Digital Front-end (DFE): A DFE which meets **all** of the following criteria:
- i. Is sold with a product defined above as a Professional Imaging Product;
 - ii. has processor performance per socket² equal to or greater than 20;
 - iii. provides support for buffered memory (including both buffered dual in-line memory modules (DIMMs) and buffered on board (BOB) configurations).
 - iv. is packaged and sold with one or more ac-dc or dc-dc power supplies; and
 - v. is designed such that all processors have access to shared system memory.
- e) Auxiliary Processing Accelerator (APA): A computing expansion add-in card installed in a general-purpose add-in expansion slot of the DFE (e.g., GPGPU installed in a PCI slot).
- 6) Network Connection: A connection that permits the exchange of information between the Imaging Equipment and one or more external powered devices.
- 7) Functional Adder: A data or network interface or other component that adds functionality to the marking engine of an Imaging Equipment product and provides a power allowance when certifying products according to the OM method.
- 8) Operational Mode (OM): For the purposes of this specification, a method of comparing product energy performance via an evaluation of power (measured in watts) in various operating states, as specified in Section 9 of the ENERGY STAR Imaging Equipment Test Method.
- 9) Typical Electricity Consumption (TEC): For the purposes of this specification, a method of comparing product energy performance via an evaluation of typical electricity consumption (measured in kilowatt-hours) during normal operation over a specified period of time, as specified in Section 8 of the ENERGY STAR Imaging Equipment Test Method.
- 10) Marking Engine: The fundamental engine of an Imaging Equipment product that drives image production. A marking engine relies upon functional adders for communication ability and image processing. Without functional adders and other components, a marking engine cannot acquire image data for processing and is non-functional.
- 11) Base Product: The most fundamental configuration of a particular Product Model, which possesses the minimum number of functional adders available. Optional components and accessories are not considered part of a base product.
- 12) Accessory: A piece of peripheral equipment that is not necessary for the operation of the Base Product, but that may be added before or after shipment in order to add functionality. An accessory may be sold separately under its own model number, or sold with a base product as part of a package or configuration.
- 13) Product Model: An Imaging Equipment product that is sold or marketed under a unique model number or marketing name. A product model may be comprised of a base product or a base product plus accessories.

2 Processor performance per socket = [# of processor cores] x [processor clock speed (GHz)], where # of cores represents the number of physical cores and processor clock speed represents the Max TDP core base frequency for a given processor.

14) **Product Family**³: A group of product models that are (1) made by the same manufacturer, (2) subject to the same ENERGY STAR certification criteria, and (3) of a common basic design. Product models within a family differ from each other according to one or more characteristics or features that either (1) have no impact on product performance with regard to ENERGY STAR certification criteria, or (2) are specified herein as acceptable variations within a product family. For Imaging Equipment, acceptable variations within a product family include:

- a) Color,
- b) Housing,
- c) Input or output paper-handling accessories,
- d) Electronic components not associated with the marking engine of the Imaging Equipment product, including Type 1 and Type 2 DFEs.

2 SCOPE

2.1 Included Products

2.1.1 Commercially-available products that meet one of the Imaging Equipment definitions in Section 1.A) and are capable of being powered from (1) a wall outlet, (2) a data or network connection, or (3) both a wall outlet and a data or network connection, are eligible for ENERGY STAR certification, with the exception of products listed in Section 2.2.

2.1.2 An Imaging Equipment product must further be classified as either “TEC” or “OM” in Table 1, below, depending on the method of ENERGY STAR evaluation.

Table 1: Evaluation Methods for Imaging Equipment (New or Remanufactured)

Equipment Type	Media Format	Marking Technology	ENERGY STAR Evaluation Method
Digital Duplicator	Standard	Stencil	TEC
Mailing Machine	All	DT, EP, IJ, TT	OM
Multifunction Device (MFD)	Standard	High Performance IJ, DT, DS, EP, SI, TT	TEC
		IJ, Impact	OM
	Large	High Performance IJ, DT, DS, EP, IJ, SI, TT	OM
Printer	Standard	High Performance IJ, DT, DS, EP, SI, TT	TEC
		IJ, Impact	OM
	Large or Small	DT, DS, EP, Impact, IJ, SI, TT	OM
	Large	High Performance IJ	OM
	Small	High Performance IJ	TEC
Scanner	All	N/A	OM

³ Product families may include remanufactured imaging equipment products, so long as they meet the requirements for a product family.

Equipment Type	Media Format	Marking Technology	ENERGY STAR Evaluation Method
Professional Imaging Products	All	All	TEC

2.2 Excluded Products

- 2.2.1 Products that are covered under other ENERGY STAR product specifications are not eligible for certification under this specification. The list of specifications currently in effect can be found at www.energystar.gov/products.
- 2.2.2 Products that satisfy one or more of the following conditions are not eligible for ENERGY STAR certification under this specification:
- i. Products that are designed to operate directly on three-phase power;
 - ii. Standalone Copiers; and
 - iii. Standalone Fax Machines.

3 CERTIFICATION CRITERIA

3.1 Significant Digits and Rounding

- 3.1.1 All calculations shall be carried out with directly measured (unrounded) values.
- 3.1.2 Unless otherwise specified, compliance with specification limits shall be evaluated using directly measured or calculated values without any benefit from rounding.
- 3.1.3 Directly measured or calculated values that are submitted for reporting on the ENERGY STAR website shall be rounded to the nearest significant digit as expressed in the corresponding specification limit.

3.2 General Requirements

- 3.2.1 External Power Supply (EPS): Single- and Multiple-voltage EPSs shall meet the Level VI or higher performance requirements under the International Efficiency Marking Protocol when tested according to the Uniform Test Method for Measuring the Energy Consumption of External Power Supplies, Appendix Z to 10 CFR Part 430.
- i. Single-voltage EPSs shall include the Level VI or higher marking.
 - ii. Multiple-voltage EPSs meeting Level VI or higher shall include the Level VI or higher marking.
 - iii. Additional information on the Marking Protocol is available at <http://www.regulations.gov#!documentDetail;D=EERE-2008-BT-STD-0005-0218>.
 - iv. The above requirements shall not apply to any EPSs shipped with a Digital Front End (DFE).

- 3.2.2 Additional Cordless Handset: Fax machines and MFDs with fax capability that are sold with additional cordless handsets shall use an ENERGY STAR certified handset, or one that meets the ENERGY STAR Telephony specification when tested to the ENERGY STAR test method on the date the Imaging Equipment product is certified as ENERGY STAR. The ENERGY STAR specification and test method for telephony products may be found at www.energystar.gov/products.
- 3.2.3 Functionally Integrated MFD: If a MFD consists of a set of functionally integrated components (i.e., the MFD is not a single physical device), the sum of the measured energy or power consumption for all components shall be less than or equal to the relevant MFD energy or power consumption requirements for ENERGY STAR certification.
- 3.2.4 DFE Requirements for Non-Professional Imaging Products: The Typical Electricity Consumption (TEC_{DFE}) of a Type 1 or Type 2 DFE sold with an Imaging Equipment product at the time of sale shall be calculated using Equation 1 for a DFE without Sleep Mode or Equation 2 for a DFE with Sleep Mode. The resulting TEC_{DFE} value shall be less than or equal to the maximum TEC_{DFE} requirement specified in Table 2 for the given DFE type.
- For Type 1 DFEs that meet the relevant TEC_{DFE} requirement, the DFE should be excluded from the TEC energy or OM power measurements.
 - For Type 2 DFEs that meet the relevant TEC_{DFE} requirement, the TEC value or Ready State power of the DFE should be subtracted or excluded from the TEC energy or OM power measurements of the Imaging Equipment product.
 - Section 3.3.2 provides further detail on subtracting TEC_{DFE} values from TEC products with Type 2 DFEs;
 - Section 3.5.2 provides further detail for excluding Type 2 DFE power from OM Sleep and Off Mode levels.
 - Imaging Equipment products with DFEs that fail to meet these requirements may be certified without subtracting or excluding the DFE power from that of the Imaging Equipment product as a whole. The combined energy consumption of the DFE and the Imaging Equipment must be below the appropriate requirement.

Equation 1: TEC_{DFE} Calculation for Digital Front Ends without Sleep Mode

$$TEC_{DFE} = \frac{168 \times P_{DFE_READY}}{1000}$$

Where:

- TEC_{DFE} is the typical weekly energy consumption for DFEs, expressed in kilowatt-hours (kWh) and rounded to the nearest 0.1 kWh for reporting;
- P_{DFE_READY} is Ready State power measured in the test procedure in watts.

Equation 2: TEC_{DFE} Calculation for Digital Front Ends with Sleep Mode

$$TEC_{DFE} = \frac{(45 \times P_{DFE_READY}) + (123 \times P_{DFE_SLEEP})}{1000}$$

Where:

- TEC_{DFE} is the typical weekly energy consumption for DFEs, expressed in kilowatt-hours (kWh) and rounded to the nearest 0.1 kWh for reporting;
- P_{DFE_READY} is the DFE Ready State power measured in the test procedure in watts.

- P_{DFE_SLEEP} is the DFE Sleep Mode power measured in the test procedure in watts.

Table 2: Maximum TEC_{DFE} Requirements for Type 1 and Type 2 DFEs

DFE Category	Category Description	Maximum TEC_{DFE} (kWh/week)	
		Type 1 DFE	Type 2 DFE
A	All DFEs that do not meet the definition of Category B will be considered under Category A for ENERGY STAR certification.	7	3
B	To be certified under Category B DFEs must have: 2 or more physical CPUs or 1 CPU and ≥ 1 discrete Auxiliary Processing Accelerators (APAs)	12	3

3.2.5 Default Delay Time Requirements for Non-Professional Imaging Products: Measured Default Delay Time to Sleep ($t_{DEFAULT}$) shall be less than or equal to the Required Default Delay Time to Sleep ($t_{DEFAULT_REQ}$) requirement specified in Table 3, subject to the following conditions:

- i. When reporting data and certifying products that can enter Sleep Mode in multiple ways, partners should reference a Sleep level that can be reached automatically. If the product is capable of automatically entering multiple, successive Sleep levels, it is at the manufacturer's discretion which of these levels is used for certification purposes; however, the default-delay time provided must correspond with whichever level is used.
- ii. Default Delay Time does not apply to OM products that can meet Sleep Mode requirements in Ready State.
- iii. The Default Delay Time to Sleep may not be adjusted by the user to be greater than the Maximum Delay Times to Sleep Adjustable by the User, as specified in Table 4.

Table 3: Required Default Delay Time to Sleep for OM and TEC Products

Monochrome Product Speed, s , as Calculated in the Test Method (ipm or mppm)	Required Default Delay Time to Sleep, $t_{DEFAULT_REQ}$ for MFDs, Scanners, Mailing Machines, and Digital Duplicators with Copying Capability (minutes)	Required Default Delay Time to Sleep, $t_{DEFAULT_REQ}$, for Printers and Digital Duplicators without Copying Capability (minutes)
$s \leq 10$	15	5
$10 < s \leq 20$	30	15
$20 < s \leq 30$	45	30
$30 < s \leq 50$	45	45
$s > 50$	45	45

Table 4: Maximum Delay Times to Sleep Adjustable by the User

All Devices with a Monochrome Product Speed, s	Maximum Delay Times for Sleep Mode Adjustable by the User (min)
$s \leq 30$	60
$s > 30$	120

3.3 Requirements for Typical Electricity Consumption (TEC) Products, Excluding Professional Imaging Products

3.3.1 **Automatic Duplexing Capability:** For all MFDs and printers subject to the TEC test method, automatic duplexing capability shall be integral to the base product and duplex printing must be set as default for products with speed greater than those specified in Table 5. Printers whose intended function is to print on special single-sided media for the purpose of single sided printing (e.g., release coated paper for labels, direct thermal media, etc.) are exempt from this requirement.

Table 5: Automatic Duplexing Requirements for all TEC MFDs and Printers

Product Type	Product Speed (ipm)
Color	$s > 19$
Monochrome	$s > 24$

3.3.2 **Typical Electricity Consumption:** Calculated Typical Electricity Consumption (TEC_{2018}) per Equation 3 or Equation 4 shall be less than or equal to the Maximum TEC Requirement (TEC_{MAX}) specified in Equation 6.

- i. For Imaging Equipment with a Type 2 DFE that meets the Type 2 DFE maximum TEC_{DFE} requirement in Table 2, the measured energy consumption of the DFE shall be divided by 0.80 to account for internal power supply losses and then excluded when comparing the product's measured TEC value to TEC_{MAX} and for reporting.
- ii. For Imaging Equipment with a DFE that does not meet the DFE maximum TEC_{DFE} requirement, the measured TEC value must meet the TEC_{MAX} without any subtractions or exclusions for the DFE.
- iii. The DFE shall not interfere with the ability of the Imaging Equipment to enter or exit its lower-power modes.

Example: A printer's total TEC result is 24.50 kWh/wk and its Type 2 TEC_{DFE} value calculated in Section 3.2.4 is 9.0 kWh/wk. The TEC_{DFE} value is then divided by 0.80 to account for internal power supply losses with the Imaging Equipment in Ready State, resulting in 11.25 kWh/wk. The power supply adjusted value is subtracted from the tested TEC value: 24.50 kWh/wk – 11.25 kWh/wk = 13.25 kWh/wk. This 13.25 kWh/wk result is then compared to the relevant TEC_{MAX} to determine certification.

- iv. For printers, digital duplicators with print capability, and MFDs with print capability, TEC shall be calculated per Equation 3.

Equation 3: TEC Calculation for Printers, Fax Machines, Digital Duplicators with Print Capability, and MFDs with Print Capability

$$TEC_{2018} = \left[5 \times \left(E_{JOB_DAILY} + (2 \times E_{FINAL}) \right) + \left[24 - \frac{N_{JOBS}}{16} - (2 \times t_{FINAL}) \right] \times \frac{E_{SLEEP}}{t_{SLEEP}} \right] + 48 \times \frac{E_{SLEEP}}{t_{SLEEP}}$$

Where:

- TEC_{2018} is the typical weekly energy consumption for printers, digital duplicators with print capability, and MFDs with print capability, expressed in kilowatt-hours (kWh) and rounded to the nearest 0.01 kWh for reporting;
- E_{JOB_DAILY} is the daily job energy, as calculated per Equation 5, in kWh;
- E_{FINAL} is the final energy, as measured in the test procedure, converted to kWh;

- N_{JOBS} is the number of jobs per day, as calculated in the test procedure,
- t_{FINAL} is the final time to Sleep, as measured in the test procedure, converted to hours;
- E_{SLEEP} is the Sleep energy, as measured in the test procedure, converted to kWh; and
- t_{SLEEP} is the Sleep time, as measured in the test procedure, converted to hours.

v. For digital duplicators without print capability and MFDs without print capability, TEC shall be calculated per Equation 4.

Equation 4: TEC Calculation for Digital Duplicators without Print Capability and MFDs without Print Capability

$$TEC_{2018} = \left[5 \times \left(E_{JOB_DAILY} + (2 \times E_{FINAL}) + \left[24 - \frac{N_{JOBS}}{16} - (2 \times t_{FINAL}) \right] \times \frac{E_{AUTO}}{t_{AUTO}} \right) + 48 \times \frac{E_{AUTO}}{t_{AUTO}} \right],$$

Where:

- TEC_{2018} is the typical weekly energy consumption for digital duplicators without print capability and MFDs without print capability, expressed in kilowatt-hours (kWh) and rounded to the nearest 0.01 kWh for reporting;
- E_{JOB_DAILY} is the daily job energy, as calculated per Equation 5, in kWh;
- E_{FINAL} is the final energy, as measured in the test procedure, converted to kWh;
- N_{JOBS} is the number of jobs per day, as calculated in the test procedure;
- t_{FINAL} is the final time to Sleep, as measured in the test procedure, converted to hours;
- E_{AUTO} is the Auto-off energy, as measured in the test procedure, converted to kWh; and
- t_{AUTO} is the Auto-off time, as measured in the test procedure, converted to hours..

vi. Daily Job Energy shall be calculated per Equation 5.

Equation 5: Daily Job Energy Calculation for TEC Products

$$E_{JOB_DAILY} = \frac{1}{4} \left[2 \times E_{JOB1} + (N_{JOBS} - 2) \times \frac{E_{JOB2} + E_{JOB3} + E_{JOB4}}{3} \right],$$

Where:

- E_{JOB_DAILY} is the daily job energy, expressed in kilowatt-hours (kWh);
- E_{JOBi} is the energy of the i^{th} job, as measured in the test procedure, converted to kWh; and
- N_{JOBS} is the number of jobs per day, as calculated in the test procedure.

Equation 6: Maximum TEC Requirement Calculation

$$TEC_{MAX} = TEC_{REQ} + Adder_{A3} + Adder_{Wi-Fi},$$

Where:

- TEC_{MAX} is the maximum TEC requirement in kilowatt-hours per week (kWh/wk), rounded to the nearest 0.01 kWh/wk for reporting;
- TEC_{REQ} is the TEC requirement specified in Table 6, in kWh;
- $Adder_{A3}$ is a 0.05 kWh/wk allowance provided for A3-capable products; and
- $Adder_{Wi-Fi}$ is a 0.1 kWh/wk allowance provided for products with Wi-Fi enabled as shipped during the test..

Table 6: TEC Requirement

Color Capability	Monochrome Product Speed, <i>s</i>, as Calculated in the Test Method (ipm)	TEC_{REQ} (kWh/wk, rounded to the nearest 0.01 kWh/wk for reporting)
Monochrome Non-MFD	$s \leq 20$	0.226
	$20 < s \leq 40$	$0.018 \times s - 0.152$
	$40 < s \leq 60$	$0.025 \times s - 0.439$
	$60 < s \leq 135$	$0.049 \times s - 1.903$
	$s > 135$	$0.183 \times s - 20.127$
Monochrome MFD	$s \leq 20$	0.263
	$20 < s \leq 40$	$0.018 \times s - 0.115$
	$40 < s \leq 60$	$0.016 \times s - 0.033$
	$60 < s \leq 80$	$0.037 \times s - 1.314$
	$s > 80$	$0.086 \times s - 5.283$
Color Non-MFD	$s \leq 20$	0.275
	$20 < s \leq 40$	$0.032 \times s - 0.397$
	$40 < s \leq 60$	$0.002 \times s + 0.833$
	$s > 60$	$0.100 \times s - 5.145$
Color MFD	$s \leq 20$	0.254
	$20 < s \leq 40$	$0.024 \times s - 0.250$
	$40 < s \leq 60$	$0.011 \times s + 0.283$
	$60 < s \leq 80$	$0.055 \times s - 2.401$
	$s > 80$	$0.118 \times s - 7.504$

3.3.3 Additional Test Results Reporting Requirements:

- i. DFE model name/number, Ready State power, Sleep Mode power, and TEC_{DFE} shall be reported for any Type 1 DFE sold with an Imaging Equipment product, including those not tested with the Imaging Equipment product as part of the highest energy using configuration per Section 4.2.1.iii.

3.3.4 Recovery Time: Recovery Time, t_{R_TEC} as calculated per Equation 7, shall be less than or equal to the Maximum Recovery Time, t_{R_MAX} , subject to the following requirements:

- i. For models with a shorter Default Delay Time to Sleep as found in Table 7, t_{R_MAX} shall be calculated per Equation 8.
- ii. For models with a longer Default Delay Time to Sleep as found in Table 7, t_{R_MAX} shall be calculated per Equation 9.
- iii. Models with a Default Delay Time to Sleep greater than any found in Table 7 shall not be subject to a Recovery Time requirement.

Example: A 25 ipm MFD with a default sleep delay of 40 minutes (acceptable per Table 3) but falling outside Table 7 is not subject to a recovery time requirement.

- iv. Recovery times from various modes (Active 0, Active 1, Active 2 times) shall be reported for all products tested using the TEC test method.

Equation 7: TEC Recovery Time

$$t_{R_TEC} = t_{Active1} - t_{Active0}$$

Where:

- t_{R_TEC} is TEC Recovery Time;
- $t_{Active1}$ is the time from Sleep Mode to the first sheet exiting the unit, in seconds, as measured per the test method; and
- $t_{Active0}$ is the time from Ready State to the first sheet exiting the unit, in seconds, as measured per the test method.

Table 7: Determination of Maximum Recovery Time

Print Speed, s (ipm)	Maximum Default Delay Time to Sleep Values to Permit Applicability of Shorter Recovery Time in Equation 8. (minutes)	Maximum Default Delay Time to Sleep Values to Permit Applicability of Longer Recovery Time in Equation 9 (minutes)
$0 < s \leq 5$	$0 < t_{DEFAULT} \leq 5$	$t_{DEFAULT} > 5$
$5 < s \leq 10$	$0 < t_{DEFAULT} \leq 10$	$10 < t_{DEFAULT} \leq 15$
$10 < s \leq 20$	$0 < t_{DEFAULT} \leq 10$	$10 < t_{DEFAULT} \leq 20$
$20 < s \leq 30$	$0 < t_{DEFAULT} \leq 10$	$10 < t_{DEFAULT} \leq 30$
$30 < s \leq 40$	$0 < t_{DEFAULT} \leq 10$	$10 < t_{DEFAULT} \leq 45$
$s > 40$	$0 < t_{DEFAULT} \leq 15$	$15 < t_{DEFAULT} \leq 45$

Equation 8: Maximum Recovery Time for Models with Shorter Default Delay Times to Sleep, as Indicated in Table 7

$$t_{R_MAX} = \min(0.42 \times s + 5, 30),$$

Where:

- t_{R_MAX} is Maximum Recovery Time, in seconds;
- s is the product speed; and
- \min is the minimum function (i.e., the Maximum Recovery Time shall be the lesser of $0.42 \times s + 5$ or 30 seconds).

Equation 9: Maximum Recovery Time for Models with Longer Default Delay Times to Sleep, as Indicated in Table 7

$$t_{R_MAX} = \min(0.51 \times s + 15, 60),$$

Where:

- t_{R_MAX} is Maximum Recovery Time, in seconds;
- s is the product speed; and
- \min is the minimum function (i.e., the Maximum Recovery Time shall be the lesser of $0.51 \times s + 15$ or 60 seconds).

3.4 Requirements for Professional Imaging Products

3.4.1 **DFE Requirements for Professional Imaging Products:** The Typical Electricity Consumption (TEC_{DFE}) of a Type 1 or Type 2 DFE sold with an Imaging Equipment product at the time of sale shall be calculated using Equation 10 for a DFE without Sleep Mode or Equation 11 for a DFE with Sleep Mode. The resulting TEC_{DFE} value shall be less than or equal to the maximum TEC_{DFE} requirement specified in Table 8 for the given DFE type.

- For Type 1 DFEs that meet the relevant TEC_{DFE} requirement, the DFE should be excluded from the TEC energy

- ii. For Type 2 DFEs that meet the relevant TEC_{DFE} requirement, the TEC value of the DFE should be subtracted from the TEC energy measurements of the Imaging Equipment product.
- iii. For Imaging Equipment with a DFE that does not meet the DFE maximum TEC_{DFE} requirement, the measured TEC value must meet the TEC_{MAX} without any exclusions for the DFE.
- iv. Sections 3.4.3i and 3.4.3ii provide further detail on subtracting TEC_{DFE} values from TEC products;
- v. Imaging Equipment products with Type 2 DFEs that fail to meet these requirements may be certified without subtracting the DFE power from that of the Imaging Equipment product as a whole. The combined energy consumption of the DFE and the Imaging Equipment must be below the appropriate requirement.
- vi. The requirements in this section are not applicable to DFEs which meet the Professional DFE definition, though their energy consumption may be excluded from that of the imaging equipment and shall be reported with the ENERGY STAR certified Professional Imaging Equipment.

Equation 10: TEC_{DFE} Calculation for Digital Front Ends without Sleep Mode

$$TEC_{DFE} = \frac{168 \times P_{DFE_READY}}{1000}$$

Where:

- TEC_{DFE} is the typical weekly energy consumption for DFEs, expressed in kilowatt-hours (kWh) and rounded to the nearest 0.1 kWh for reporting;
- P_{DFE_READY} is Ready State power measured in the test procedure in watts.

Equation 11: TEC_{DFE} Calculation for Digital Front Ends with Sleep Mode

$$TEC_{DFE} = \frac{(45 \times P_{DFE_READY}) + (123 \times P_{DFE_SLEEP})}{1000}$$

Where:

- TEC_{DFE} is the typical weekly energy consumption for DFEs, expressed in kilowatt-hours (kWh) and rounded to the nearest 0.1 kWh for reporting;
- P_{DFE_READY} is the DFE Ready State power measured in the test procedure in watts.
- P_{DFE_SLEEP} is the DFE Sleep Mode power measured in the test procedure in watts.

Table 8: Maximum TEC_{DFE} Requirements for Type 1 and Type 2 DFEs for Professional Imaging Products

DFE Category	Category Description	Maximum TEC_{DFE} (kWh/week)	
		Type 1 DFE	Type 2 DFE
A	All DFEs that do not meet the definition of Category B will be considered under Category A for ENERGY STAR certification.	10.9	8.7
B	To be certified under Category B DFEs must have: 2 or more physical CPUs or 1 CPU and ≥ 1 discrete Auxiliary Processing Accelerators (APAs)	22.7	18.2

3.4.2 Automatic Duplexing Capability:

- i. For all Professional Imaging Products, automatic duplexing capability shall be present at the time of purchase. Professional Imaging Products whose intended function is to print on special single-sided media for the purpose of single sided printing (e.g., release coated paper for labels, direct thermal media, etc.) are exempt.
- ii. If a product is not certain to be bundled with an automatic duplex tray, the partner must make clear in their product literature, on their Web site, and in institutional sales literature that although the product meets the ENERGY STAR energy efficiency requirements, the product only fully qualifies for ENERGY STAR when bundled with or used with a duplexer tray. EPA asks that partners use the following language to convey this message to customers: "Achieves ENERGY STAR energy savings; product fully qualifies when packaged with (or used with) a duplex tray."

3.4.3 Typical Electricity Consumption: Calculated Typical Electricity Consumption (TEC) per Equation 12 or Equation 13 shall be less than or equal to the Maximum TEC Requirement (TEC_{MAX}) specified in Equation 15 to the nearest 0.1 kilowatt-hour.

- i. For *Professional* Imaging Products with a Type 2 DFE that meet the Type 2 DFE maximum TEC_{DFE} requirement found in Table 8, the measured energy consumption of the DFE, shall be divided by 0.80 to account for internal power supply losses, and then be excluded when comparing the product's measured TEC value to TEC_{MAX} .
- ii. For Imaging Equipment with a DFE that does not meet the DFE maximum TEC_{DFE} requirement, the measured TEC value must meet the TEC_{MAX} without any exclusions for the DFE.
- iii. The DFE shall not interfere with the ability of the imaging product to enter or exit its lower-power modes.

Example: A printer's total TEC result is 24.50 kWh/wk and its Type 2 TEC_{DFE} value calculated in Section 3.2.4 is 9.0 kWh/wk. The TEC_{DFE} value is then divided by 0.80 to account for internal power supply losses with the Imaging Equipment in Ready Mode, resulting in 11.25 kWh/wk. The power supply adjusted value is subtracted from the tested TEC value: 24.50 kWh/wk – 11.25 kWh/wk = 13.25 kWh/wk. This 13.25 kWh/wk result is then compared to the relevant TEC_{MAX} to determine qualification

- iv. For Professional Imaging Products with print capability, and MFDs with print capability, TEC shall be calculated per Equation 12.

Equation 12: TEC Calculation for Professional Imaging Products

$$TEC = 5 \times \left[E_{JOB_DAILY} + (2 \times E_{FINAL}) + [24 - (N_{JOBS} \times 0.25) - (2 \times t_{FINAL})] \times \frac{E_{SLEEP}}{t_{SLEEP}} \right] + 48 \times \frac{E_{SLEEP}}{t_{SLEEP}},$$

Where:

- *TEC* is the typical weekly energy consumption for professional imaging products, expressed in kilowatt-hours (kWh) and rounded to the nearest 0.1 kWh for reporting;
- *E_{JOB_DAILY}* is the daily job energy, as calculated per Equation 14, in kWh;
- *E_{FINAL}* is the final energy, as measured in the test procedure, converted to kWh;
- *N_{JOBS}* is the number of jobs per day, as calculated in the test procedure,
- *t_{FINAL}* is the final time to Sleep, as measured in the test procedure, converted to hours;
- *E_{SLEEP}* is the Sleep energy, as measured in the test procedure, converted to kWh; and
- *t_{SLEEP}* is the Sleep time, as measured in the test procedure, converted to hours.

- v. For Professional Imaging Products without print capability, TEC shall be calculated per Equation 13.

Equation 13: TEC Calculation for Professional Imaging Products without Print Capability

$$TEC = 5 \times \left[E_{JOB_DAILY} + (2 \times E_{FINAL}) + [24 - (N_{JOBS} \times 0.25) - (2 \times t_{FINAL})] \times \frac{E_{AUTO}}{t_{AUTO}} \right] + 48 \times \frac{E_{AUTO}}{t_{AUTO}},$$

Where:

- *TEC* is the typical weekly energy consumption for professional imaging products without print capability, expressed in kilowatt-hours (kWh) and rounded to the nearest 0.1 kWh for reporting;
- *E_{JOB_DAILY}* is the daily job energy, as calculated per Equation 14 in kWh;
- *E_{FINAL}* is the final energy, as measured in the test procedure, converted to kWh;
- *N_{JOBS}* is the number of jobs per day, as calculated in the test procedure;
- *t_{FINAL}* is the final time to Sleep, as measured in the test procedure, converted to hours;
- *E_{AUTO}* is the Auto-off energy, as measured in the test procedure, converted to kWh; and
- *t_{AUTO}* is the Auto-off time, as measured in the test procedure, converted to hours.

- vi. Daily Job Energy shall be calculated per Equation 14.

Equation 14: Daily Job Energy Calculation for Professional Imaging Products

$$E_{JOB_DAILY} = (2 \times E_{JOB1}) + \left((N_{JOBS} - 2) \times \frac{E_{JOB2} + E_{JOB3} + E_{JOB4}}{3} \right),$$

Where:

- *E_{JOB_DAILY}* is the daily job energy, expressed in kilowatt-hours (kWh);
- *E_{JOB_i}* is the energy of the *i*th job, as measured in the test procedure, converted to kWh; and
- *N_{JOBS}* is the number of jobs per day, as calculated in the test procedure.

Equation 15: Maximum TEC Requirement Calculation for Professional Imaging Products

$$TEC_{MAX} = TEC_{REQ} + Adder_{A3},$$

Where:

- TEC_{MAX} is the maximum TEC requirement in kilowatt-hours per week (kWh/wk);
- TEC_{REQ} is the TEC requirement specified in Table 9, in kWh; and
- $Adder_{A3}$ is a 0.3 kWh/wk allowance provided for A3-capable products.

Table 9: TEC Requirement Before A3 Allowance (If Applicable) for Professional Imaging Products

Color Capability	Monochrome Product Speed, s, as Calculated in the Test Method (ipm)	TEC _{REQ} (kWh/week, rounded to the nearest 0.1 kWh/week for reporting)
Monochrome Non-MFD	$s \leq 5$	0.3
	$5 < s \leq 20$	$(s \times 0.04) + 0.1$
	$20 < s \leq 30$	$(s \times 0.06) - 0.3$
	$30 < s \leq 40$	$(s \times 0.11) - 1.8$
	$40 < s \leq 65$	$(s \times 0.16) - 3.8$
	$65 < s \leq 90$	$(s \times 0.2) - 6.4$
	$s > 90$	$(s \times 0.55) - 37.9$
Monochrome MFD	$s \leq 5$	0.4
	$5 < s \leq 30$	$(s \times 0.07) + 0.05$
	$30 < s \leq 50$	$(s \times 0.11) - 1.15$
	$50 < s \leq 80$	$(s \times 0.25) - 8.15$
	$s > 80$	$(s \times 0.6) - 36.15$
Color Non-MFD	$s \leq 10$	1.3
	$10 < s \leq 15$	$(s \times 0.06) + 0.7$
	$15 < s \leq 30$	$(s \times 0.15) - 0.65$
	$30 < s \leq 75$	$(s \times 0.2) - 2.15$
	$s > 75$	$(s \times 0.7) - 39.65$
Color MFD	$s \leq 10$	1.5
	$10 < s \leq 15$	$(s \times 0.1) + 0.5$
	$15 < s \leq 30$	$(s \times 0.13) + 0.05$
	$30 < s \leq 70$	$(s \times 0.2) - 2.05$
	$70 < s \leq 80$	$(s \times 0.7) - 37.05$
	$s > 80$	$(s \times 0.75) - 41.05$

- 3.4.4 Additional Test Results Reporting Requirements: Recovery times from various modes (Active 0, Active 1, Active 2 times) and Default Delay Time shall be reported for all products tested using the TEC test method.
- 3.4.5 DFE model name/number, Ready State power, Sleep Mode power, and TEC_{DFE} shall be reported for any Type 1 DFE sold with an Imaging Equipment product, including those not tested with the Imaging Equipment product as part of the highest energy using configuration per Section 4.2.1.iii.

3.5 Requirements for Operational Mode (OM) Products

- 3.5.1 Multiple Sleep Modes: If a product is capable of automatically entering multiple successive Sleep Modes, the same Sleep Mode shall be used to determine certification under the Default Delay Time to Sleep requirements specified in Section 3.2.5 and the Sleep Mode power consumption requirements specified in Section 3.5.3.
- 3.5.2 DFE Requirements: For Imaging Equipment with a Type 2 DFE that relies on the Imaging Equipment for its power, and that meets the appropriate maximum TEC_{DFE} requirement found in Table 2, the DFE power shall be excluded subject to the following conditions:

- i. Ready State power of the DFE, as measured in the test method, shall be divided by 0.60 to account for internal power supply losses.
- Sleep Mode Requirements: If the resultant power in Paragraph i, above, is less than or equal to the Ready State or Sleep Mode power of the Imaging Equipment product as a whole, then the power shall be excluded from the measured Ready State or Sleep Mode power of the Imaging Equipment product as a whole when comparing to the Sleep Mode requirements in Section 3.5.3, below, and for reporting.

Otherwise, the Sleep Mode power of the DFE, as measured in the test method, shall be divided by 0.60 and excluded from the Ready or Sleep Mode power of the Imaging Equipment for comparing to the requirements, and for reporting.
 - Off Mode Requirements: If the resultant power in Paragraph i, above, is less than or equal to the Ready State, Sleep Mode, or Off Mode power of the Imaging Equipment as a whole, then the power shall be excluded from the Ready State, Sleep Mode, or Off Mode power of the Imaging Equipment product as a whole when comparing to the Off Mode requirements in Section 3.5.4, below, and for reporting.

Otherwise, the Sleep Mode power of the DFE, as measured in the test method, shall be divided by 0.60 and excluded from the Ready State, Sleep Mode, or Off Mode power of the Imaging Equipment for comparing to the requirements, and for reporting.
- ii. The DFE must not interfere with the ability of the Imaging Equipment to enter or exit its lower-power modes.
- iii. Imaging Equipment products with Type 2 DFEs that fail to meet these requirements may be certified without subtracting the DFE power from that of the Imaging Equipment product as a whole. The combined energy consumption of the DFE and the Imaging Equipment must be below the appropriate requirement.

Examples: Product 1 is an Imaging Equipment product whose Type 2 DFE has no distinct sleep mode. The Type 2 DFE has measured Ready State and Sleep Mode power both equal to 30 watts. The measured Sleep Mode power of the product is 53 watts. When subtracting 50 watts (30 watts / 0.60) from the measured Sleep Mode power of the product, 53 watts, the resulting 3 watts is the Sleep Mode power of the product for use in the criteria limits below.

Product 2 is an Imaging Equipment product whose Type 2 DFE goes to sleep when the Imaging Equipment goes to sleep during testing. The Type 2 DFE has measured DFE Ready State and Sleep Mode power equal to 30 watts and 5 watts, respectively. The measured Sleep Mode power of the product is 12 watts. When subtracting 50 watts (30 watts / 0.60) from the measured Sleep Mode power of the product, 12 watts, the result is -38 watts. In this case, instead subtract 8.33 watts (5 watts / 0.60) from the measured Sleep Mode power of the product, 12 watts, resulting in 3.67 watts which is used in the criteria limits below.

- 3.5.3 **Sleep Mode Power Consumption:** Measured Sleep Mode power consumption (P_{SLEEP}) shall be less than or equal to the maximum Sleep Mode power consumption requirement ($P_{\text{SLEEP_MAX}}$) determined per Equation 16, subject to the following conditions:
- i. Only those interfaces that are present and used during the test, including any fax interface, may be considered functional adders.
 - ii. Product functionality offered through a DFE shall not be considered a functional adder.
 - iii. A single interface that performs multiple functions may be counted only once.
 - iv. Any interface that meets more than one interface type definition shall be classified according to the functionality used during the test.
 - v. For products that meet the Sleep Mode power requirement in Ready State, no further automatic power reductions are required to meet Sleep Mode requirements.

Equation 16: Calculation of Maximum Sleep Mode Power Consumption Requirement for OM products

$$P_{\text{SLEEP_MAX}} = P_{\text{MAX_BASE}} + \sum_1^n \text{Adder}_{\text{INTERFACE}} + \sum_1^m \text{Adder}_{\text{OTHER}}$$

Where:

- $P_{\text{SLEEP_MAX}}$ is the maximum Sleep Mode power consumption requirement, expressed in watts (W), and rounded to the nearest 0.1 watt for reporting;
- $P_{\text{MAX_BASE}}$ is the maximum Sleep Mode power allowance for the base marking engine, as determined per Table 10, in watts;
- $\text{Adder}_{\text{INTERFACE}}$ is the power allowance for the interface functional adders used during the test, including any fax capability, and as selected by the manufacturer from Table 11, in watts;
- n is the number of allowances claimed for interface functional adders used during the test, including any fax capability, and is less than or equal to 2;
- $\text{Adder}_{\text{OTHER}}$ is the power allowance for any non-interface functional adders in use during the test, as selected by the manufacturer from Table 11, in watts; and
- m is the number of allowances claimed for any non-interface functional adders in use during the test, and is unlimited.

Table 10: Sleep Mode Power Allowance for Base Marking Engine

Product Type	Media Format	Marking Technology				P _{MAX_BASE} (watts)
		Impact	Ink Jet	All Other*	Not Applicable	
Mailing Machine	N/A		x	x		5.0
MFD	Standard	x	x			1.1
	Large		x			5.4
				x		8.7
Printer	Small	x	x	x		4.0
	Standard	x	x			0.6
	Large	x		x		2.5
			x			4.9
Scanner	Any				x	2.5

* "All Other" category includes High Performance Ink Jet.

Table 11: Sleep Mode Power Allowances for Functional Adders

Adder Type	Connection Type	Max. Data Rate, <i>r</i> (Mbit/second)	Details	Functional Adder Allowance (watts)
Interface	Wired	$r < 20$	Includes: USB 1.x, IEEE 488, IEEE 1284/Parallel/ Centronics, RS232	0.2
		$20 \leq r < 500$	Includes: USB 2.x, IEEE 1394/ FireWire/i.LINK, 100Mb Ethernet	0.4
		$r \geq 500$	Includes: USB 3.x, 1G Ethernet	0.5
		Any	Includes: Flash memory-card/smart-card readers, camera interfaces, PictBridge	0.2
	Fax Modem	Any	<u>Applies to MFDs only.</u>	0.2
	Wireless, Radio-frequency (RF)	Any	Includes: Bluetooth, 802.11	2.0
	Wireless, Infrared (IR)	Any	Includes: IrDA.	0.1
Cordless Handset	N/A	N/A	Capability of the imaging product to communicate with a cordless handset. Applied only once, regardless of the number of cordless handsets the product is designed to handle. Does not address the power requirements of the cordless handset itself.	0.8
Memory	N/A	N/A	Applies to the internal capacity available in the Imaging Equipment for storing data. Applies to all volumes of internal memory and should be scaled accordingly for RAM. This adder does not apply to hard disk or flash memory.	0.5/GB

Adder Type	Connection Type	Max. Data Rate, <i>r</i> (Mbit/second)	Details	Functional Adder Allowance (watts)
Power Supply	N/A	N/A	Applies to both internal and external power supplies of Mailing Machines and Standard Format products using Inkjet and Impact marking technologies with nameplate output power (P_{OUT}) greater than 10 watts.	$0.02 \times (P_{OUT} - 10.0)$
Touch Panel Display	N/A	N/A	Applies to both monochrome and color touch panel displays.	0.2

3.5.4 Off Mode Power Consumption Off Mode power, as measured in the test procedure, shall be less than or equal to the Maximum Off Mode power specified in Table 12, subject to the following conditions.

- i. For products that do not have an Off Mode, Sleep Mode power, as measured in the test procedure, shall be less than or equal to the Maximum Off Mode power.
- ii. For products that do not have an Off Mode or Sleep Mode, Ready State power, as measured in the test procedure, shall be less than or equal to the Maximum Off Mode power.
- iii. The Imaging Equipment shall meet the Off Mode Power requirement independent of the state of any other devices (e.g., a host PC) connected to it.

Table 12: Maximum Off Mode Power Requirement

Product Type	Maximum Off Mode Power (watts)
All OM Products	0.3

Note: Products intended for sale in the US market are subject to minimum toxicity and recyclability requirements. Please see ENERGY STAR Program Requirements for Imaging Equipment: Partner Commitments for details.

4 TESTING

4.1 Test Methods

4.1.1 When testing Imaging Equipment products, the test methods identified in Table 13 shall be used to determine certification for ENERGY STAR.

Table 13: Test Methods for ENERGY STAR Certification

Product Type	Test Method
All Imaging Products	ENERGY STAR Imaging Equipment Test Method, Rev. Nov-2018

4.2 Number of Units Required for Testing

- 4.2.1 Representative Models shall be selected for testing per the following requirements for products both sold as new and remanufactured.
- i. For certification of an individual product model, a product configuration equivalent to that which is intended to be marketed and labeled as ENERGY STAR is considered the Representative Model;
 - ii. For certification of a product family that does not include a Type 1 DFE, the highest energy using configuration within the family shall be considered the Representative Model. Any subsequent testing failures (e.g., as part of verification testing) of any model in the family will have implications for all models in the family.
 - iii. For certification of a product family that includes Type 1 DFE, the highest energy using configuration of the Imaging Equipment and highest energy using DFE within the family shall be tested for certification purposes. Any subsequent testing failures (e.g., as part of verification testing) of any model in the family and all Type 1 DFEs sold with the Imaging Equipment, including those not tested with the Imaging Equipment product, will have implications for all models in the family. Imaging Equipment products that do not incorporate a Type 1 DFE may not be added to this product family for certification and must be certified as a separate family without a Type 1 DFE.
- 4.2.2 A single unit of each Representative Model shall be selected for testing.
- 4.2.3 All units/configurations for which a Partner is seeking ENERGY STAR certification, must meet the ENERGY STAR requirements. For remanufactured products, the Partner must 748 assign the certified configurations an identifier in the model name/number that is unique to 749 ENERGY STAR certified configurations. This identifier must be used consistently in 750 association with the certified configurations in marketing/sales materials and on the 751 ENERGY STAR list of certified products (e.g. model A1234 for baseline configurations and 752 A1234-R for remanufactured ENERGY STAR certified configurations).

4.3 International Market Certification

- 4.3.1 Products shall be tested for certification at the relevant input voltage/frequency combination for each market in which they will be sold and promoted as ENERGY STAR.

5 USER INTERFACE

- 5.1.1 Manufacturers are encouraged to design products in accordance with the user interface standard IEEE 1621: Standard for User Interface Elements in Power Control of Electronic Devices Employed in Office/Consumer Environments. For details, see <http://eta.LBL.gov/Controls>.

6 EFFECTIVE DATE

- 6.1.1 Effective Date: The Version 3 ENERGY STAR Imaging Equipment specification shall take effect on **October 11, 2019**. To be certified as ENERGY STAR, a product model shall meet the ENERGY STAR specification in effect on its date of manufacture. The date of manufacture is specific to each unit and is the date on which a unit is considered to be completely assembled.

6.1.2 Future Specification Revisions: EPA reserves the right to change this specification should technological and/or market changes affect its usefulness to consumers, industry, or the environment. In keeping with current policy, revisions to the specification are arrived at through stakeholder discussions. In the event of a specification revision, please note that the ENERGY STAR certification is not automatically granted for the life of a product model.

6.1.3 Items for Consideration in a Future Revision:

- i. **Professional Imaging Products**: EPA and DOE will continue developing the test procedure for Professional Imaging Products, with the goal of developing requirements based on this test procedure in a Version 4.0 specification.
- ii. **Three-phase Products**: These products are currently excluded from scope. EPA will review this exclusion in a future revision.



ENERGY STAR® Program Requirements Product Specification for Imaging Equipment

Test Method for Determining Imaging Equipment Energy Use Rev. Dec-2018

1 OVERVIEW

The following test method shall be used for determining compliance with requirements in the ENERGY STAR Eligibility Criteria for Imaging Equipment.

2 APPLICABILITY

ENERGY STAR test requirements are dependent upon the feature set of the products under evaluation. Table 1 shall be used to determine the applicability of each section of this document.

Table 1: Test Procedure Applicability

Product Type	Media Format	Marking Technology	ENERGY STAR Evaluation Method
Digital Duplicator	Standard	Stencil	TEC
Mailing Machine	All	DT, EP, IJ, TT	OM
Multifunction Device (MFD)	Standard	High Performance IJ, DT, DS, EP, SI, TT	TEC
		IJ, Impact	OM
	Large	High Performance IJ, DT, DS, EP, IJ, SI, TT	OM
Printer	Standard	High Performance IJ, DT, DS, EP, SI, TT	TEC
		IJ, Impact	OM
	Large or Small	DT, DS, EP, Impact, IJ, SI, TT	OM
	Large	High Performance IJ	OM
	Small	High Performance IJ	TEC
Scanner	All	N/A	OM
Professional Imaging Products	All	All	TEC

3 DEFINITIONS

Unless otherwise specified, all terms used in this document are consistent with the definitions in the ENERGY STAR Eligibility Criteria for Imaging Equipment.

4 TEST SETUP

4.1 General Test Setup

- A) Test Setup and Instrumentation: Test setup and instrumentation for all portions of this procedure shall be in accordance with:
- 1) The requirements of International Electrotechnical Commission (IEC) Standard 62301, Ed. 2.0, "Measurement of Household Appliance Standby Power", Section 4, "General Conditions for Measurements" for all products.
 - 2) In the event of conflicting requirements, the ENERGY STAR test method shall take precedence.
- B) Ac Input Power: Products intended to be powered from an ac mains power source shall be connected to a voltage source appropriate for the intended market, as specified in Table 2 or Table 3.
- 1) Products shipped with external power supplies (EPSs) shall first be connected to the EPS and then to the voltage source specified in Table 2 or Table 3.
 - 2) If a product is rated to operate at a voltage/frequency combination in a specific market that is different from the voltage/frequency combination for that market (e.g., 230 volts (V), 60 hertz (Hz) in North America), the unit shall be tested at the manufacturer rated voltage/frequency combination for that unit. The voltage/frequency used shall be reported.

Table 2: Input Power Requirements for Products with Nameplate Rated Power Less Than or Equal to 1500 W

Market	Voltage	Voltage Tolerance	Maximum Total Harmonic Distortion	Frequency	Frequency Tolerance
North America, Taiwan	115 V ac	+/- 1.0 %	2.0 %	60 Hz	+/- 1.0 %
Switzerland	230 V ac	+/- 1.0 %	2.0 %	50 Hz	+/- 1.0 %
Japan	100 V ac	+/- 1.0 %	2.0 %	50 Hz or 60 Hz	+/- 1.0 %

Table 3: Input Power Requirements for Products with Nameplate Rated Power Greater than 1500 W

Market	Voltage	Voltage Tolerance	Maximum Total Harmonic Distortion	Frequency	Frequency Tolerance
North America, Taiwan	115 V ac	+/- 4.0 %	5.0 %	60 Hz	+/- 1.0 %
Switzerland	230 V ac	+/- 4.0 %	5.0 %	50 Hz	+/- 1.0 %
Japan	100 V ac	+/- 4.0 %	5.0 %	50 Hz or 60 Hz	+/- 1.0 %

C) Low-voltage Dc Input Power:

- 1) Products may be powered with a low-voltage dc source (e.g., via network or data connection) only if the dc source is the only acceptable source of power for the product (i.e., no ac plug or EPS is available).
- 2) Dc-powered products shall be installed and powered as directed by the manufacturer, using a port with the full specifications recommended for the UUT (e.g., Universal Serial Bus (USB) 3.1 if applicable, even if backwards-compatible with USB 2.0).

- 3) The power measurement shall be made between the dc source (e.g., Host Machine) and the cable shipped with the product, including the losses introduced by the shipped cable. If no cable is shipped with the product, any cable between 2 and 6 feet long may be used in its place. The resistance of the cable used to connect the UUT to the point of measurement shall be measured and reported.

Note: The measured resistance of dc power cables includes the sum of resistances of both the dc supply voltage wire and the ground wire.

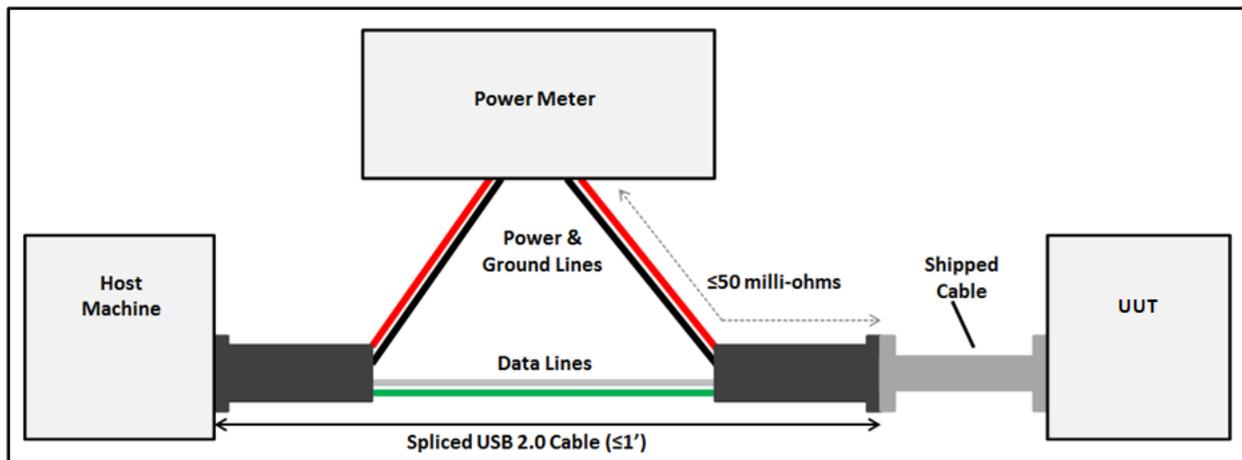
- 4) A spliced cable may be used between the shipped cable and dc source in order to connect the power meter. If this method is used, the following requirements must be met:
 - 1) The spliced cable shall be used in addition to the shipped cable described in Section 4.1.C)3).
 - 2) The spliced cable shall be connected between the dc source and the shipped cable.
 - 3) The spliced cable shall be no longer than 1 foot.
 - 4) For measuring voltage, the total amount of wiring used between the voltage measurement and the shipped cable shall be less than 50 milliohms of resistance. This only applies to the wiring that is carrying load current.

Note: Voltage and current need not necessarily be measured at the same location, so long as the voltage is measured within 50 milliohms of the shipped cable.

- 5) The current measurement can be made either on the ground wire or the dc supply voltage wire.

Note: Figure 1 depicts an example spliced cable setup using a USB 2.0-powered UUT connected to the Host Machine.

Figure 1: Example Spliced USB 2.0 Cable Arrangement



- D) Ambient Temperature: Ambient temperature shall be $23^{\circ}\text{C} \pm 5^{\circ}\text{C}$.
- E) Relative Humidity: Relative humidity shall be between 10% and 80%.
- F) Power Meter: Power meters shall possess the following attributes:
 - 1) Minimum Frequency Response: 3.0 kHz
 - 2) Minimum Resolution:
 - a) 0.01 W for measurement values less than 10 W;
 - b) 0.1 W for measurement values from 10 W to 100 W;

- c) 1 W for measurement values from 100 W to 1.5 kW; and
 - d) 10 W for measurement values greater than 1.5 kW.
 - e) Measurements of accumulated energy should have resolutions which are generally consistent with these values when converted to average power. For accumulated energy measurements, the figure of merit for determining required accuracy is the maximum power value during the measurement period, not the average, since it is the maximum that determines the metering equipment and setup.
- G) Measurement Uncertainty¹:
- 1) Measurements of greater than or equal to 1 W shall have an uncertainty of 2% or better at the 95% confidence level.
 - 2) Measurements of less than 1 W shall have an uncertainty of 0.02 W or better at the 95% confidence level.
- H) Time Measurement: Time measurements may be performed with a standard stopwatch or other time keeping device with a resolution of at least 1 second.
- I) Paper Specifications:
- 1) Standard Format Products shall be tested in accordance with Table 4.
 - 2) Large, Small, and Continuous Form products shall be tested using any compatible paper size.

Table 4: Paper Size and Weight Requirements

Market	Paper Size	Basis Weight (g/m ²)
North America	8.5" x 11"	75
Taiwan	A4	70
	8.5" x 11"	75
Switzerland	A4	80
Japan	A4	64

5 PRE-TEST UUT CONFIGURATION FOR ALL PRODUCTS

5.1 General Configuration

- A) As-shipped Condition:
- 1) All products shall be tested in their "as-shipped" configuration unless otherwise specified by this test method.
- B) Product Speed for Calculations and Reporting: The product speed for all calculations and reporting shall be the highest speed as claimed by the manufacturer per the following criteria, expressed in images per minute (ipm) and rounded to the nearest integer:
- 1) In general, for Standard-size products, a single A4 or 8.5" x 11" sheet printed/copied/scanned on one side in one minute is equal to 1 (ipm).
 - a) When operating in duplex mode a single A4 or 8.5" x 11" sheet printed/copied/scanned on both sides in one minute is equal to 2 (ipm).

¹ Measurement uncertainty calculations should be performed according IEC 62301 Ed. 2.0 Appendix D. Only the uncertainty due to the measurement instrument shall be calculated.

- 2) For all products, the product speed shall be based on:
- The highest manufacturer-claimed monochrome print speed, unless the product cannot print, in which case,
 - The highest manufacturer-claimed monochrome copy speed, unless the product cannot print or copy, in which case,
 - The manufacturer-claimed scan speed.

Note: EPA recommends that manufacturers report print speeds using the ISO/IEC 24734:2014 test image for consistency with other reporting.

- When a manufacturer intends to certify a product in a certain market and if its maximum claimed speeds differ when producing images on different sizes of paper, the highest speed shall be used.

Table 5: Calculation of Product Speed for Standard, Small, and Large Format Products with the Exception of Mailing Machines

Media Format	Media Size	Product Speed, s (ipm)
		<i>Where:</i> <ul style="list-style-type: none"> s_P is the maximum claimed monochrome speed in images per minute when processing the given media, w is the width of the media, in meters (m), ℓ is the length of the media, in meters (m).
Standard	8.5" x 11"	s_P
	A4	s_P
Small	4" x 6"	$0.25 \times s_P$
	A6	$0.25 \times s_P$
	Smaller than A6 or 4" x 6"	$16 \times w \times \ell \times s_P$
Large	A2	$4 \times s_P$
	A0	$16 \times s_P$

- 3) For Continuous Form products, product speed shall be calculated per Equation 1.

Equation 1: Calculation of Product Speed

$$s = 16 \times w \times s_L$$

Where:

- s is the product speed, in ipm,
- w is the width of the media, in meters (m),
- s_L is the maximum claimed monochrome speed, in meters per minute.

- For Mailing Machines, product speed shall be reported in units of mail pieces per minute (mppm).
- The product speed used for all calculations and qualification, as calculated above, may not be the same as the product speed used for testing.

C) Color: Color-capable products shall be tested making monochrome (black) images.

- For those products without black ink, a composite black shall be used.

D) **Network Connections:** Products that are capable of being network-connected as-shipped shall be connected to a network.

- 1) Products shall be connected to only one network or data connection for the duration of the test.
 - a) Only one computer may be connected to the UUT, either directly or via a network.
 - b) The UUT shall be connected using a port with the full specifications recommended for the UUT

Example: A Universal Serial Bus (USB) 3.1 port shall be used if present, even if backwards-compatible with USB 2.0.

- 2) The type of network connection depends on the characteristics of the UUT and shall be the topmost connection listed in Table 6 available on the unit as-shipped.

Table 6: Network or Data Connections for Use in Test

Order of Preference for Use in Test (if Provided by UUT)	Connections for all Products
1	Ethernet – 1 Gb/s
2	Ethernet – 100/10 Mb/s
3	Wi-Fi
4	USB 3.x
5	USB 2.x
6	USB 1.x
7	RS232
8	IEEE 1284 ²
9	Other Wired – in order of preference from highest to lowest speed
10	Other Wireless – in order of preference from highest to lowest speed
11	If none of the above, test with whatever connection is provided by the device (or none)

- 3) All data and network cables and routers shall support the highest and lowest data speeds of the UUT’s network interface.

Example: In the case of Ethernet, the connection shall be via a standard Category (Cat) 5e or better cable.

- 4) Products connected to a wireless protocol, such as Wi-Fi, shall be connected in close proximity to the appropriate router or computer.

² Also referred to as a Parallel or Centronics interface.

- 5) Products connected to Ethernet, per paragraph 5.1.D)2) above, and capable of supporting Energy Efficient Ethernet (IEEE Standard 802.3az)³, shall be connected to a network switch or router that also supports Energy Efficient Ethernet for the duration of the test.
- 6) The tester shall configure the address layer of the protocol, taking note of the following:
 - 1) Internet Protocol (IP) v4 and IPv6 have neighbor discovery and will generally configure a limited, non-routable connection automatically.
 - 2) IP can be configured manually or by using Dynamic Host Configuration Protocol (DHCP) with an address in the 192.168.1.x Network Address Translation (NAT) address space if the UUT does not behave normally when autoIP is used. The network shall be configured to support the NAT address space and/or autoIP.
 - 3) The UUT shall maintain this live connection to the network for the duration of testing unless otherwise specified in this Test Method, disregarding any brief lapses (e.g., when transitioning between link speeds).
- E) Service/Maintenance Modes: Imaging Products shall never be in service/maintenance modes, including color calibration, during testing.
 - 1) Service/Maintenance modes shall be disabled prior to testing.
 - 2) Manufacturers shall provide instructions detailing how to disable service/maintenance modes if this information is not included in the product documentation packaged with the UUT or is not readily available online.
 - 3) If service/maintenance modes cannot be disabled and a service/maintenance mode occurs during a job other than the first job, the results from the job with the service/maintenance mode shall be replaced with results from a substitute job. In this case, the substitute job shall be inserted into the test procedure immediately following Job 4 and the inclusion of the substitute job shall be reported. Each job period shall be 15 minutes.

5.2 Configuration for MFDs with Fax Capability

- A) All MFDs with fax capability that connect to a telephone line shall be connected to a telephone line during the test, in addition to the network connection specified by Table 6 if the UUT is network capable.
 - 1) In the case that a working phone line is not available, a line simulator may be used as a replacement.

5.3 Configuration for Digital Duplicators

- A) Except as noted below, digital duplicators shall be configured and tested as printers or MFDs, depending on their capabilities as-shipped.
 - 1) Digital duplicators shall be tested at maximum claimed speed, which is also the speed that should be used to determine the job size for performing the test, not at the default as-shipped speed, if different.
 - 2) For digital duplicators, there shall be only one original image.

³ Institute of Electrical and Electronics Engineers (IEEE) Standard 802.3az-2010. "IEEE Standard for Information Technology—Telecommunications and Information Exchange Between Systems—Local and Metropolitan Area Networks—Specific Requirements—Part 3: Carrier Sense Multiple Access with Collision Detection (CSMA/CD) Access Method and Physical Layer Specifications." 2010.

6 PRE-TEST UUT INITIALIZATION FOR ALL PRODUCTS

6.1 General Initialization

- A) Prior to the start of testing, the UUT shall be initialized as follows:
- 1) Set up the UUT per the instructions in the Manufacturer's Instructions or documentation.
 - a) Accessories, such as paper source, that are shipped with the base product and are intended to be installed or attached by the end-user shall be installed as intended for the product model. Paper shall be placed in all paper sources designated to hold the paper specified for testing, and the UUT shall pull from the default paper source, using the as-shipped paper source settings.
 - b) If the product is connected to a computer, either directly or via a network, during the test, the computer shall be running the newest version of the manufacturer's default driver available at the time of testing using settings corresponding to the default settings upon shipment, unless otherwise specified in this test method. The print driver version used for testing shall be recorded.
 - i) In the event that a setting does not have a default and is not defined in this test method, the setting shall be set according to the tester's discretion and shall be recorded.
 - ii) When connecting via a network and multiple computers are connected to the network, print driver settings apply only to the computer sending the print jobs to the UUT.
 - c) For products designed to operate on battery power when not connected to the mains power source, the battery shall be removed for all tests. For UUTs where operation without a battery pack is not a supported configuration, the test shall be performed with fully charged battery pack(s) installed, making sure to report this configuration in the test results. To ensure the battery is fully charged, perform the following steps:
 - i) For UUTs that have an indicator to show that the battery is fully charged, continue charging for an additional 5 hours after the indication is present.
 - ii) If there is no charge indicator, but the manufacturer's instructions provide a time estimate for when charging this battery or this capacity of battery should be complete, continue charging for an additional 5 hours after the manufacturer's indication.
 - iii) If there is no indicator and no time estimate in the instructions, the duration shall be 24 hours.
 - 2) Connect the UUT to its power source.
 - 3) Power on the UUT and perform initial system configuration, as applicable. Verify that default delay times are configured according to product specifications and/or manufacturer recommendations.
 - a) Product Speed for Testing All Products: All products, except digital duplicators, shall be tested with speed settings in their default as-shipped configuration. Digital duplicators shall be tested at maximum claimed speed as required in Section 5.3.
 - b) Auto-off for TEC Products: If a printer, digital duplicator, or MFD with print-capability has Auto-off capability and it is enabled as-shipped, it shall be disabled prior to testing.
 - c) Auto-off for OM Products: If a product has an Auto-off Mode enabled as-shipped, it shall remain enabled for the duration of testing.
 - 4) User-controllable anti-humidity features shall be turned off or disabled for the duration of testing.
 - 5) Pre-conditioning: Place the UUT in Off Mode, then let the UUT sit idle for 15 minutes.

- a) For EP-TEC products, let the UUT sit in Off Mode for an additional 105 minutes, for a total of at least 120 minutes (2 hours).
- b) Pre-conditioning is only required prior to beginning the first test on each UUT.

7 TYPICAL ENERGY CONSUMPTION (TEC) TEST PROCEDURE

7.1 Job Structure

A) Jobs per Day: The number of jobs per day (N_{JOBS}) is specified in Table 7.

Table 7: Number of Jobs per Day (N_{JOBS})

Monochrome Product Speed, s (ipm)	Jobs per Day (N_{JOBS})
$s \leq 8$	8
$8 < s < 32$	s
$s \geq 32$	32

B) Images per Job: The number of images shall be computed according to Equation 2, below. For convenience, Table 11 at the end of this document provides the resultant images per job computation for each integer product speed up through 100 ipm.

Equation 2: Calculation of Number of Images per Job

$$N_{IMAGES} = \begin{cases} 1 & s < 4 \\ \mathit{int} \left[\frac{(0.5 \times s^2)}{N_{JOBS}} \right] & s \geq 4 \end{cases}$$

Where:

- N_{IMAGES} is the number of images per job, rounded down (truncated) to the nearest integer,
- s is the product speed in images per minute (ipm), calculated in section 5.1.B), of this test procedure, and
- N_{JOBS} is the number of jobs per day, as calculated per Table 7.

C) Test Image: Test Pattern A from ISO/IEC Standard 10561:1999 shall be used as the original image for all testing.

- 1) Test images shall be rendered in 10 point size in a fixed-width Courier font (or nearest equivalent).
- 2) German-specific characters need not be reproduced if the product is incapable of German character reproduction.

D) Print Jobs: Print jobs for the test shall be sent over the network connection designated in Table 6 immediately before printing each job.

- 1) Each image in a print job shall be sent separately, (i.e., all images may be part of the same document), but shall not be specified in the document as multiple copies of a single original image (unless the product is a digital duplicator).
- 2) For printers and MFDs that can interpret a page description language (PDL) (e.g., Printer Command Language PCL, Postscript), images shall be sent to the product in a PDL.

E) Copy Jobs:

- 1) For products with copying capability and with speed less than or equal to 20 ipm, there shall be one original per required image.
- 2) For products with copying capability and with speed greater than 20 ipm, it may not be possible to match the number of required original images (i.e., due to limits on document feeder capacity). In this case, it is permissible to make multiple copies of each original, and the number of originals shall be greater than or equal to ten.

Example: For a 50 ipm unit that requires 39 images per job, the test may be performed with four copies of 10 originals or three copies of 13 originals.

- 3) Originals may be placed in the document feeder before the test begins.
 - a) Products without a document feeder may make all images from a single original placed on the platen.

7.2 Measurement Procedures

- A) Measurement of TEC shall be conducted according to Table 8 for printers, digital duplicators, and MFDs with print capability, and Table 9 for digital duplicators and MFDs without print capability, subject to the following provisions:
 - 1) Paper: There shall be sufficient paper in the UUT to perform the specified print or copy jobs.
 - 2) Duplexing: Products shall be tested in simplex mode, unless the speed of duplex mode output is greater than the speed of simplex mode output, in which case they shall be tested in duplex mode. In all cases, the mode in which the unit was tested and the print speed used must be documented. Originals for copying shall be simplex images.
 - 3) Energy Measurement Method: All measurements shall be recorded as accumulated energy over time, in Wh; all time shall be recorded in minutes.
 - a) "Zero meter" references may be accomplished by recording the accumulated energy consumption at that time rather than physically zeroing the meter.

**Table 8: TEC Test Procedure for Printers,
Digital Duplicators with Print Capability, and MFDs with Print Capability**

Step	Initial State	Action	Record (at end of step)	Unit of Measure	Possible States Measured
1	Off	Connect the UUT to the meter. Ensure the unit is powered and in Off Mode. Zero the meter; measure energy over 5 minutes or more. Record both energy and time.	Off energy	Watt-hours (Wh)	Off
			Testing Interval time	Minutes (min)	
2	Off	Turn on unit. Wait until unit indicates it is in Ready Mode.	–	–	–
3	Ready	Print a job of at least one output image but no more than a single job per Table 11. Measure and record time to first sheet exiting unit.	Active0 time	Seconds (s)	–
4	Ready (or other)	Wait until the meter shows that the unit has entered its final Sleep Mode or the time specified by the manufacturer.	Default delay time to Sleep, $t_{DEFAULT}$	Minutes (min)	–
5	Sleep	Zero meter; measure energy and time for 1 hour. Record the energy and time.	Sleep energy, E_{SLEEP}	Watt-hours (Wh)	Sleep
			Sleep time, $t_{SLEEP} (\leq 1 \text{ hr})$	Minutes (min)	
6	Sleep	Zero meter and timer. Print one job (calculated above). Measure energy and time. Record time to first sheet exiting unit. Measure energy over 15 minutes from job initiation. The job must finish within the 15 minutes.	Job1 energy, E_{JOB1}	Watt-hours (Wh)	Recovery, Active, Ready, Sleep
			Active1 time	Seconds (s)	
7	Ready (or other)	Repeat Step 6.	Job2 energy, E_{JOB2}	Watt-hours (Wh)	Same as above
			Active2 time	Seconds (s)	
8	Ready (or other)	Repeat Step 6 (without Active time measurement).	Job3 energy, E_{JOB3}	Watt-hours (Wh)	Same as above
9	Ready (or other)	Repeat Step 6 (without Active time measurement).	Job4 energy, E_{JOB4}	Watt-hours (Wh)	Same as above
10	Ready (or other)	Zero meter and timer. Measure energy and time until meter and/or unit shows that unit has entered Sleep Mode or the final Sleep Mode for units with multiple Sleep modes, or the time specified by the manufacturer, if provided. Record energy and time.	Final energy, E_{FINAL}	Watt-hours (Wh)	Ready, Sleep
			Final time, t_{FINAL}	Minutes (min)	

Note: Steps 4 and 10: For those units that do not indicate when they have entered the Final Sleep Mode, manufacturers shall specify the time to Final Sleep Mode for testing purposes.

**Table 9: TEC Test Procedure for Digital Duplicators
without Print Capability and MFDs without Print Capability**

Step	Initial State	Action	Record	Unit of Measure	Possible States Measured
1	Off	Connect the UUT to the meter. Ensure the unit is powered and in Off Mode. Zero the meter; measure energy over 5 minutes or more. Record both energy and time.	Off energy	Watt-hours (Wh)	Off
			Testing Interval time	Minutes (min)	
2	Off	Turn on unit. Wait until unit has entered Ready Mode.	–	–	–
3	Ready	Copy a job of at least one image but no more than a single job per Table 11. Measure and record time to first sheet exiting unit	Active0 time	Seconds (s)	–
4	Ready (or other)	Wait until the meter shows that the unit has entered its final Sleep Mode or the time specified by the manufacturer and measure the default time to sleep	Default delay time to Sleep, $t_{DEFAULT}$	Minutes (min)	–
5	Sleep	Zero meter; measure energy and time for 1 hour or until unit enters Auto-off Mode. Record the energy and time.	Sleep energy, E_{SLEEP}	Watt-hours (Wh)	Sleep
			Sleep time, $t_{SLEEP} (\leq 1 \text{ hr})$	Minutes (min)	
6	Sleep	Zero meter and timer. Copy one job (calculated above). Measure and record energy and time to first sheet exiting unit. Measure energy over 15 minutes from job initiation. The job must finish within the 15 minutes.	Job1 energy, E_{JOB1}	Watt-hours (Wh)	Recovery, Active, Ready, Sleep, Auto-off
			Active1 time	Seconds (s)	
7	Ready (or other)	Repeat Step 6.	Job2 energy, E_{JOB2}	Watt-hours (Wh)	Same as above
			Active2 time	Seconds (s)	
8	Ready (or other)	Repeat Step 6 (without Active time measurement).	Job3 energy, E_{JOB3}	Watt-hours (Wh)	Same as above
9	Ready (or other)	Repeat Step 6 (without Active time measurement).	Job4 energy, E_{JOB4}	Watt-hours (Wh)	Same as above
10	Ready (or other)	Zero meter and timer. Measure energy and time until meter and/or unit shows that unit has entered its Auto-off Mode or the time specified by the manufacturer. Record energy and time; if unit began this step while in Auto-off Mode, report both energy and time values as zero.	Final energy, E_{FINAL}	Watt-hours (Wh)	Ready, Sleep
			Final time, t_{FINAL}	Minutes (min)	
11	Auto-off	Zero the meter; measure energy and time over 5 minutes or more. Record both energy and time.	Auto-off energy, E_{AUTO}	Watt-hours (Wh)	Auto-off
			Auto-off time, t_{AUTO}	Minutes (min)	

Note: Steps 4 and 10: For those units that do not indicate when they have entered the Final Sleep Mode, manufacturers shall specify the time to Final Sleep Mode for testing purposes.

8 OPERATIONAL MODE (OM) TEST PROCEDURE

8.1 Measurement Procedures

- A) Measurement of OM power and delay times shall be conducted according to Table 10, subject to the following provisions:
- 1) Power Measurements: All power measurements shall be made using either the average power or accumulated energy approaches as described below:
 - a) Average Power Method: The true average power shall be measured over the course of a user selected period, which shall be no less than 5 minutes.
 - i) For those modes that do not last 5 minutes, the true average power shall be measured over the mode's entire duration.
 - b) Accumulated Energy Approach: If the test instrument is incapable of measuring the true average power, the accumulated energy consumption over the course of a user selected period shall be measured. The test period shall be no less than 5 minutes. The average power shall be determined by dividing the accumulated energy consumption (in watt-hours) by the time of the test period (in hours).
 - i) For those modes that do not last 5 minutes, the accumulated energy consumption shall be measured over the mode's entire duration.
 - c) If the power consumption of the tested mode is periodic, then the test duration shall contain one or more complete periods.

Table 10: Operational Mode (OM) Test Procedure

Step	Initial State	Action(s)	Record	Unit of Measure
1	Off	Plug the UUT into meter. Turn on unit. Wait until unit indicates it is in Ready Mode.	–	
2	Ready	Print, copy, or scan a single image.	–	
3	Ready	Measure Ready power.	Ready power, P_{READY}	Watts (W)
4	Ready	Wait and measure Default Delay Time to Sleep.	Sleep default-delay time, $t_{DEFAULT}$	Minutes (min)
5	Sleep	Measure Sleep power.	Sleep power, P_{SLEEP}	Watts (W)
6	Sleep	Wait and measure default delay time to Auto-off. (Disregard if no Auto-off Mode).	Auto-off default-delay time	Minutes (min)
7	Auto-off	Measure Auto-off power. (Disregard if no Auto-off Mode).	Auto-off power $P_{AUTO-OFF}$	Watts (W)
8	Auto-off	Manually turn device off and wait until unit is off. (If no manual on-off switch, note and wait for lowest-power Sleep state).	–	–
9	Off	Measure Off power. (If no manual on-off switch, note and measure Sleep Mode power).	Off power P_{OFF}	Watts (W)

Notes:

- Step 1 – If the unit has no Ready indicator, use the time at which the power consumption level stabilizes to the Ready level, and note this detail when reporting the product test data.
- Step 4 – The Default Delay Time shall be measured starting from the completion of the job until the unit enters Sleep Mode.
- Steps 4 and 5 – For products with more than one Sleep level, repeat these steps as many times as necessary to capture all successive Sleep levels and report these data. Two Sleep levels are typically used in MFDs that use high-heat marking technologies. For products lacking this Mode, disregard Steps 4 and 5.
- Steps 4 and 5 – For products without a Sleep Mode, perform and record measurements from Ready Mode.
- Steps 4 and 6 – Default-delay time measurements are to be measured in parallel fashion, cumulative from the start of Step 4. For example, a product set to enter a Sleep level in 15 minutes and enter a second Sleep level 30 minutes after entering the first Sleep level will have a 15-minute default-delay time to the first level and a 45 minute default-delay time to the second level.

9 TEST PROCEDURES FOR PRODUCTS WITH A DIGITAL FRONT END (DFE)

This step applies only to products that have a DFE as defined in Section 1 of the ENERGY STAR Program Requirements for Imaging Equipment.

9.1 Ready Mode DFE Test

- A) Products that are network-capable as-shipped shall be connected during testing. The network connection used shall be determined using Table 6.
- B) If the DFE has a separate main power cord, regardless of whether the cord and controller are internal or external to the imaging product, a 10 minute power measurement of the DFE alone shall be made, and the average power recorded while the main product is in Ready Mode.
- C) If the DFE does not have a separate main power cord, the tester shall measure the dc power required for the DFE when the unit as a whole is in Ready Mode. This will most commonly be accomplished by taking an instantaneous power measurements of each dc input into the DFE and adding them together for the total dc power.

9.2 Sleep Mode DFE Test

This testing shall be performed to obtain the Sleep Mode power of a DFE device over a 1 hour period. The resulting value will be used to certify Imaging Equipment products that incorporate DFEs with network-capable Sleep Modes.

- A) Products that are network-capable as-shipped shall be connected during testing. The network connection used shall be determined using Table 6.
- B) If the DFE has a separate main power cord, regardless of whether the cord and controller are internal or external to the imaging product, a 1 hour power measurement of the DFE alone shall be made, and the average power recorded while the main product is in Sleep Mode. At the end of the 1 hour power measurement, a print job shall be sent to the main product to ensure the DFE is responsive.
- C) If the DFE does not have a separate main power cord, the tester shall measure the dc power required for the DFE when the unit as a whole is in Sleep Mode. A 1 hour power measurement of the dc input to the DFE shall be made, and the average power recorded while the main product is in Sleep Mode. At the end of the 1 hour power measurement, a print job shall be sent to the main product to ensure the DFE is responsive.
- D) In cases B) and C), the following requirements apply:
 - 1) Manufacturers shall provide information on:
 - a) Whether DFE Sleep Mode is enabled as-shipped; and
 - b) The expected time to sleep of the DFE.
 - 2) If the DFE does not respond to the print request at the end of 1 hour, the Ready Mode power level measured in the test method shall be reported as the Sleep Mode power.

Note: All information specified or provided by manufacturers for product testing shall be publicly available.

10 REFERENCES

- A) ISO/IEC 10561:1999. Information technology — Office equipment — Printing devices — Method for measuring throughput — Class 1 and Class 2 printers.
- B) IEC 62301:2011. Household Electrical Appliances – Measurement of Standby Power. Ed. 2.0.

Table 11: Number of Images per Day Calculated for Product Speeds, s, from 1 to 100 ipm

Speed (ipm)	Jobs/Day (from Table 7, used only to calculate Images/Job)	Unrounded Images/ Job	Images/ Job	Speed (ipm)	Jobs/Day (from Table 7, used only to calculate Images/Job)	Unrounded Images/ Job	Images / Job
1	8	0.06	1	51	32	40.64	40
2	8	0.25	1	52	32	42.25	42
3	8	0.56	1	53	32	43.89	43
4	8	1.00	1	54	32	45.56	45
5	8	1.56	1	55	32	47.27	47
6	8	2.25	2	56	32	49.00	49
7	8	3.06	3	57	32	50.77	50
8	8	4.00	4	58	32	52.56	52
9	9	4.50	4	59	32	54.39	54
10	10	5.00	5	60	32	56.25	56
11	11	5.50	5	61	32	58.14	58
12	12	6.00	6	62	32	60.06	60
13	13	6.50	6	63	32	62.02	62
14	14	7.00	7	64	32	64.00	64
15	15	7.50	7	65	32	66.02	66
16	16	8.00	8	66	32	68.06	68
17	17	8.50	8	67	32	70.14	70
18	18	9.00	9	68	32	72.25	72
19	19	9.50	9	69	32	74.39	74
20	20	10.00	10	70	32	76.56	76
21	21	10.50	10	71	32	78.77	78
22	22	11.00	11	72	32	81.00	81
23	23	11.50	11	73	32	83.27	83
24	24	12.00	12	74	32	85.56	85
25	25	12.50	12	75	32	87.89	87
26	26	13.00	13	76	32	90.25	90
27	27	13.50	13	77	32	92.64	92
28	28	14.00	14	78	32	95.06	95
29	29	14.50	14	79	32	97.52	97
30	30	15.00	15	80	32	100.00	100
31	31	15.50	15	81	32	102.52	102
32	32	16.00	16	82	32	105.06	105
33	32	17.02	17	83	32	107.64	107
34	32	18.06	18	84	32	110.25	110
35	32	19.14	19	85	32	112.89	112
36	32	20.25	20	86	32	115.56	115
37	32	21.39	21	87	32	118.27	118
38	32	22.56	22	88	32	121.00	121
39	32	23.77	23	89	32	123.77	123
40	32	25.00	25	90	32	126.56	126
41	32	26.27	26	91	32	129.39	129
42	32	27.56	27	92	32	132.25	132
43	32	28.89	28	93	32	135.14	135
44	32	30.25	30	94	32	138.06	138
45	32	31.64	31	95	32	141.02	141
46	32	33.06	33	96	32	144.00	144
47	32	34.52	34	97	32	147.02	147
48	32	36.00	36	98	32	150.06	150
49	32	37.52	37	99	32	153.14	153
50	32	39.06	39	100	32	156.25	156