

ENERGY STAR® Program Requirements for Imaging Equipment – Draft 1

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Partner Commitments

Commitment

 The following are the terms of the ENERGY STAR Partnership Agreement as it pertains to the manufacturing of ENERGY STAR qualified imaging equipment. The ENERGY STAR Partner must adhere to the following program requirements:

- comply with current <u>ENERGY STAR Eligibility Criteria</u> defining the performance criteria that must be met for use of the ENERGY STAR certification mark on imaging equipment and specifying the testing criteria for imaging equipment. EPA may, at its discretion, conduct tests on products that are referred to as ENERGY STAR qualified. These products may be obtained on the open market, or voluntarily supplied by Partner at EPA's request;
- comply with current <u>ENERGY STAR Identity Guidelines and Web-Based Tools for Partners</u>
 <u>document</u>, describing how the ENERGY STAR name and mark may be used. Partner is
 responsible for adhering to these guidelines and for ensuring that its authorized representatives,
 such as advertising agencies, dealers, and distributors, are also in compliance;
- qualify at least one ENERGY STAR qualified imaging equipment model within six months of
 activating the imaging equipment portion of the agreement. When Partner qualifies the product, it
 must meet the specification (e.g., Tier 1 or 2) in effect at that time;
- provide clear and consistent labeling of ENERGY STAR qualified imaging equipment. The
 ENERGY STAR mark must be clearly displayed on the top/front of product, on product packaging,
 in product literature (i.e., user manuals, spec sheets, etc.), and on the manufacturer's Internet site
 where information about ENERGY STAR qualified models is displayed;
- update the list of ENERGY STAR qualified imaging equipment models through the Online Product Submittal tool (OPS) on a quarterly basis. Once the Partner submits its first list of ENERGY STAR qualified imaging equipment models, the Partner will be listed as an ENERGY STAR Partner on www.energystar.gov. Partner must provide quarterly updates in order to remain on the list of participating product manufacturers. If no new models are introduced during a particular quarter, Partner should notify EPA to ensure its partnership status is maintained;
- provide to EPA, on an annual basis, unit shipment data or other market indicators to assist in determining the market penetration of ENERGY STAR. Specifically, Partner must submit the total number of ENERGY STAR qualified imaging equipment products shipped (in units) or an equivalent measurement as agreed to in advance by EPA and Partner. Unit shipment data must be segmented by meaningful product characteristics (e.g., product type, size, speed, marking technology, or other as relevant) for both the United States (US) and outside the United States (non-US). Partner is also encouraged to provide total unit shipments for each model in its product line, and percent of total unit shipments that qualify as ENERGY STAR. The data for each calendar year should be submitted to EPA, preferably in electronic format, no later than the following March and may be provided directly from the Partner or through a third party. The data will be used by EPA only for program evaluation purposes and will be closely controlled. Any information used will be masked by EPA so as to protect the confidentiality of the Partner:
- notify EPA of a change in the designated responsible party or contacts for imaging equipment within 30 days.

Performance for Special Distinction

In order to receive additional recognition and/or support from EPA for its efforts within the Partnership, the ENERGY STAR Partner may consider the following voluntary measures and should keep EPA informed on the progress of these efforts:

- consider energy efficiency improvements in company facilities and pursue the ENERGY STAR label for buildings;
- purchase ENERGY STAR qualified products. Revise the company purchasing or procurement specifications to include ENERGY STAR. Provide procurement officials' contact information to EPA for periodic updates and coordination. Circulate general ENERGY STAR qualified product information to employees for use when purchasing products for their homes;
- ensure the power management feature is enabled for all ENERGY STAR qualified monitors in use in company facilities, particularly upon installation and after service is performed;
- provide general information about ENERGY STAR to employees whose jobs are relevant to the development, marketing, sales, and service of current ENERGY STAR qualified product models;
- feature the ENERGY STAR mark on Partner Web site and in other promotional materials. If
 information concerning ENERGY STAR is provided on the Partner Web site as specified by the
 ENERGY STAR Web-Based Tools for Partners (available in the Partner Resources section on the
 ENERGY STAR Web site at www.energystar.gov), EPA may provide links where appropriate to
 the Partner Web site;
- provide a simple plan to EPA outlining specific measures Partner plans to undertake beyond the program requirements listed above. By doing so, EPA may be able to coordinate, communicate, and/or promote Partner's activities, provide an EPA representative, or include news about the event in the ENERGY STAR newsletter, on the ENERGY STAR Web pages, etc. The plan may be as simple as providing a list of planned activities or planned milestones that Partner would like EPA to be aware of. For example, activities may include: (1) increase the availability of ENERGY STAR qualified products by converting the entire product line within two years to meet ENERGY STAR guidelines; (2) demonstrate the economic and environmental benefits of energy efficiency through special in-store displays twice a year; (3) provide information to users (via the Web site and user's manual) about energy-saving features and operating characteristics of ENERGY STAR qualified products, and (4) build awareness of the ENERGY STAR Partnership and brand identity by collaborating with EPA on one print advertorial and one live press event;
- provide quarterly, written updates to EPA as to the efforts undertaken by Partner to increase availability of ENERGY STAR qualified products, and to promote awareness of ENERGY STAR and its message.



ENERGY STAR® Program Requirements for Imaging Equipment – Draft 1

Eligibility Criteria (Version 1.0)

Below is the (Version 1.0) product specification for ENERGY STAR qualified Imaging Equipment. A product must meet all of the identified criteria if it is to be qualified as ENERGY STAR by its manufacturer.

1) **<u>Definitions</u>**: Below is a brief description of terms as relevant to ENERGY STAR.

Products

 A. <u>Copier</u> – A commercially available imaging product whose sole function is the production of hard copy duplicates from graphic hard copy originals. The unit must be capable of being powered from a wall outlet. This definition is intended to cover products that are marketed as copiers.

B. <u>Digital Duplicator</u> – A commercially available imaging product that is sold in the market as a fully-automated duplicator system through the method of stencil duplicating with digital reproduction functionality. The unit must be capable of being powered from a wall outlet. This definition is intended to cover products that are marketed as digital duplicators.

C. Facsimile Machine (Fax Machine) — A commercially available imaging product whose primary functions are scanning hard copy originals for electronic transmission to remote units and receiving similar electronic transmissions to produce hard copy output. Electronic transmission is primarily over a public telephone system, but may also be via computer network or the Internet. The product may also be capable of producing hard copy duplicates, sometimes referred to as "convenience copying." The unit must be capable of being powered from a wall outlet. This definition is intended to cover products that are marketed as fax machines.

D. <u>Mailing Machine</u> – A commercially available imaging product that serves to print postage onto mail pieces. The unit must be capable of being powered from a wall outlet. This definition is intended to cover products that are marketed as mailing machines.

E. <u>Multifunction Device (MFD)</u> – A commercially available imaging product, which is a physically-integrated device or a combination of functionally-integrated components, that performs two or more of the core functions of copying, printing, scanning, or faxing. The copy functionality as addressed in this definition is considered to be distinct from single sheet convenience copying offered by fax machines. The unit must be capable of being powered from a wall outlet. This definition is intended to cover products that are marketed as MFDs.

F. <u>Printer</u> – A commercially available imaging product that serves as a hard copy output device, and is capable of receiving information from single-user or networked computers, or other input devices (e.g., digital camera). The unit must be capable of being powered from a wall outlet. This definition is intended to cover products that are marketed as printers, including printers that can be upgraded into MFDs.

G. <u>Scanner</u> – A commercially available imaging product that functions as an electro-optical device for converting information into electronic images that can be stored, edited, converted, or transmitted, primarily in a personal computing environment. This definition is intended to cover products that are marketed as scanners.

Note to Industry: The preceding definitions mandate that products are powered through wall outlets, with the exception of scanners, which may be USB powered. EPA is open to considering a similar exception for other products that may be powered by USB connections. Industry is invited to submit information on products that are planned to use USB power connections in the future, as well as these products' power consumption. In addition, stakeholders are encouraged to suggest if they believe that Power Over Ethernet will become more common in the next few years and should be addressed in this specification.

Marking Technologies

- H. <u>Direct Thermal (DT)</u> A marking technology that transfers an image by burning dots onto coated media as it passes over a heated print head. DT printers do not use ribbons.
- I. <u>Dye Sublimation (DS)</u> A marking technology where images are formed by depositing (subliming) dye onto the print media based upon the amount of energy delivered by the heating elements.
- J. <u>Electrophotography (EP)</u> A marking technology characterized by illumination of a photoconductor in a pattern representing the desired hard copy image via a light source, development of the image with particles of toner using the latent image on the photoconductor to define the presence or absence of toner at a given location, transfer of the toner to the final hard copy medium, and fusing to cause the desired hard copy to become durable. Types of EP include Laser, LED, and LCD. Color EP is distinguished from monochrome EP in that toners of at least two different colors are available in a given product at one time. Two types of color EP technology are defined below:
 - a. <u>Parallel Color EP</u> A marking technology that uses multiple light sources and multiple photoconductors to increase the maximum color printing speed.
 - b. <u>Serial Color EP</u> Serial color EP is distinguished from parallel color EP in that a single photoconductor is used in a serial fashion with one or multiple light sources to achieve the multi-color hard copy output.
- K. <u>Impact</u> A marking technology characterized by the formation of the desired hard copy image by transferring colorant from a "ribbon" to the media via an impact process. Two types of impact technology include Dot Formed Impact and Fully-formed Impact.
- L. <u>Ink Jet (IJ)</u> A marking technology where images are formed by depositing colorant in small drops directly to the print media in a matrix manner. Color IJ is distinguished from monochrome IJ in that more than one colorant is available in a product at any one time. Types of IJ include Piezoelectric (PE) IJ, IJ Sublimation, and Thermal IJ.
- M. <u>Solid Ink (SI)</u> A marking technology where solid ink sticks are melted in the print head and jetted directly onto the media as it passes over the product's drum.
- N. Thermal Transfer (TT) A marking technology where the desired hard copy image is formed by depositing small drops of solid colorant (usually colored waxes) in a melted/fluid state directly to the print media in a matrix manner. TT is distinguished from IJ in that the ink is solid at room temperature and is made fluid by heat.

Operational Modes and Activities

- O. <u>Active</u> The power state in which the product is connected to a power source and is actively producing output, as well as performing any of its additional functions. The power requirement in this mode is typically greater than the power requirement in all other modes.
- P. <u>Automatic Duplex Mode</u> The mode in which a copier, fax machine, MFD, or printer automatically places images on both sides of an output sheet, without manual manipulation of originals or output as an intermediate step. Examples of this are one-sided to two-sided copying, or two-sided to two-sided copying. A product is considered to have an automatic duplex mode only if the model

includes all accessories needed to satisfy the above conditions, e.g., an automatic document feeder.

- Q. <u>Default Delay Time</u> The time set by the manufacturer prior to shipping that determines when the product will enter a low-power mode.
- R. <u>Disconnect</u> The condition where the product has been unplugged and is physically disconnected from the mains.
- S. <u>Hard Off</u> The condition where the product is still plugged into but has been physically disconnected from the mains. This mode is usually engaged by the consumer via a "hard off switch," which breaks the electrical circuit between the product and the mains. While in this mode, a product will not draw any electricity and by definition, will measure 0 watts when metered.
- T. Off The power state that the product enters when it has been manually or automatically switched off but is still plugged into and connected to the mains. This mode is exited when stimulated by an input, such as a manual power switch or clock timer to bring the unit into Ready mode. When this state is resultant from an automatic or predetermined stimuli, it is referred to as Auto-off.
- U. <u>Ready</u> The condition that exists when the product is not producing output, has reached operating conditions, has not yet entered into any low power modes, and is ready to return to Active mode with minimal delay. All product features can be enabled in this mode, and the product must be able to return to Active mode by responding to any potential input options designed into the product. Potential inputs include external electrical stimulus (e.g., network stimulus, fax call, or remote control) and direct physical intervention (e.g., activating a physical switch or button).
- V. <u>Sleep</u> The reduced power state that the product automatically enters, without actually turning off, after a period of inactivity. All product features can be enabled in this mode and the product must be able to return to Active mode by responding to any potential input options designed into the product; however, there may be a delay. Potential inputs include external electrical stimulus (e.g., network stimulus, fax call, remote control) and direct physical intervention (e.g., activating a physical switch or button). The product must maintain all network connections while in Sleep, waking up only as necessary.
- W. <u>Standby</u> The lowest power consumption mode which cannot be switched off (influenced) by the user and that may persist for an indefinite time when the product is connected to the main electricity supply and used in accordance with the manufacturer's instructions¹. Standby usually occurs in Off mode, however, may occur in Ready, Sleep, or Hard Off.

Product Size Formats

- X. <u>Continuous Form</u> Products categorized as Continuous Form include those which do not use a cut-sheet media size, and are designed for key industrial applications such as printing of bar codes, labels, receipts, waybills, invoices, airline tickets or retail tags.
- Y. Large Format Products categorized as Large Format include A2 and larger.
- Z. <u>Small Format</u> Products categorized as Small Format include media sizes smaller than those defined as Standard (e.g., A6, 4" x 6", microfilm).
- AA. <u>Standard</u> Products categorized as Standard include the following Letter, Legal, Ledger, A3, and A4.

¹ IEC 62301 – Household electrical appliances – Measurement of standby power. 2005.

Additional Terms

- BB. <u>Accessory</u> An optional piece of peripheral equipment that is not necessary for the operation of the base unit, but that may be added before or after shipment in order to add new functionality. An accessory may be sold separately under its own model number, or sold with a base unit as part of a package or configuration.
- CC. <u>Digital Front-end (DFE)</u> A physically separate but functionally integrated computer that acts as an interface to imaging equipment, which uses its own dc power supply and is ac-mains connected.

<u>Note to Industry</u>. Based on discussions with stakeholders, EPA recognizes that there is no simple way to differentiate DFEs and print controllers, considering how closely related these two devices are to one another. As products become increasingly multifunctional, defining a distinction becomes more difficult. The definitions presented in this Draft 1 document incorporate previously submitted stakeholder feedback; however, additional feedback from stakeholders on the best way to define and distinguish these devices is welcomed.

- DD. <u>Duplex Speed</u> Product speed while in duplex output mode, as determined and advertised by the manufacturer.
- EE. Operational Mode (OM) Approach A method of testing and comparing the energy performance of imaging equipment products, which focuses on product energy consumption in various low-power modes. The key criteria used by the OM approach are values for low-power modes, measured in Watts. Detailed information can be found in the "ENERGY STAR Qualified Imaging Equipment Operational Mode Test Procedure" available at www.energystar.gov/products.
- FF. <u>Print Controller</u> An internal, embedded controller, which communicates with the host computer(s) or other input device(s) (e.g., digital camera), when receiving a print job. A print controller draws its dc power from the imaging equipment with which it operates.
- GG. <u>Product Speed</u> In general, for Standard-size products, a single A4 or 8.5" x 11" sheet printed/copied/scanned on one side in a minute is equal to one image-per-minute (ipm). For mailing machines, one piece of mail processed in a minute is equal to one mail-piece-per-minute (mppm). For Small-format products, a single A6 or 4" x 6" sheet printed/copied/scanned on one side in a minute is equal to 0.25 ipm. For Large-format products, a single A2 sheet is 4 ipm and one A0 sheet is equivalent to 16 ipm. Other page sizes may be converted similarly. In cases where energy-efficiency criteria is provided based on product speed, the appropriate speed to consider is detailed in the test procedures, referenced in Section 4.

<u>Note to Industry</u>. EPA intends to compare the energy efficiency of Continuous Form printers against Standard-size printers employing similar marking technologies and/or functionalities. As such, industry is invited to suggest a calculation method for converting image speeds of Continuous Form printers to equivalent A4 image speeds.

HH. <u>Typical Electricity Consumption (TEC) Approach</u> – A method of testing and comparing the energy performance of imaging equipment products, which focuses on the typical electricity consumed by a product while in normal operation during a representative period of time. The key criteria of the TEC approach for imaging equipment is a value for typical weekly electricity consumption, measured in kilowatt-hours (kWh). Detailed information can be found in the "ENERGY STAR Qualified Imaging Equipment Typical Electricity Consumption Test Procedure" available at www.energystar.gov/products.

2) **Qualifying Products**: In order to qualify as ENERGY STAR, an imaging equipment product must be defined in Section 1 and meet one of the product descriptions in Table 1 or 2, below.

Qualifying Products: Table 1 - TEC Approach

Product Area	Marking Technology	Size Format	Color Capability	TEC Table	Page
	Direct Thermal	Standard	Monochrome	TEC 1	10
	Dye Sublimation	Standard	Color & Monochrome	TEC 1	10
	EP	Standard	Monochrome	TEC 1	10
Copiers	EP (Parallel)	Standard	Color	TEC 3	10
Обріста	EP (Serial)	Standard	Color	TEC 2	10
	Solid Ink	Standard	Color	TEC 3	10
	Thermal Transfer	Standard	Color	TEC 3	10
	Thermal Transfer	Standard	Monochrome	TEC 1	10
Digital Duplicators	Stencil	Standard	Color & Monochrome	TEC 4	11
	Direct Thermal	Standard	Monochrome	TEC 5	11
	Dye Sublimation	Standard	Monochrome	TEC 5	11
Fax Machines	EP	Standard	Monochrome	TEC 5	11
	EP (Serial)	Standard	Color	TEC 5	11
	Thermal Transfer	Standard	Color & Monochrome	TEC 5	11
	Direct Thermal	Standard	Monochrome	TEC 6	11
	Dye Sublimation	Standard	Color & Monochrome	TEC 6	11
	EP	Standard	Monochrome	TEC 6	11
Multifunction	EP (Parallel)	Standard	Color	TEC 8	11
Devices (MFDs)	EP (Serial)	Standard	Color	TEC 7	11
	Solid Ink	Standard	Color	TEC 8	11
	Thermal Transfer	Standard	Color	TEC 8	11
	Thermal Transfer	Standard	Monochrome	TEC 6	11
	Direct Thermal	Standard	Monochrome	TEC 9	11
	Dye Sublimation	Standard	Color & Monochrome	TEC 9	11
	EP	Standard	Monochrome	TEC 9	11
Deletera	EP (Parallel)	Standard	Color	TEC 11	12
Printers	EP (Serial)	Standard	Color	TEC 10	12
	Solid Ink	Standard	Color	TEC 11	12
	Thermal Transfer	Standard	Color	TEC 11	12
	Thermal Transfer	Standard	Monochrome	TEC 9	11

Qualifying Products: Table 2 – Operational Mode Approach

Product Area	Marking Technology	Size Format	Color Capability	OM Table	Page
Alea	Direct Thermal	Large	Monochrome	OM 1	12
	Dye Sublimation	Large	Color & Monochrome	OM 1	12
	EP EP	Large	Monochrome	OM 1	12
Copiers	EP (Parallel)	Large	Color	OM 1	12
	EP (Serial)	Large	Color	OM 1	12
	Solid Ink	Large	Color	OM 1	12
	Thermal Transfer	Large	Color & Monochrome	OM 1	12
Fax Machines	Ink Jet	Standard	Color & Monochrome	OM 2	12
	Direct Thermal	N/A	Monochrome	OM 4	13
Mailing	EP	N/A	Monochrome	OM 4	13
Machines	Ink Jet	N/A	Monochrome	OM 4	13
	Thermal Transfer	N/A	Monochrome	OM 4	13
	Direct Thermal	Large	Monochrome	OM 1	12
	Dye Sublimation	Large	Color & Monochrome	OM 1	12
	EP ED	Large	Monochrome	OM 1	12
Multifunction	EP (Parallel)	Large	Color	OM 1	12
Devices	EP (Serial)	Large	Color	OM 1	12
(MFDs)	Ink Jet	Standard	Color & Monochrome	OM 2	12
` ′	Ink Jet		Color & Monochrome	OM 3	13
	Solid Ink	Large	Color	OM 1	12
		Large		OM 1	
	Thermal Transfer	Large	Color & Monochrome		12
	Direct Thermal Direct Thermal	Continuous Form	Monochrome Monochrome	OM 5 OM 7	13 14
	Dye Sublimation	Large Continuous Form	Color & Monochrome	OM 5	13
	Dye Sublimation	Large	Color & Monochrome	OM 7	14
	Dye Sublimation	Small	Color & Monochrome	OM 8	14
	EP EP	Continuous Form	Color & Monochrome	OM 5	13
	EP	Large	Monochrome	OM 7	14
	EP (Parallel)	Large	Color	OM 7	14
	EP (Parallel)	Small	Color	OM 8	14
Printers	EP (Serial)	Large	Color	OM 7	14
	EP (Serial)	Small	Color	OM 8	14
	Impact	Continuous Form & Standard	Color & Monochrome	OM 6	14
	Ink Jet	Large	Color & Monochrome	OM 3	13
	Ink Jet	Continuous Form, Small & Standard	Color & Monochrome	OM 2	12
	Solid Ink	Large	Color	OM 7	14
	Solid Ink	Small	Color	OM 8	14
	Thermal Transfer	Continuous Form	Color & Monochrome	OM 5	13
	Thermal Transfer	Large	Color & Monochrome	OM 7	14
	Thermal Transfer	Small	Color	OM 8	14
Scanners	N/A	Large & Standard	N/A	OM 9	14

Note to Industry: In the preceding tables, EPA proposes the product types that should be evaluated to the TEC and OM approaches. EPA has attempted to outline the most plausible product types that exist in today's market as well as those that are likely to be found over the next several years. It is intended that this summary will assist stakeholders in more easily navigating this specification. EPA welcomes suggestions on omissions, or implausible product and marking technology combinations that do not need to be distinctly identified.

388 3) Energy-Efficiency Specifications for Qualifying Products: Only those products listed in Section 2 389 above that meet the following criteria may qualify as ENERGY STAR. Effective dates are provided in 390 Section 6 of this specification. 391 392 Products Sold with an External Power Adapter: Imaging equipment products using a single voltage 393 external ac-dc or ac-ac power adapter must use an ENERGY STAR qualified power adapter. 394 The ENERGY STAR specification for single voltage external ac-dc and ac-ac power supplies may be 395 found at www.energystar.gov/products. 396 397 Products Designed to Operate with a DFE: If an imaging equipment product is sold with an externally 398 powered DFE, the DFE must be ENERGY STAR qualified according to the ENERGY STAR eligibility 399 criteria for computers. The ENERGY STAR specification for computers may be found at 400 www.energystar.gov/products. 401 402 Products Sold with an Additional Cordless Handset: Additional cordless handsets that are sold with 403 fax machines or MFDs with fax capability must be ENERGY STAR qualified according to the 404 ENERGY STAR eligibility criteria for telephony products. The ENERGY STAR specification for telephony products may be found at www.energystar.gov/products. 405 406 407 Note to Industry: The following criteria tables are divided into a number of categories based on 408 product type, size format, and marking technology. If this level of differentiation is not necessary 409 based on the data received, EPA will be pleased to collapse some of the tables and further 410 combine certain groups of products. 411 412 413 A. ENERGY STAR Eligibility Criteria - TEC. To qualify as ENERGY STAR, the TEC value 414 obtained for imaging equipment outlined in Section 2, Table 1 above must not exceed the 415 corresponding criteria below. 416 417 TEC Table 1 418 Product(s): Copiers Size Format(s): Standard-size Marking Technologies: Color DS, DT, Mono DS, Mono EP, Mono TT 419 420 Eligibility Criteria TBD 421 422 423 TEC Table 2 424 Product(s): Copiers Size Format(s): Standard-size Marking Technologies: Serial Color EP 425 426 Eligibility Criteria TBD 427 428 429 TEC Table 3 430 Product(s): Copiers Size Format(s): Standard-size Marking Technologies: Color TT, Parallel Color EP, SI 431 432 Eligibility Criteria TBD 433 Note to Industry: EPA intends to separate Serial and Parallel Color EP products in the 434 specification if the product test data supports this distinction. If differentiation is not necessary 435 based on the data received. Color EP will be addressed as a single marking technology. 436 Collapsing categories in this way could occur for copiers, MFDs, and/or printers.

438	TEC Table 4
439	
	Product(s): Digital Duplicators
	Size Format(s): Standard-size
4.40	Marking Technologies: Color Stencil, Mono Stencil
440	Filmili litte Outtonio TDD
441 442	Eligibility Criteria TBD
443	
444	TEC Table 5
445	
	Product(s): Fax Machines
	Size Format(s): Standard-size
116	Marking Technologies: Color DS, Color TT, DT, Mono DS, Mono EP, Mono TT, Serial Color EP
446 447	Eligibility Criteria TBD
448	Engionity Citiena 160
449	
450	TEC Table 6
451	
	Product(s): MFDs
	Size Format(s): Standard-size
450	Marking Technologies: Color DS, DT, Mono DS, Mono EP, Mono TT
452 453	Eligibility Criteria TBD
454	Engionity Citiena 100
455	
456	TEC Table 7
457	
	Product(s): MFDs
	Size Format(s): Standard-size
458	Marking Technologies: Serial Color EP
459	Eligibility Criteria TBD
460	
461	
462	TEC Table 8
463	
	Product(s): MFDs
	Size Format(s): Standard-size Marking Technologies: Color TT, Parallel Color EP, SI
464	Marking Technologies. Color 11, Farallel Color EF, Si
465	Eligibility Criteria TBD
466	
467	
468	TEC Table 9
469	Dradust(a), Drintara
	Product(s): Printers Size Format(s): Standard-size
	Marking Technologies: Color DS, DT, Mono DS, Mono EP, Mono TT
470	Marking Teermologies. Color Do, DT, Mono Do, Mono Et, Mono TT
471	Eligibility Criteria TBD
472	

473 <u>TEC Table 10</u> 474

Product(s): Printers	
Size Format(s): Standard-size	
Marking Technologies: Serial Color EP	

Eligibility Criteria TBD

TEC Table 11

Product(s): Printers
Size Format(s): Standard-size
Marking Technologies: Color TT, Parallel Color EP, SI

Eligibility Criteria TBD

B. **ENERGY STAR Eligibility Criteria – OM.** To qualify as ENERGY STAR, the power consumption values for imaging equipment outlined in Section 2, Table 2 above must not exceed the corresponding criteria below.

OM Table 1

Product(s): Copier	Product(s): Copiers, MFDs					
Size Format(s): La	rge Format					
Marking Technolog	gies: Color DS, Colo	r TT, DT, Mono DS,	Mono EP, Mono TT	, Serial Color EP,		
Parallel Color EP,	SI					
Product Speed	Product Speed Sleep (W) Default Time to Auto-off (W) Standby (W)					
(ipm) Sleep (min.)						
TBD	TBD	TBD	TBD	1		

Note to Industry: EPA recognizes that products shipped with network connectivity may not be able to achieve a one-watt Standby power consumption criterion when used in a networked environment. However, EPA also recognizes that many products that are shipped with network connectivity are not used in a networked environment after purchase. In anticipation of the latter case, EPA believes that these products' design should allow for achieving a one-watt Standby power criterion when not on a network. EPA welcomes feedback from stakeholders on how best to delineate these two cases such that the appropriate products are held to the one-watt Standby power criterion.

OM Table 2

Product(s): Printers, Fax Machines, MFDs					
Size Format(s): Continuou	s Form, Stand	ard-size, Small Format			
Marking Technologies: Co	lor IJ, Mono IJ				
	Sleep (W)	Default Time to Sleep (min.)	Standby (W)		
Base Model	TBD	TBD	1		
Optional Function 1	Optional Function 1 TBD TBD 1				
Optional Function 2 TBD TBD 1					
Optional Function Xn	TBD	TBD	1		

 Note to Industry: In the ENERGY STAR Imaging Equipment Directional Draft, dated February 10, 2004, EPA proposed an energy-efficiency criterion for Ink Jet printers that was not based on speed. Some stakeholders suggested that this proposed criterion for Ink Jet products would unfairly disadvantage higher functionality and/or higher speed products. To address this concern, the first draft OM test procedure put forth a method for measuring and reporting a consistent "ENERGY STAR speed," which could provide a reliable basis for comparing products.

As an alternative to differentiating Ink Jet products based on product speed, EPA is considering a new stakeholder proposal to evaluate these products based on functionality. Using this method, certain additional features (e.g., network capability, scanning, etc.) are given a specific amount of additional power consumption, as shown in OM Tables 2 and 3. Feedback on this possible approach as an alternative or compliment to differentiating based on speed is welcomed. Stakeholders are encouraged to submit specific information to demonstrate which features require additional power allowances, and reasonable amounts of additional power needed.

OM Table 3

Product(s): Printers, MFDs					
Size Format(s): Large Form	mat				
Marking Technologies: Co	lor IJ, Mono IJ				
	Sleep (W) Default Time to Sleep (min.) Standby (W)				
Base Model	Base Model TBD TBD 1				
Optional Function 1	Optional Function 1 TBD TBD 1				
Optional Function 2 TBD TBD 1					
Optional Function Xn	'				

OM Table 4

Product(s): Mailing	Product(s): Mailing Machines				
Size Format(s): N/	A				
Marking Technolog	gies: DT, Mono EP, I	Mono IJ, Mono TT			
Product Speed	Sleep (W)	Default Time to Sleep (min.)	Standby (W)		
(ipm)					
TBD	TBD	TBD	1		

<u>Note to Industry</u>: As noted in the ENERGY STAR Imaging Equipment Directional Draft, dated February 10, 2004, EPA has a limited data set from which to develop energy-efficiency criteria for the mailing machine product category. To ensure that the breadth of this market is addressed when setting criteria, EPA welcomes additional data from industry.

OM Table 5

Product(s): Printers					
Size Format(s): Co	Size Format(s): Continuous Form				
Marking Technolog	gies: Color DS, Colo	r EP, Color TT, DT, Mono DS, Mo	no EP, Mono TT		
Product Speed	Sleep (W)	Default Time to Sleep (min.)	Standby (W)		
(ipm)					
TBD	TBD	TBD	1		

539 <u>OM Table 6</u> 540

Product(s): Printers				
Size Format(s): Sta	andard-size, Continu	ious Form		
Marking Technolog	gies: Color Impact, N	Iono Impact		
Product Speed	Product Speed Sleep (W) Default Time to Sleep (min.) Standby (W)			
(ipm)				
TBD	TBD	TBD	1	

Note to Industry: Some stakeholders have asked EPA to create a category for Continuous Form printers. As this is a new media size, EPA has limited data on these products' energy performance. Additionally, as mentioned on page 7, it will be necessary to develop a method of speed comparison to evaluate these products with other types of printers, and to modify the OM test procedure to accommodate the differences in these products, as appropriate. EPA encourages industry feedback on these elements, and on the appropriateness of including these products in ENERGY STAR.

OM Table 7

Product(s): Printers				
Size Format(s): Large Format				
Marking Technologies: Color DS, Color TT, DT, Mono DS, Mono EP, Mono TT, Parallel Color				
EP, Serial Color EP, SI				
Product Speed	Sleep (W)	Default Time to Sleep (min.)	Standby (W)	
(ipm)				
TBD	TBD	TBD	1	

OM Table 8

Product(s): Printers				
Size Format(s): Small Format				
Marking Technologies: Color DS, Color TT, Mono DS, Parallel Color EP, Serial Color EP, SI				
Product Speed	Sleep (W)	Default Time to Sleep (min.)	Standby (W)	
(ipm)				
TBD	TBD	TBD	1	

OM Table 9

Product(s): Scanners					
Size Format(s): Standard-size, Large Format					
Marking Technologies: N/A					
Product Speed	Sleep (W)	Default Time to Sleep (min.)	Standby (W)		
(ipm)					
TBD	TBD	TBD	1		

4) Test Procedures

<u>Product Testing Set-up</u>, <u>Procedures</u>, <u>and Documentation</u>: The specific instructions for testing the energy efficiency of imaging equipment products are outlined in three separate documents entitled:

- "ENERGY STAR Qualified Imaging Equipment Typical Electricity Consumption Test Procedure;"
- "ENERGY STAR Qualified Imaging Equipment Operational Mode Test Procedure;" and

570 • "Test Conditions and Equipment for Determining the ENERGY STAR Qualification Status of Imaging Equipment Products."

The test results produced by these procedures shall be used as the primary basis for determining ENERGY STAR qualification.

Manufacturers are required to perform tests and self-certify those product models that meet the ENERGY STAR guidelines. Families of imaging equipment models that are built on the same chassis and are identical in every respect except for housing and color may be qualified through submission of test data for a single, representative model. Likewise, models that are unchanged or that differ only in finish from those sold in a previous year may remain qualified without the submission of new test data, assuming the specification remains unchanged.

Additional testing and reporting requirements are provided below.

A. Number of Units Required for Test: Testing shall be conducted by the manufacturer or its authorized representative on a single unit of a model. If the TEC or OM test results fall within X% of the eligibility criteria level in any mode, two additional units of the same model must also be tested. Manufacturers shall report values for all three units. To qualify as ENERGY STAR, all three units must meet the ENERGY STAR specification.

<u>Note to Industry</u>. EPA would like to further develop Section 4.A to specify details for testing additional models to ensure unit (i.e., model-to-model) accuracy, if initial test findings are within a specified range of the ENERGY STAR requirements. Since the TEC test procedure is somewhat time-intensive, EPA is striving to balance the need for accuracy with the desire to prevent unnecessary burden on manufacturers performing the test. Therefore, feedback on how best to ensure unit accuracy is welcomed.

B. <u>Submittal of Qualified Product Data to EPA</u>: Partners are required to self-certify those product models that meet the ENERGY STAR guidelines and report information to be reported for products is outlined in the TEC and OM test procedures.

In addition, partners must submit to EPA excerpts from product literature that explains to consumers the recommended default delay-times to power management settings. The intent of this requirement is to support that products are being tested as shipped and recommended for use.

C. Models Capable of Operating at Multiple Voltage/Frequency Combinations: Manufacturers shall test their products based on the market(s) in which the models will be sold and promoted as ENERGY STAR qualified. EPA and its ENERGY STAR Country Partners have developed the following table with three voltage/frequency combinations for testing purposes:

Supply Voltage:	North America/Taiwan:	115 Volts AC, 60 Hz	
	Europe/Australia/New Zealand:	230 Volts AC, 50 Hz	
	Japan:	100 Volts AC, 50 Hz/60 Hz	

For products that are sold as ENERGY STAR in multiple international markets and therefore rated at multiple input voltages, the manufacturer must test at and report the required power consumption or efficiency values at all relevant voltage/frequency combinations. For example, a manufacturer that is shipping the same model to the United States and Europe must measure, meet the specification, and report test values at both 115 Volts/60 Hz and 230 Volts/50 Hz in order to qualify the model as ENERGY STAR in both markets. If a model qualifies as ENERGY STAR at only one voltage/frequency combination (e.g., 115 Volts/60 Hz), then it may only be qualified and promoted as ENERGY STAR in those regions that support the tested voltage/frequency combination (e.g., North America and Taiwan).

- 5) <u>User Interface</u>: Manufacturers are strongly recommended to design products in accordance with IEEE P1621: Standard for User Interface Elements in Power Control of Electronic Devices Employed in Office/Consumer Environments. This standard was developed to make power controls more consistent and intuitive across all electronic devices. For details on the development of this standard, see http://eetd.LBL.gov/Controls.
- 6) <u>Effective Date</u>: The date that manufacturers may begin to qualify products as ENERGY STAR, under the Version 1.0 specification, will be defined as the *effective date* of the agreement. Any previously executed agreement on the subject of ENERGY STAR qualified imaging equipment shall be terminated effective February 28, 2007.
 - A. Qualifying and Labeling Products under Version 1.0: The Version 1.0 specification shall commence on March 1, 2007. All products, including models originally qualified under previous imaging equipment specifications, with a **date of manufacture** on or after **March 1, 2007**, must meet the new (Version 1.0) requirements in order to qualify for ENERGY STAR (including additional manufacturing runs of models originally qualified under previous specifications). The **date of manufacture** is specific to each unit and is the date (e.g., month and year) on which a unit is considered to be completely assembled.
 - a. <u>Digital Duplicators</u> The Version 1.0 specification becomes effective for digital duplicators on **March 1, 2006**, which is one year earlier than the effective date for all other imaging equipment product categories.

<u>Note to Industry</u>: Digital duplicator manufacturers have asked EPA for an earlier effective date for this product category, as digital duplicators are currently unable to qualify for ENERGY STAR. Since digital duplicator manufacturers are willing to be early adopters of this specification, EPA is pleased to permit them to qualify their energy-efficient models under Version 1.0 as soon as the specification is finalized.

B. <u>Elimination of Grandfathering</u>: EPA will not allow grandfathering under this Version 1.0 ENERGY STAR specification. ENERGY STAR qualification under previous Versions is not automatically granted for the life of the product model. Therefore, any product sold, marketed, or identified by the manufacturing partner as ENERGY STAR must meet the current specification in effect at the time of manufacture of the product.

Note to Industry: Some ENERGY STAR industry stakeholders have asked EPA for a special allowance for remanufactured machines under the Version 1.0 specification. In order to avoid potential market confusion (in terms of what it means to qualify as ENERGY STAR at any given point in time), EPA is reluctant to allow remanufactured products to meet a less stringent specification after the new one goes into effect. However, in order to minimize any disincentive to remanufacturing that might result, EPA is considering a tiered approach for relevant subclasses of products. This would phase in the effective date more gradually for the entire subclass to allow more time for remanufactured product platform redesign. To this end, EPA is interested in additional information from stakeholders in terms of 1) which product subclasses in particular raise remanufacturing issues, 2) what is a reasonable date by which at least one platform redesign, anticipating the new specification, could occur so that qualifying remanufactured products could be made available, and 3) what interim improvement in terms of efficiency could be made on existing platforms to improve remanufactured product platforms short of a total redesign.

7) Future Specification Revisions: EPA reserves the right to change the specification should technological and/or market changes affect its usefulness to consumers, industry, or the environment. In keeping with current policy, revisions to the specification are arrived at through stakeholder discussions. EPA will periodically assess the market in terms of energy efficiency and new technologies. As always, stakeholders will have an opportunity to share their data, submit proposals, and voice any concerns. EPA will strive to ensure that the specification recognizes the most energy-

efficient models in the marketplace and reward those manufacturers who have made efforts to further improve energy efficiency.

- A. <u>Color Testing:</u> Based on submitted test data, future consumer preferences, and engineering advancements, EPA may modify this specification at some point in the future to include color imaging in the test method.
- B. Recovery Time: EPA will closely monitor incremental and absolute recovery times as reported by partners testing to the TEC method, as well as partner-submitted documentation regarding recommended default delay settings. EPA will consider modification of this specification to address recovery time should it become apparent that manufacturer practices are resulting in user disabling of power management modes.
- C. <u>Universal TEC Approach</u>: One year after this specification's effective date, EPA will consider if the OM approach continues to achieve energy savings. EPA reserves the right to consider a universal TEC approach in the future.